



Бруно Понтикорво

$0\nu\beta\beta$ -decay:
To be, or not to be?

AIP



Double Beta Decay: History, Present and Future

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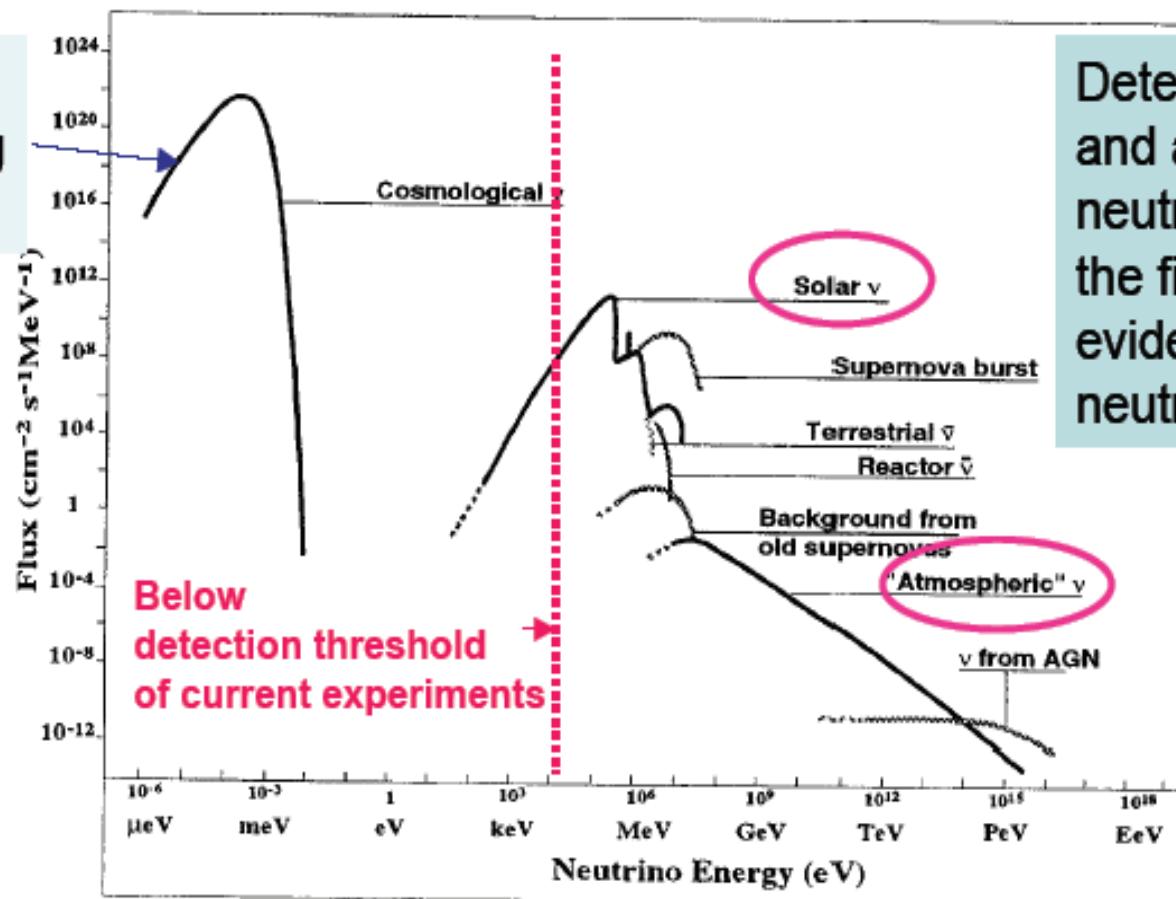
OUTLINE

- **Introduction (History and experimental status)**
- **The $0\nu\beta\beta$ -decay in light of ν oscillations**
- **Mechanisms of the $0\nu\beta\beta$ -decay (LR-symmetric models, R-parity breaking MSSM)**
- **The DBD NME**
- **Neutrinoless double electron capture (^{36}Ar)**
- **Bosonic neutrino and $2\nu\beta\beta$ -decay (^{76}Ge)**
- **Conclusion and outlook**

Neutrinos are everywhere

The Sun is the most intense detected source with a flux on Earth of $6 \cdot 10^{10} \text{ v/cm}^2\text{s}$

Abundant
but challenging
detection



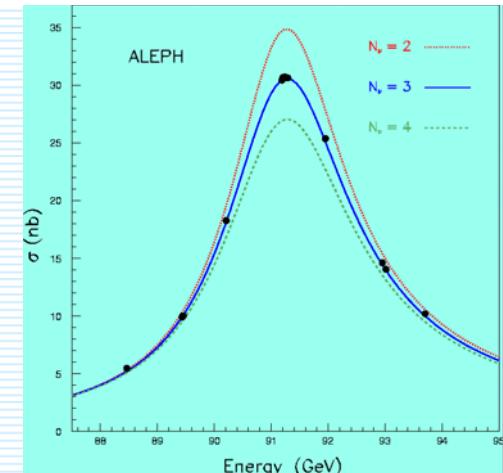
Detection of solar and atmospheric neutrino has provided the first compelling evidence of neutrino oscillations

Neutrino properties

(60 years after discovery of ν)

we know

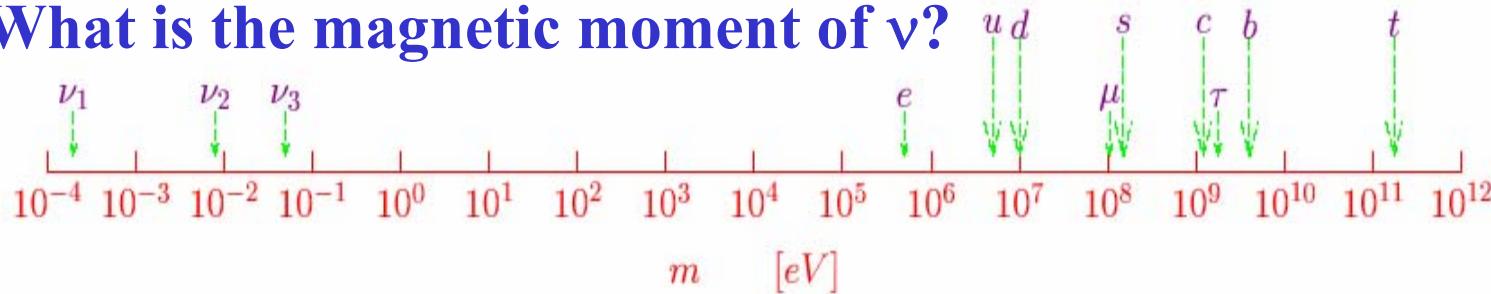
- 3 families of light (V-A) neutrinos: ν_e , ν_μ , ν_τ
- neutrinos are massive: we know mass squared differences
- relation between flavor eigenstates and mass eigenstates (neutrino mixing) only partially known



we do not know

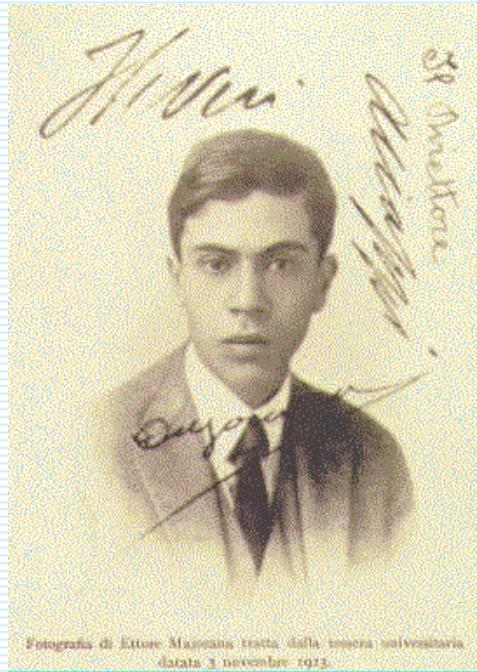
- Absolute ν mass scale? (cosmology, $0\nu\beta\beta$ -decay, ${}^3\text{H}$, ${}^{187}\text{Rh}$)
- Are ν their own antiparticle? (Majorana ν) or not (Dirac ν)
- Is there a CP violation in the neutrino sector? (leptogenesis)
- Are neutrinos stable?
- What is the magnetic moment of ν ?

??



1937 Beginning of Majorana neutrino physics

Ettore Majorana discoveres the possiility of existence of truly neutral fermions



Charged fermion (electron) + electromagnetic field

$$(i\gamma^\mu \partial_\mu - e\gamma^\mu A_\mu - m)\Psi = 0$$

$\Psi^c = \Psi$ forbidden

$$(i\gamma^\mu \partial_\mu + e\gamma^\mu A_\mu - m)\Psi^c = 0$$

Neutral fermion (neutrino) + electromagnetic field

$$(i\gamma^\mu \partial_\mu - m)\nu = 0$$

$\nu^c = \nu$ allowed

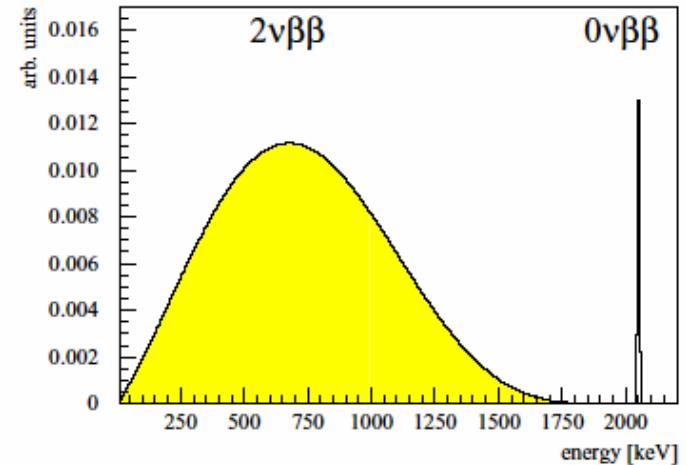
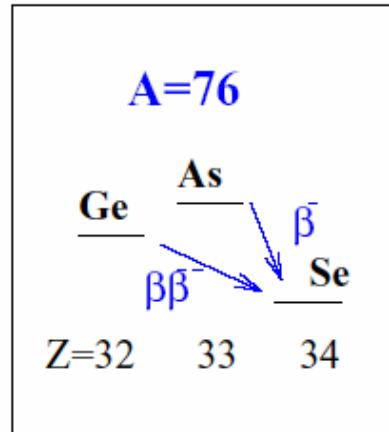
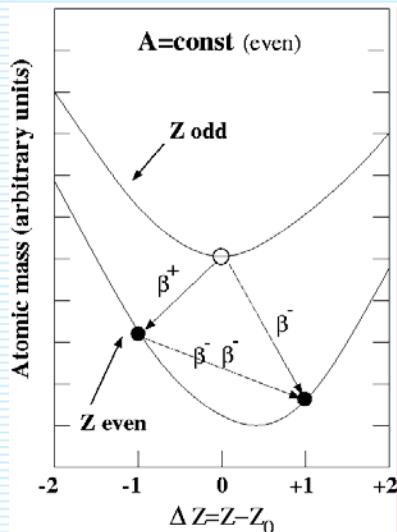
$$(i\gamma^\mu \partial_\mu - m)\nu^c = 0$$

Majorana condition

Symmetric Theory of Electron and Positron
Nuovo Cim. 14 (1937) 171

Here is the beginning of Nonstandard Neutrino Properties

Double Beta Decay



$$(A, Z) \rightarrow (A, Z + 2) + 2e^- + 2\bar{\nu}_e$$

Observed for 10 isotopes: ^{48}Ca , ^{76}Ge , ^{82}Se , ^{96}Zr , ^{100}Mo .

^{116}Cd , ^{128}Te , ^{130}Te , ^{150}Nd , ^{238}U , $T_{1/2} \approx 10^{18}-10^{24}$ years

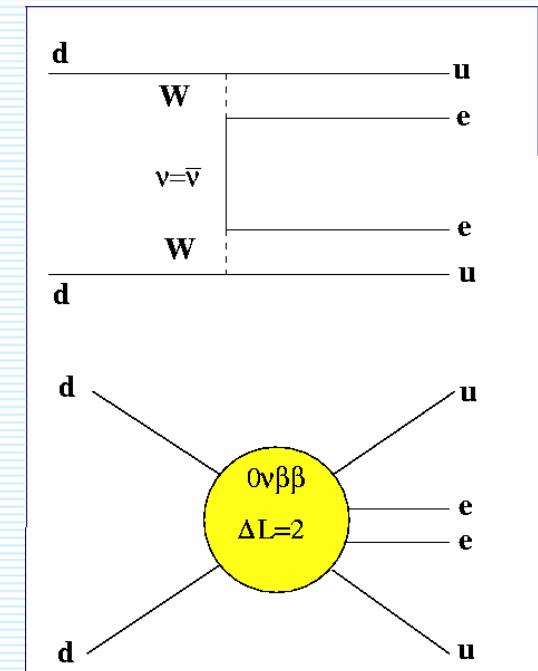
1967: ^{130}Te , Kirsten et al, Takaoka et al, (geochemical)

1987: ^{82}Se , Moe et al. (direct observation)

2006: ^{100}Mo , NEMO 3 coll. $\sim 220\ 00$ events

$$(A, Z) \rightarrow (A, Z + 2) + 2e^-$$

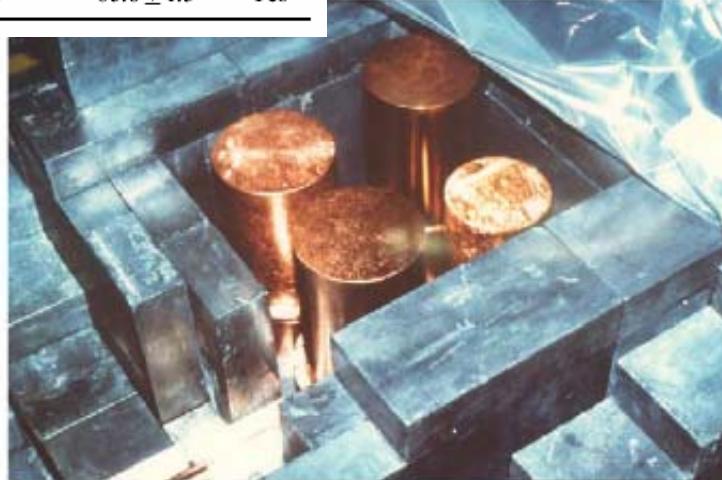
SM forbidden ,not observed yet: $T_{1/2}$ (^{76}Ge) $> 10^{25}$ years



Heidelberg-Moscow Experiment LNGS (completed 2003)

Technical parameters of the five enriched ^{76}Ge detectors

Detector number	Total mass (kg)	Active mass (kg)	Enrichment in $^{76}\text{Ge}(\%)$	PSA
No. 1	0.980	0.920	85.9 ± 1.3	No
No. 2	2.906	2.758	86.6 ± 2.5	Yes
No. 3	2.446	2.324	88.3 ± 2.6	Yes
No. 4	2.400	2.295	86.3 ± 1.3	Yes
No. 5	2.781	2.666	85.6 ± 1.3	Yes



$$T_{1/2} > 1.9 \cdot 10^{25} \text{ years}$$
$$\langle m_{\beta\beta} \rangle < 0.34 \text{ eV}$$

H-M collaborations,
PRL 83 (1999) 41

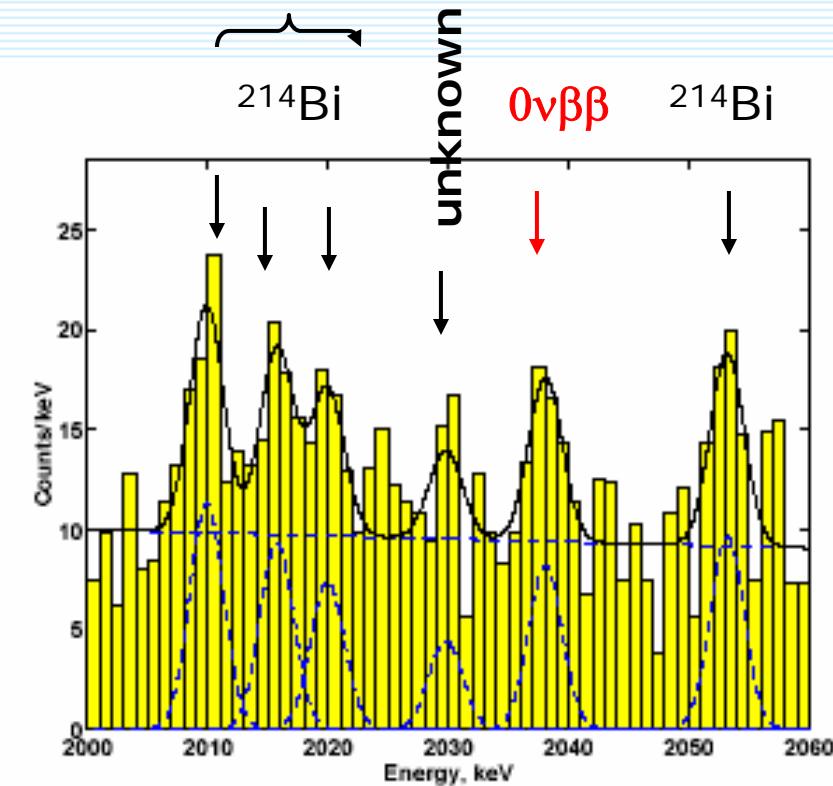
Fig. 1. The HEIDELBERG–MOSCOW $\beta\beta$ -experiment in the Gran Sasso (top), and four of the enriched detectors during installation (bottom left). The fifth detector was installed in an extra shielding using electrolytic copper as inner shield (bottom right).

Heidelberg claim for evidence

Analysis of the ^{76}Ge experiment in Gran Sasso 1990-2003

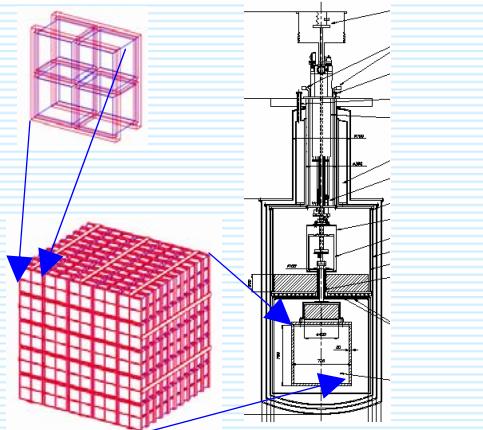
H.V. Klapdor-Kleingrothaus et al., NIM A 522, 371 (2004); PLB 586, 198 (2004)

- Data reanalyzed with improved summing
- Peak visible
- Effect reclaimed with 4.2σ
- $T_{1/2}^{0\nu} = (0.69 - 4.18) 10^{25}$ years
- $0.23 \text{ eV} \leq |m_{\beta\beta}| \leq 0.57 \text{ eV}$
- Unknown peak at 2030 keV?



Running Double Beta Decay experiments

Gran Sasso

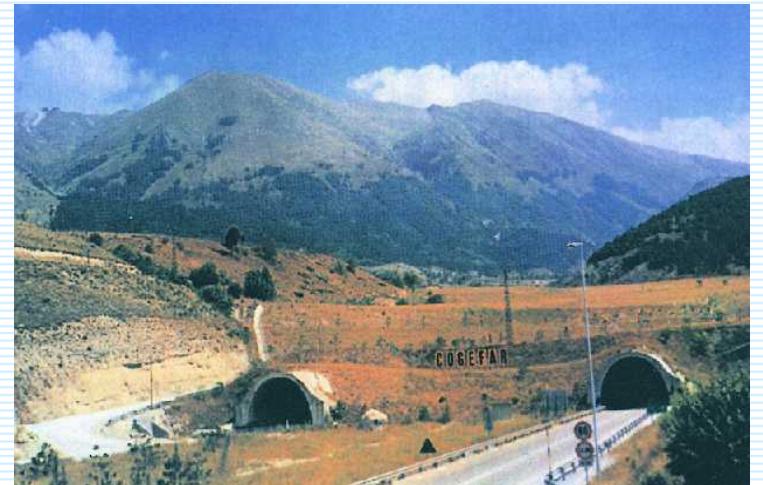


CUORICINO

^{130}Te 40.7 kg

$Q_{\beta\beta} = 2529 \text{ keV}$

$T_{1/2} > 3.0 \cdot 10^{24} \text{ years}$
 $|m_{\beta\beta}| < 0.42 \text{ eV}$



NEMO 3

^{100}Mo (6.914 kg) $T_{1/2} > 5.8 \cdot 10^{23} \text{ years}$

$Q_{\beta\beta} = 3034 \text{ keV}$

$|m_{\beta\beta}| < 0.98 \text{ eV}$

^{82}Se (0.932 kg) $T_{1/2} > 2.1 \cdot 10^{23} \text{ years}$

$Q_{\beta\beta} = 2995 \text{ keV}$

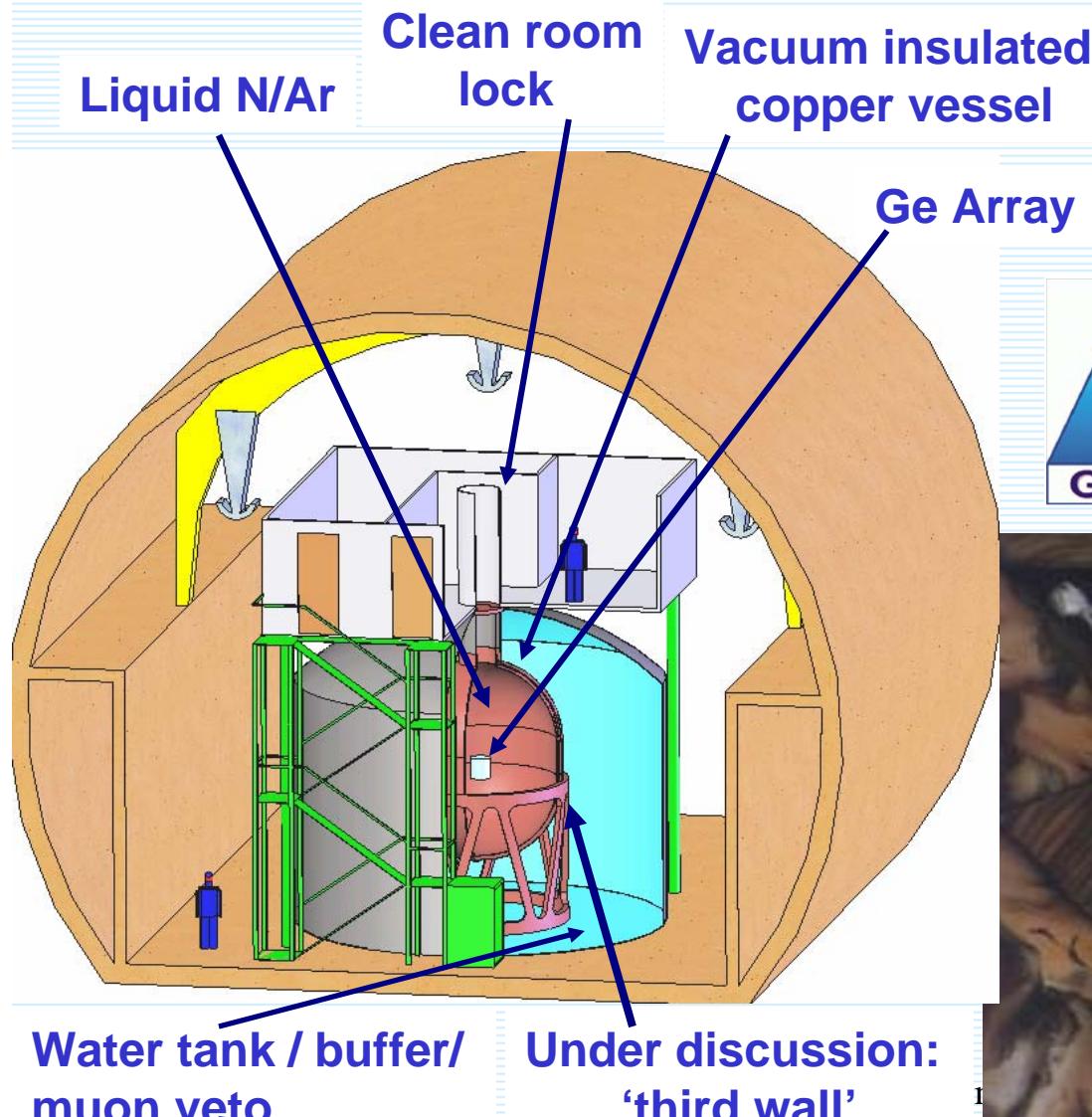
$|m_{\beta\beta}| < 1.7 \text{ eV}$

Fréjus Underground Laboratory : 4800 m.w.e.

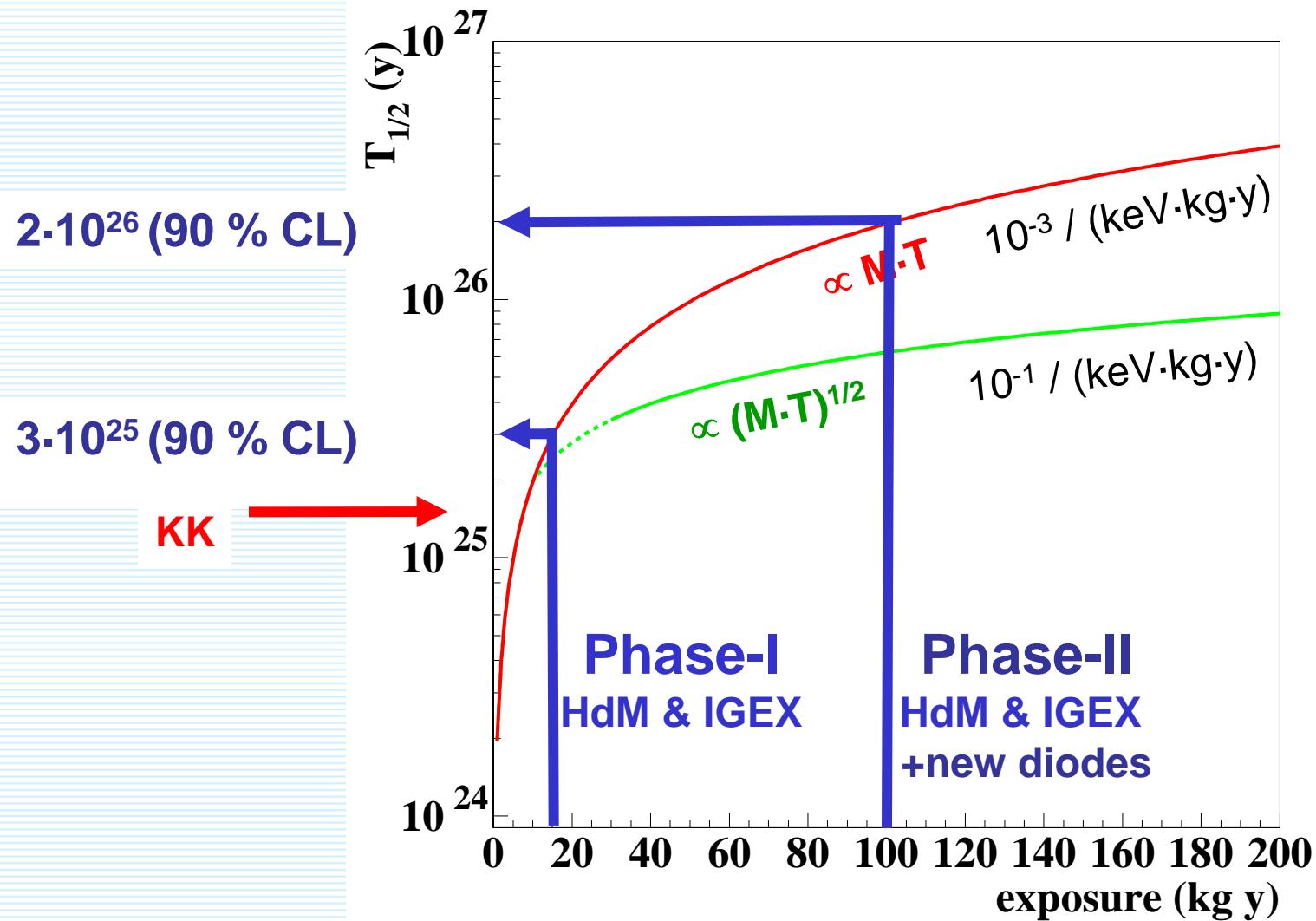
Fedor Simkovic

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GERDA at LNGS: GERmanium Detector Assembly for the search of neutrinoless $\beta\beta$ decays in Ge-76 at LNGS



Phases and physics reach of GERDA



History of Double Beta Decay I

The early period (1935-1957)

- 1935 Goepper-Mayer suggested the 2νββ-decay
- 1937 Dirac $\nu \neq \bar{\nu}$ or Majorana $\nu \equiv \bar{\nu}$
- 1939 Furry proposed the 0νββ-decay
- till 1957 Observation of 0νββ more favored (phase space)
 $n \rightarrow p + e^- + \bar{\nu}_e \quad \nu_e + n \rightarrow p + e^-$

Period of scepticism (1957-1970)

- 1957 Wu, weak interaction violates parity, Majorana or

Dirac – open question

$$n \rightarrow p + e^- + \bar{\nu}_e^{RH} \quad \nu_e^{LH} + n \rightarrow p + e^-$$

Declined interest to 0νββ-decay

- 1968 Pontecorvo proposed $\pi^- \rightarrow \pi^+ + 2e^-$, superweak int.

Period of GUT (1970-1998)

- 1975 Primakoff and Rosen – Right handed current mech.
- 1981 Doi, Kotani, Takasugi ν-mech. within gauge theories
- 1981 Wolfenstein: cancellation mech. possible

$$\langle m_\nu \rangle = \sum_k |U_{ek}|^2 \eta_{CP} m_k, \quad \eta_{CP} = \pm i$$

History of Double Beta Decay II

- **1982** Scheckter-Valle theorem
The observation of $0\nu\beta\beta$ -decay implies the existence of Majorana mass term
- **1986** Vogel, Zirnbauer – quenching mech. of $2\nu\beta\beta$ -decay
- **1987** Elliott, Hahn, Moe -first detection of $2\nu\beta\beta$ -decay (^{82}Se)
- **1987** Mohapatra, Vergados, R-parity breaking SUSY mech.
- **1997** Feassler, Kovalenko, Simkovic, dominance of pion-exchange SUSY mech.
- **1997** Kovalenko, Hirsch, Klapdor, leptoquark mech.

Period of massive ν (1998→20??)

- **1998-** neutrino oscillations (SK, SNO, Kamland) convin. evid.
- **2001** Klapdor-Kleingrothaus, Dietz, Krivosheina, first claim for observation of the $0\nu\beta\beta$ -decay
- Many works on neutrino mass pattern, absolute mass scale, CP phases, extra dim. mech.
- Many works on future large (tons) $0\nu\beta\beta$ -decay experiments

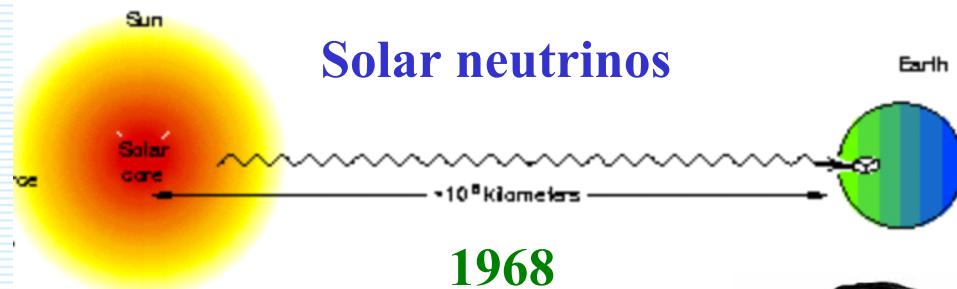
Quo vadis $0\nu\beta\beta$ -decay?

Majorana period (2???→)

- **2???** Observation of $0\nu\beta\beta$ -decay
- **2???** ...

Neutrino oscillations \Rightarrow Massive neutrinos

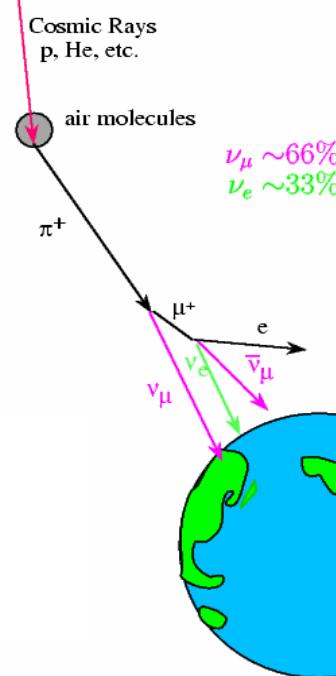
Reactor neutrinos



Solar neutrinos

1968

Atmospheric neutrinos

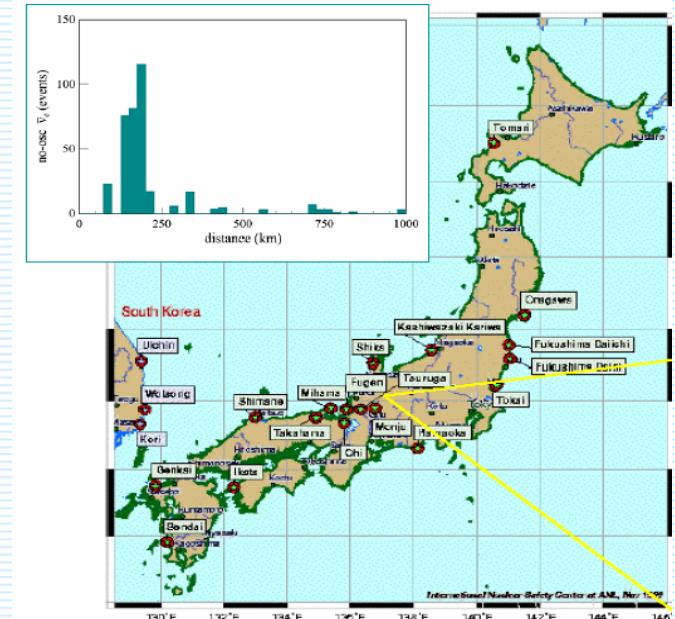


Бруно Понтекорво

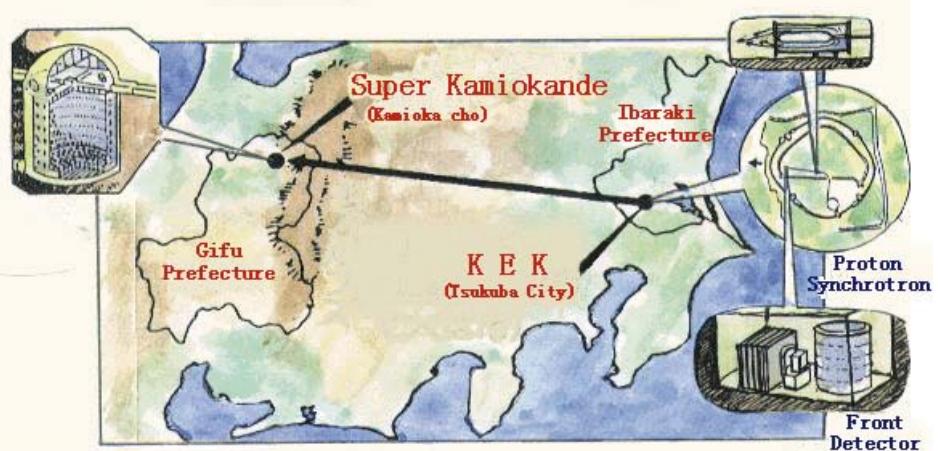
1957

9/19/2007

Fedor Si



Accelerator neutrinos



Mixing of 3 light Neutrinos

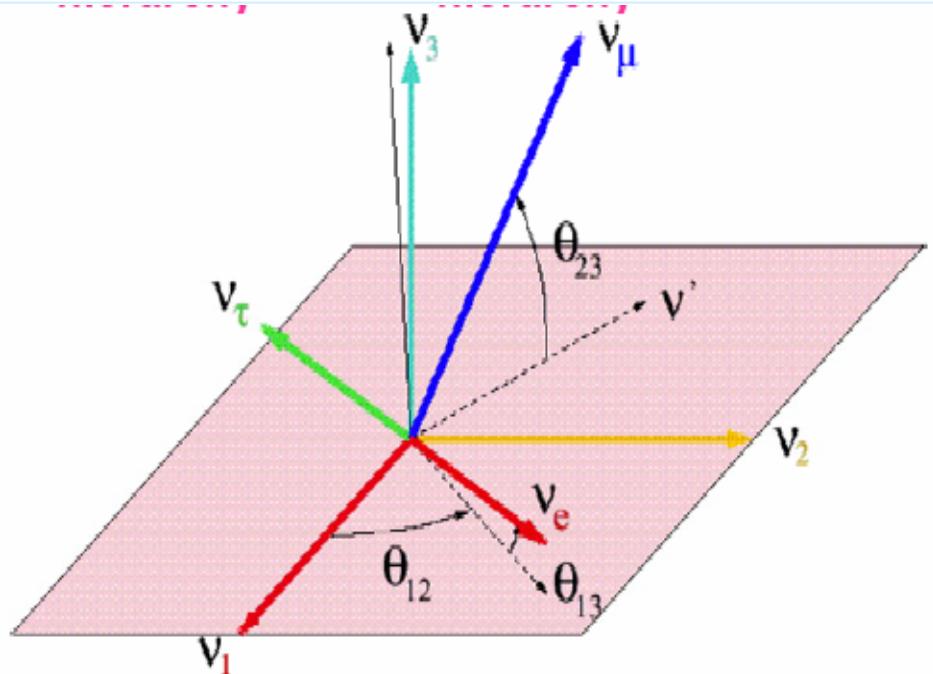
Pontecorvo
-Maki-Nakagawa-Sakata
matrix

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

Flavor
eigenstates

Mass
eigenstates

$$m_{\beta\beta} = \sum U_{ei} U_{ei}^* m_i$$



Mass matrix

$$\begin{pmatrix} M_{ee} & M_{e\mu} & M_{e\tau} \\ M_{\mu e} & M_{\mu\mu} & M_{\mu\tau} \\ M_{\tau e} & M_{\tau\mu} & M_{\tau\tau} \end{pmatrix}$$

Pontecorvo-Maki-Nakagawa-Sakata matrix

$$\begin{aligned}
 U_{PMNS} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix} \\
 &= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}
 \end{aligned}$$

Quark mixing

$$U_{CKM} = \begin{pmatrix} 0.98 & 0.22 & 0.003 \\ -0.22 & 0.97 & 0.04 \\ 0.003 & -0.04 & 1.00 \end{pmatrix}$$

Neutrino mixing

$$U_{PMNS} = \begin{pmatrix} 0.83 & 0.55 & 0.05 \\ 0.34 - 0.45 & 0.56 - 0.62 & 0.70 \\ 0.34 - 0.45 & 0.55 - 0.62 & 0.70 \end{pmatrix}$$

! Large off diagonal elements !
• Instruction for an extension of SM? !

Disperity and challenge for quark-lepton unified theories

Neutrinos mass spectrum

We need 3 mass-eigenstates
to explain 2 different Δm^2 :

$$|m_2^2 - m_1^2| = \Delta m_{\text{sol}}^2 \sim 3 \cdot 10^{-5} \text{ eV}^2$$

$$|m_3^2 - m_2^2| = \Delta m_{\text{atm}}^2 \sim 2 \cdot 10^{-3} \text{ eV}^2$$

Absolute mass scale of neutrinos

$0\nu\beta\beta$ -decay $m_{\beta\beta} = \sum_{i=1}^3 U_{ei}^2 m_i$

Tritium decay

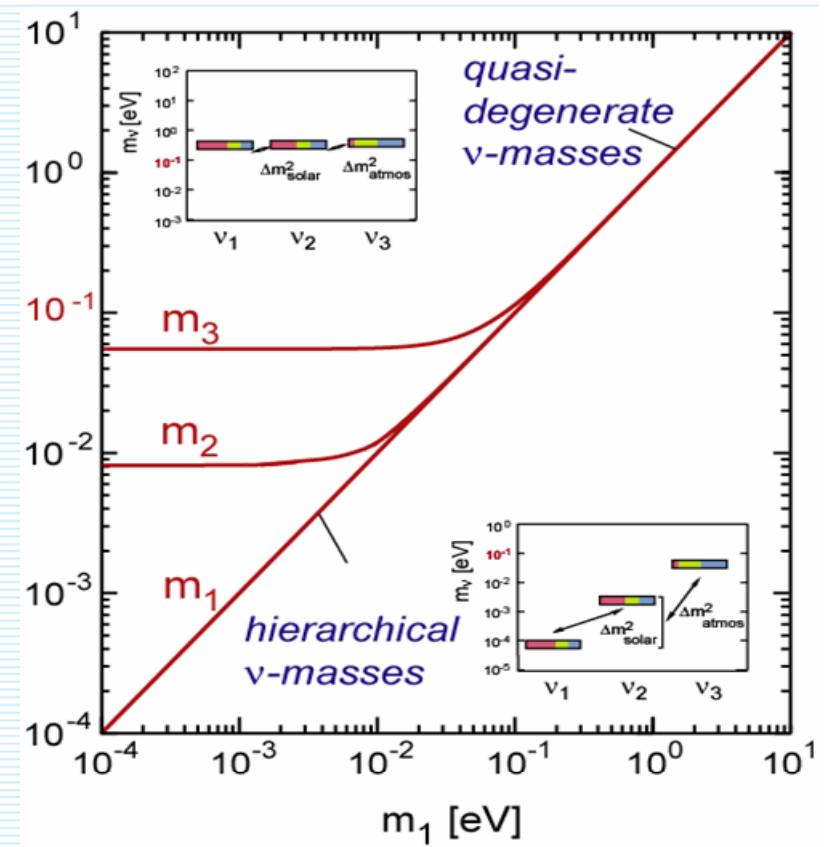
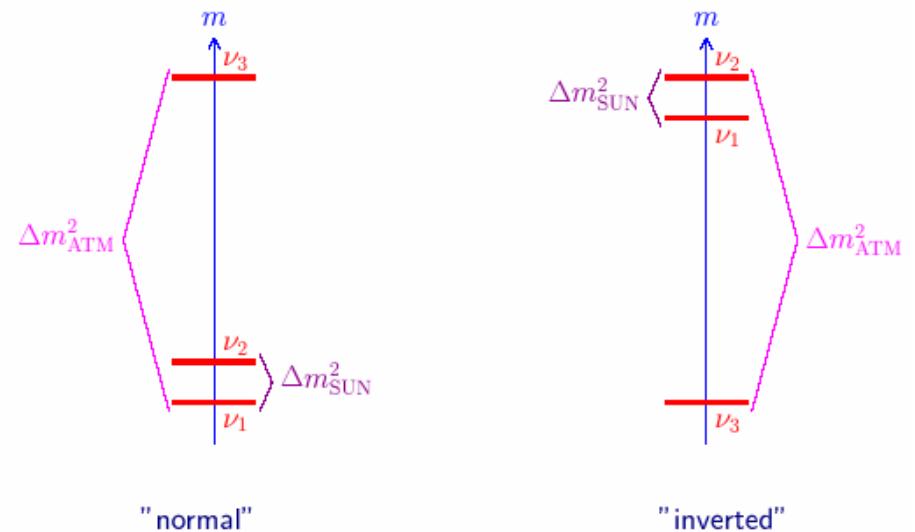
$$m_\beta = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 m_i^2}$$

9/19/2007

Cosmology

$$\sum_{i=1}^3 m_i$$

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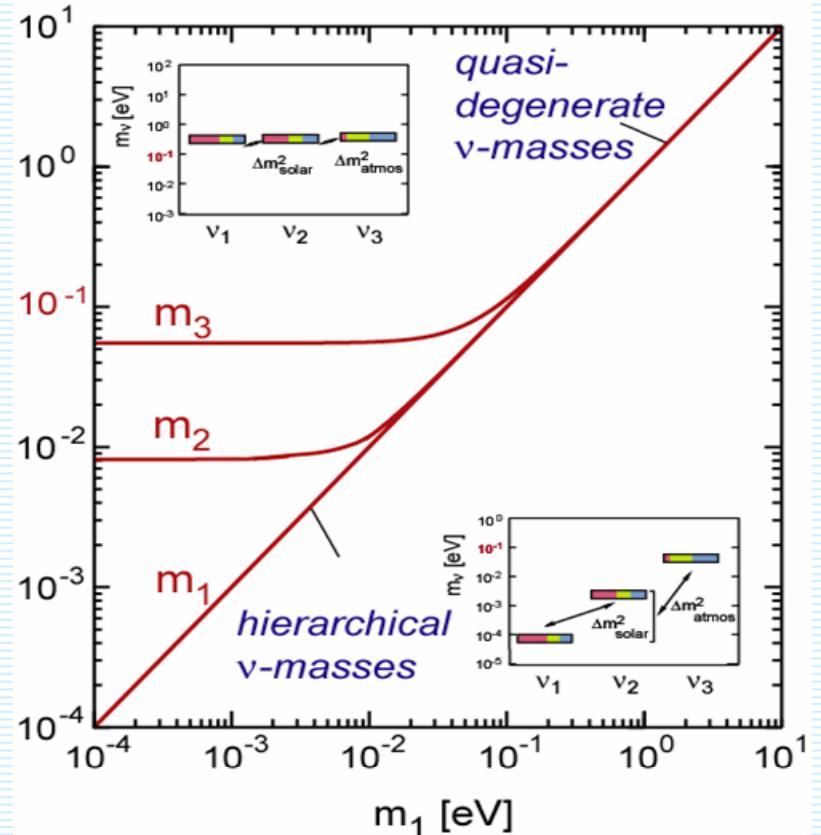


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Absolute mass scale of neutrinos

$0\nu\beta\beta$ -decay

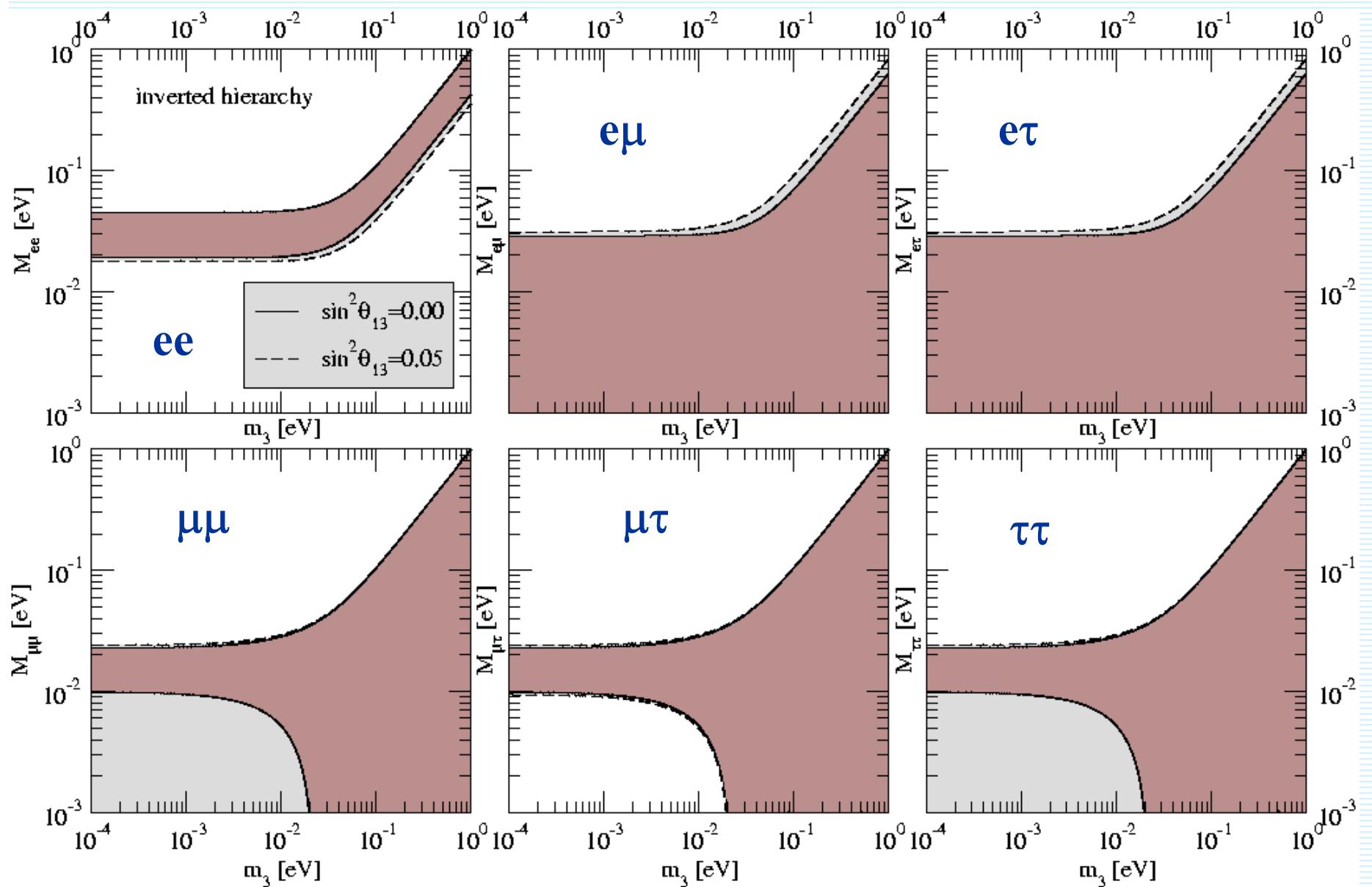
Tritium decay

Cosmology

$$m_{\beta\beta} = \sum_{i=1}^3 U_{ei}^2 m_i \quad m_\beta = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 m_i^2} \quad \sum_{i=1}^3 m_i$$

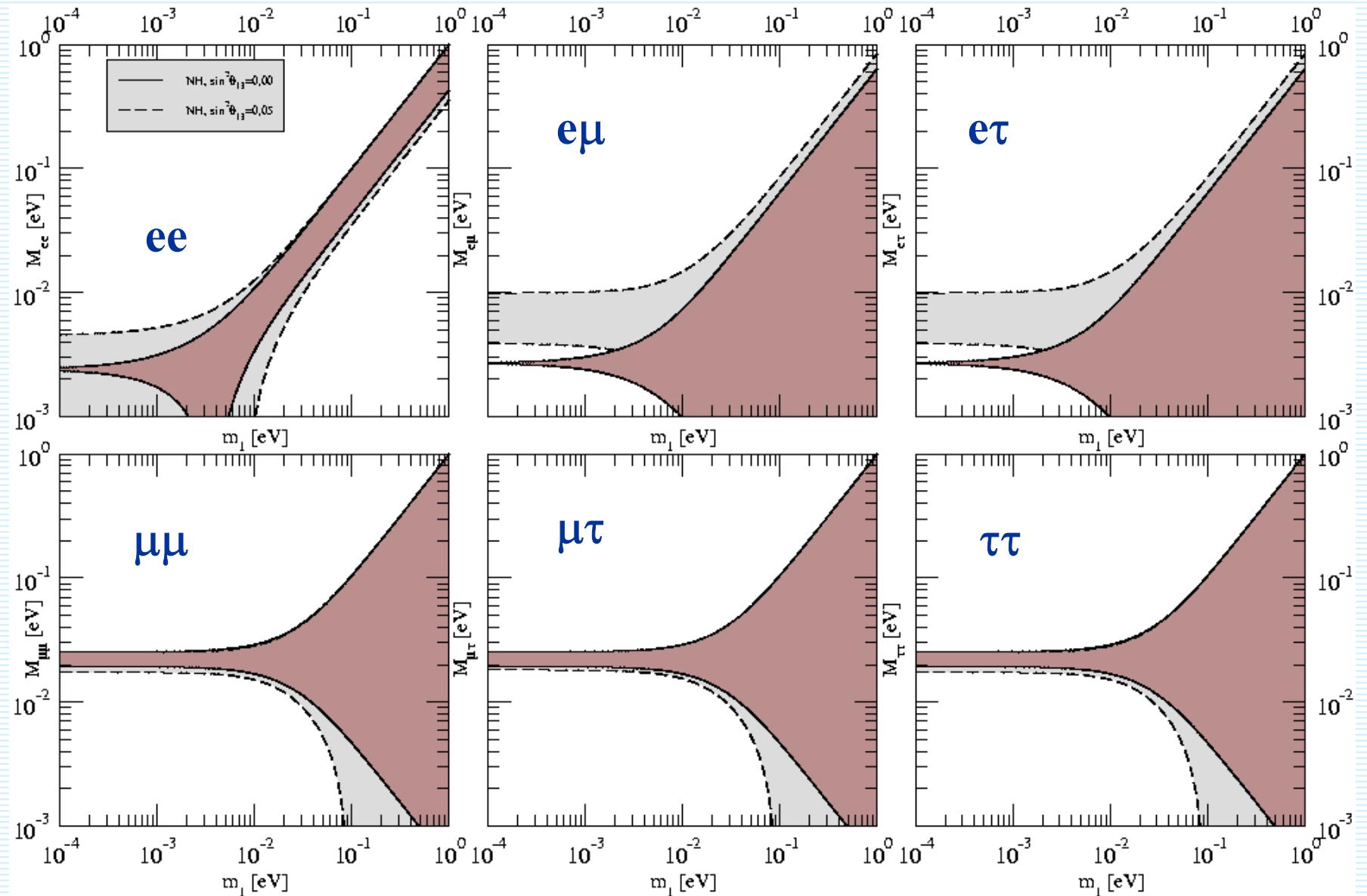
ν -masses in flavor basis: Inverted hierarchy

$$\begin{pmatrix} M_{ee} & M_{e\mu} & M_{e\tau} \\ M_{\mu e} & M_{\mu\mu} & M_{\mu\tau} \\ M_{\tau e} & M_{\tau\mu} & M_{\tau\tau} \end{pmatrix}$$



v-masses in flavor basis: Normal hierarchy

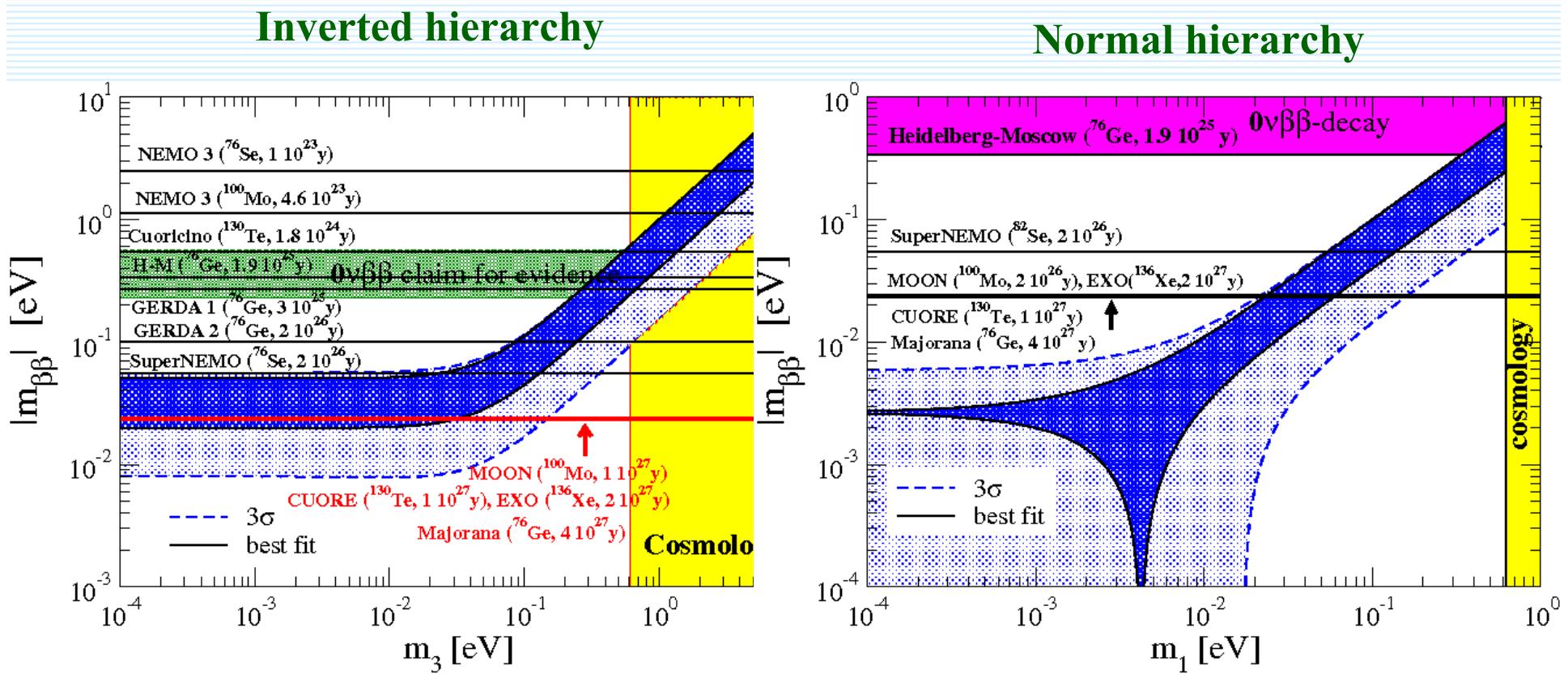
$$\begin{pmatrix} M_{ee} & M_{e\mu} & M_{e\tau} \\ M_{\mu e} & M_{\mu\mu} & M_{\mu\tau} \\ M_{\tau e} & M_{\tau\mu} & M_{\tau\tau} \end{pmatrix}$$



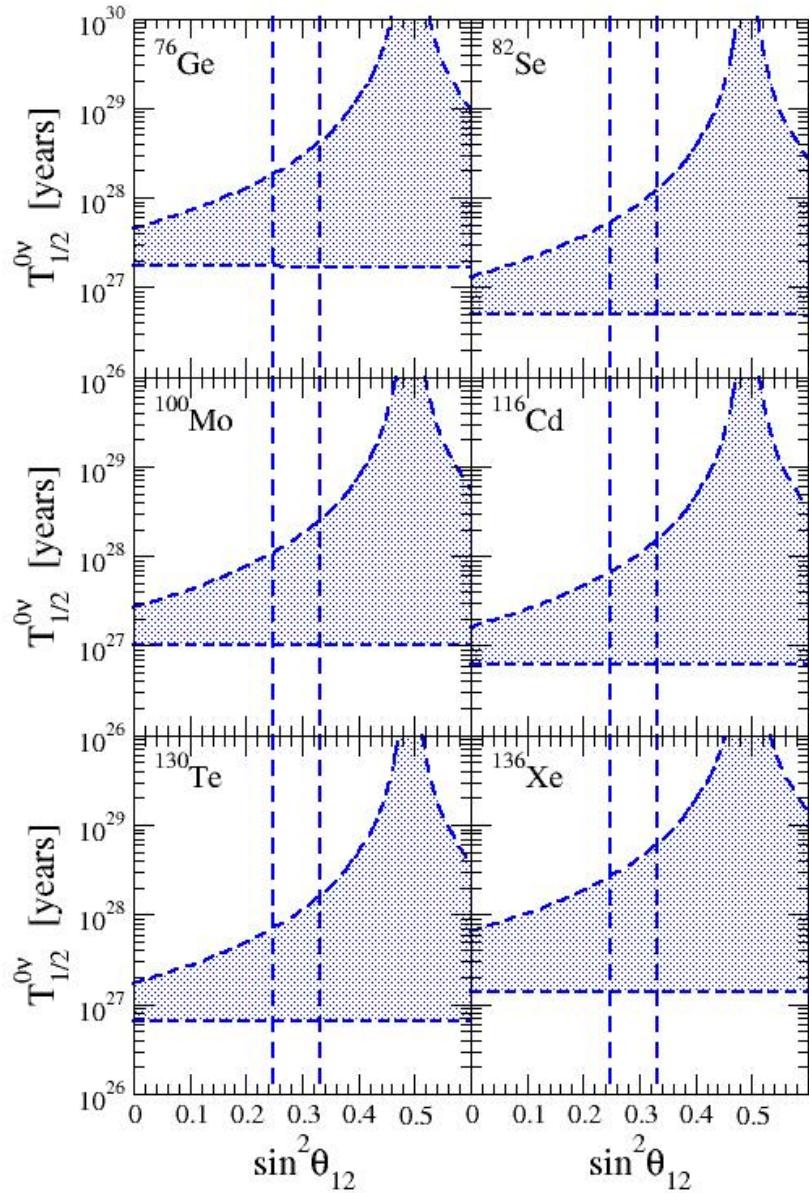
3 neutrino observables	Present knowledge	Near Future
$\theta_a \rightarrow \theta_{12}$	$45^\circ \pm 9^\circ$	$P(\nu_\mu \rightarrow \nu_\mu)$ MINOS , CNGS
$\theta_s \rightarrow \theta_{23}$	$33^\circ \pm 3^\circ$	$P(\nu_e \rightarrow \nu_e)$ SNO
$\theta_x \rightarrow \theta_{13}$	$\leq 9^\circ$	$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$ Reactor, $P(\nu_\mu \rightarrow \nu_e)$ LBL
Δm_a^2	$(2.5^{+2}_{-1}) \times 10^{-3} \text{ eV}^2$	$P(\nu_\mu \rightarrow \nu_\mu)$ MINOS , CNGS
$\text{sign}(\Delta m_a^2)$	unknown	$P(\nu_\mu \rightarrow \nu_e), P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ LBL
Δm_s^2	$(7.\pm 2.) \times 10^{-5} \text{ eV}^2$	$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$ KamLAND
$\text{sign}(\Delta m_s^2)$	+ (MSW)	done
δ	unknown	$P(\nu_\mu \rightarrow \nu_e), P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ LBL
Majorana	unknown	$0\nu\beta\beta$!
α_{12}	unknown	$0\nu\beta\beta$ (if $\approx 0, \pi$)
α_{23}	unknown	hopeless
m_ν	$\sum m_\nu < 1 \text{ eV}$	cosmology, $0\nu\beta\beta$, β -decay

Neutrino mass spectrum And perspectives of the $0\nu\beta\beta$ -decay search

What is the absolute mass scale of neutrinos: Limits from cosmology,
tritium beta decay, neutrinoless double beta decay
What are the Majorana CP phases? ...



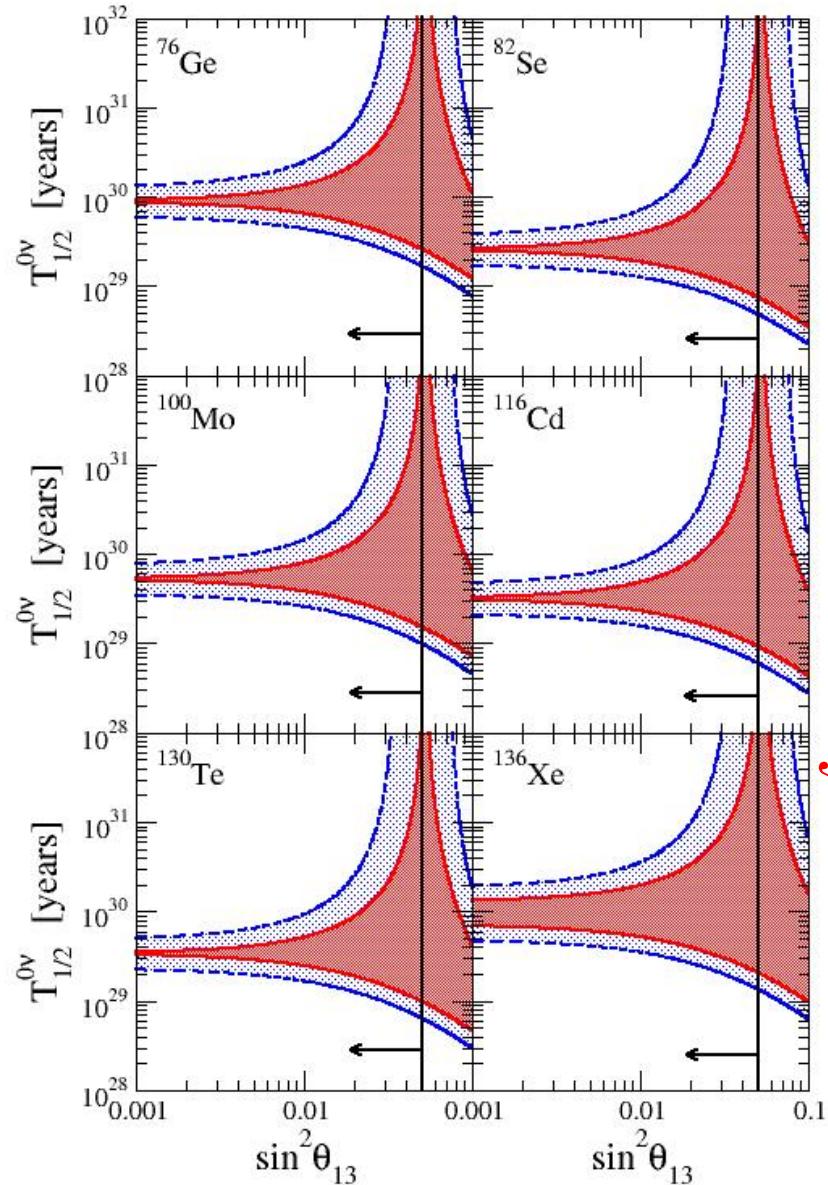
$$\sqrt{|\Delta m_{13}^2|} \cos 2\theta_{12} \leq |m_{\beta\beta}| \leq \sqrt{|\Delta m_{13}^2|}$$



Inverted hierarchy

$10^{27}\text{-}10^{28}$ years

$$|m_{\beta\beta}| \leq \sin^2 \theta_{12} \sqrt{\Delta m_{12}^2} + \sin^2 \theta_{13} \sqrt{\Delta m_{23}^2}$$

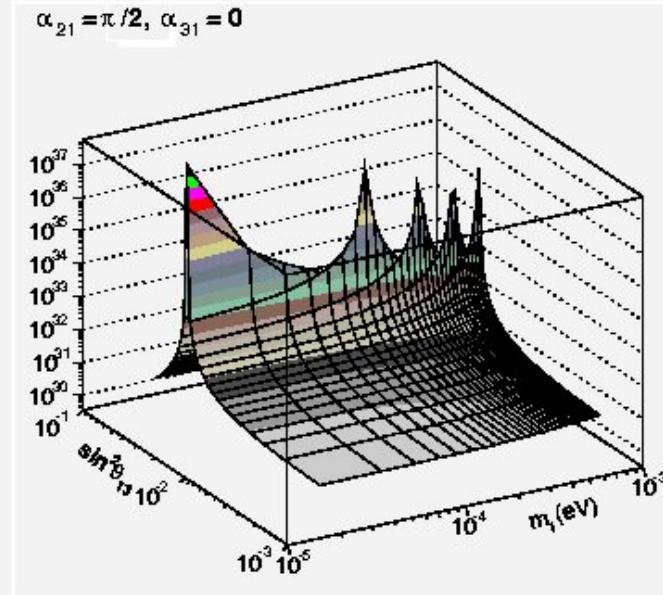
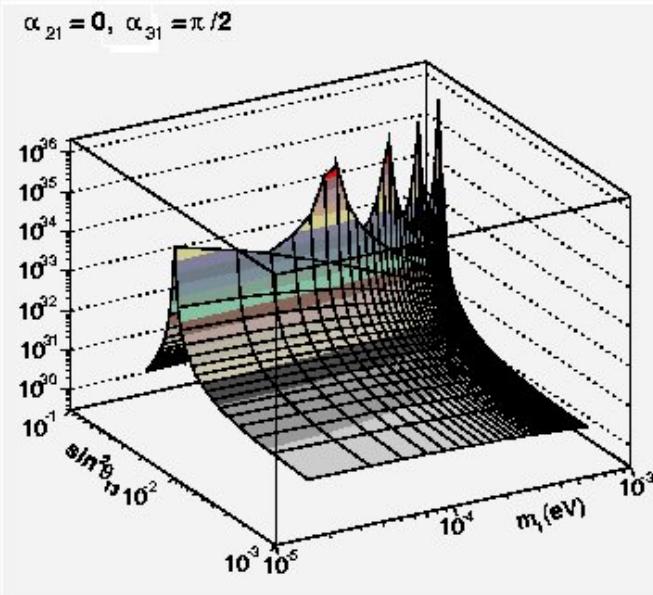
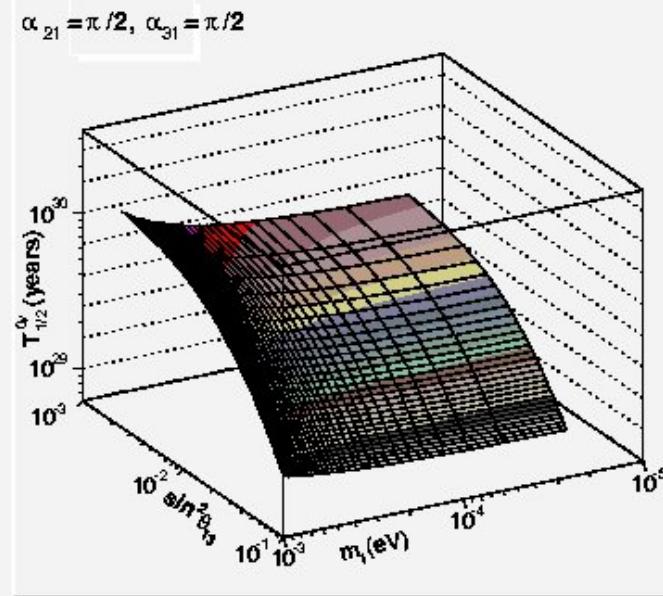
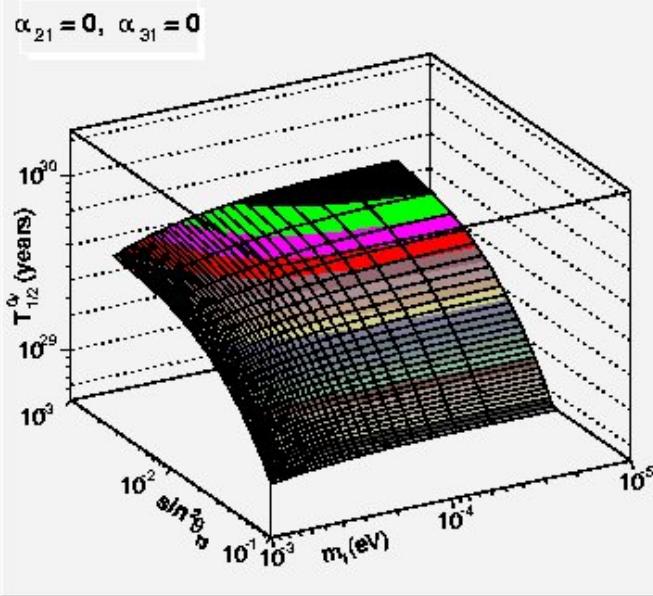


Normal hierarchy

$10^{29}\text{-}10^{30}$ years

Bilenky, Faessler, Gutsche, F.Š., PRD 72 (2005) 053015

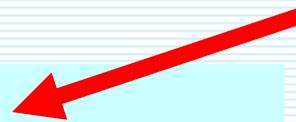
θ_{13} and the cancellation



Mechanisms of the $0\nu\beta\beta$ -decay

!!

- Neutrino mass mechanisms
 - ✓ masses: see-saw – sterile ✓
- R-parity breaking SUSY mechanisms
 - ✓ masses: $v.e.v + \text{rad. cor.}$
- Leptoquark exchange mechanisms
- Extra dimensions
-
-
-



We know that ν are
Massive particles



SUSY particles are
expected to be seen
at LHC

Light ν -exchange $0\nu\beta\beta$ -decay mechanism

S.M. Bilenky, S. Petcov, Rev. Mod. Phys. 59, 671 (1987)

Majorana condition

$$C \overline{\chi}_k^T(x) = \xi_k \chi_k(x)$$

Majorana particle propagator

$$\begin{aligned} <\chi_\alpha(x_1)\overline{\chi}_\beta(x_2)> &= \frac{-1}{(2\pi)^4} \int \left(\frac{1}{\gamma p - im} \right)_{\alpha\beta} e^{ip(x_1-x_2)} dp \\ &= S_{\alpha\beta}(x_1 - x_2) \end{aligned}$$

$$\begin{aligned} <\chi(x_1)\chi^T(x_2)> &= -\xi S(x_1 - x_2) C \\ <\overline{\chi}^T(x_1)\overline{\chi}(x_2)> &= \xi C^{-1} S(x_1 - x_2) \end{aligned}$$

Weak β -decay Hamiltonian

$$\mathcal{H}_W^\beta = \frac{G_F}{\sqrt{2}} \bar{e} \gamma_\alpha (1 + \gamma_5) \nu_e j_\alpha + h.c.$$

Neutrino mixing

$$\nu_{eL} = \sum_k U_{lk}^L \chi_{kL}$$

S-matrix term

$$S^{(2)} = -\frac{(-i)^2}{2} 4 \left(\frac{G_F}{\sqrt{2}} \right)^2 \int N \left[\overline{e_L}(x_1) \gamma_\alpha < \nu_{eL}(x_1) \nu_{eL}^T(x_2) > \gamma_\beta^T \overline{e_L}^T(x_2) \right] \times \\ T \left(j_\alpha(x_1) j_\beta(x_2) e^{-i \int \mathcal{H}_{str}(x) dx} \right) dx_1 dx_2$$

Contraction of v-fields

$$< \nu_{eL}(x_1) \nu_{eL}^T(x_2) > = - \sum_k \left(U_{ek}^L \right)^2 \xi_k \frac{1 + \gamma_5}{2} S_k(x_1 - x_2) \frac{1 + \gamma_5}{2} C \\ = \frac{i}{(2\pi)^4} \sum_k \left(U_{ek}^L \right)^2 \xi_k m_k \int \frac{e^{iq(x_1 - x_2)} dq}{q^2 + m_k^2} \frac{1 + \gamma_5}{2} C$$

**Effective mass of
Majorana neutrinos**

$$m_{\beta\beta} = \sum_k \left(U_{ek}^L \right)^2 \xi_k m_k$$

0νββ-decay matrix element

$$\begin{aligned} \langle f | S^{(2)} | i \rangle &= m_{\beta\beta} \left(\frac{G_F}{\sqrt{2}} \right)^2 N_{p_1} N_{p_2} \bar{u}(p_1) \gamma_\alpha (1 + \gamma_5) \gamma_\beta C \bar{u}^T(p_2) \times \\ &\quad \int e^{-ip_1 x_1} e^{-ip_2 x_2} \frac{-i}{(2\pi)^4} \int \frac{e^{iq(x_1 - x_2)} dq}{q^2} \times \\ &\quad \langle A' | T [J_\alpha(x_1) J_\beta(x_2)] | A \rangle dx_1 dx_2 - (p_1 \leftrightarrow p_2) \end{aligned}$$

Use of completeness $1 = \sum_n |\mathbf{n}\rangle \langle \mathbf{n}|$

$$\begin{aligned} \langle A' | J_\alpha(x_1) J_\beta(x_2) | A \rangle &= \sum_n \langle A' | J_\alpha(0, \vec{x}_1) | n \rangle \langle n | J_\beta(0, \vec{x}_2) | A \rangle \times \\ &\quad e^{-i(E' - E_n)x_{10}} e^{-i(E_n - E)x_{20}} \\ \langle f | S^{(2)} | i \rangle &= i m_{\beta\beta} \left(\frac{G_F}{\sqrt{2}} \right)^2 N_{p_1} N_{p_2} \bar{u}(p_1) \gamma_\alpha (1 + \gamma_5) \gamma_\beta C \bar{u}^T(p_2) \\ &\quad \times \int d\vec{x}_1 d\vec{x}_2 e^{-i\vec{p}_1 \cdot \vec{x}_1} e^{-i\vec{p}_2 \cdot \vec{x}_2} \frac{1}{(2\pi)^3} \int \frac{e^{i\vec{q} \cdot (\vec{x}_1 - \vec{x}_2)} d\vec{q}}{\vec{q}^2} \times \\ &\quad \sum_n \left(\frac{\langle A' | J_\alpha(0, \vec{x}_1) | n \rangle \langle n | J_\beta(0, \vec{x}_2) | A \rangle}{E_n + q_0 + p_{20} - E} + \right. \\ &\quad \left. \frac{\langle A' | J_\beta(0, \vec{x}_1) | n \rangle \langle n | J_\alpha(0, \vec{x}_2) | A \rangle}{E_n + q_0 + p_{10} - E} \right) \\ &\quad \times 2\pi \delta(E' + p_{10} + p_{20} - E) \end{aligned}$$

After integration
over time variables

Approximations and simplifications

- 1) Non-relativistic impulse approx. for nuclear current
- 2) Long-wave approximation for lepton wave functions
- 3) Closure approximation

$$J_\alpha(0, \vec{x}) = \sum_n \tau_n^+ (\delta_{\alpha 4} + i g_A (\vec{\sigma}_k)_k \delta_{\alpha k}) \delta(\vec{x} - \vec{x}_n)$$

$$e^{-i\vec{p}_1 \cdot \vec{x}_1 - i\vec{p}_2 \cdot \vec{x}_2} \rightarrow 1$$

$$E_n \rightarrow < E_n >$$

$$< f | S^{(2)} | i > = \bar{u}(p_1) \gamma_\alpha (1 + \gamma_5) \gamma_\beta C \bar{u}^T(p_2) A_{\alpha\beta}, \quad A_{\alpha\beta} = A_{\beta\alpha}$$

contribute

Hadron part is symmetric

$$J_\alpha(0, \vec{x}_1) J_\beta(0, \vec{x}_2) = J_\beta(0, \vec{x}_2) J_\alpha(0, \vec{x}_1)$$

$$\gamma_\alpha \gamma_\beta = \delta_{\alpha\beta} + \frac{1}{2} (\gamma_\alpha \gamma_\beta - \gamma_\beta \gamma_\alpha)$$


0νββ-decay matrix element

$$\begin{aligned}
 < f | S^{(2)} | i > &= i \, m_{\beta\beta} \left(\frac{G_F}{\sqrt{2}} \right)^2 N_{p_1} N_{p_2} \bar{u}(p_1) (1 - \gamma_5) C \bar{u}^T(p_2) \frac{1}{R} \\
 &\times (M_F - g_A^2 M_{GT}) \delta(p_{10} + p_{20} + M' - M)
 \end{aligned}$$

Nuclear matrix elements

$$M_F = \langle A' | \sum_{n,m} \tau_n^+ \tau_m^+ h(|\vec{x}_n - \vec{x}_m|) |A \rangle$$

$$M_F = \langle A' | \sum_{n,m} \tau_n^+ \tau_m^+ h(|\vec{x}_n - \vec{x}_m|) \vec{\sigma}_n \cdot \vec{\sigma}_m |A \rangle$$

Neutrino exchange potential

$$\begin{aligned} h(|\vec{x}_n - \vec{x}_m|) &= \frac{1}{2\pi^2} \int \frac{e^{i\vec{q} \cdot \vec{x}} d\vec{q}}{q_0(q_0 + \langle E_n \rangle - (E + E')/2)} \\ &\approx \frac{1}{|\vec{x}|} \end{aligned}$$

Differential $0\nu\beta\beta$ -decay rate

$$\begin{aligned} d\Gamma_{0\nu} &= \frac{1}{2} \frac{G_F^4 m_e^5}{(2\pi)^5} |\mathbf{m}_{\beta\beta}|^2 \frac{1}{R^2} |M_F - g_A^2 M_{GT}|^2 (1 - \cos \theta) \\ &\quad F^2(Z) (\varepsilon_0 - \varepsilon + 1)^2 (\varepsilon + 1) d\varepsilon \sin \theta d\theta \end{aligned}$$

$$F(Z) = \frac{2\pi\alpha(Z+2)}{1 - \exp[-2\pi\alpha(Z+2)]} \quad \varepsilon_0 = \frac{1}{m_e} (M - M' - 2m_e)$$

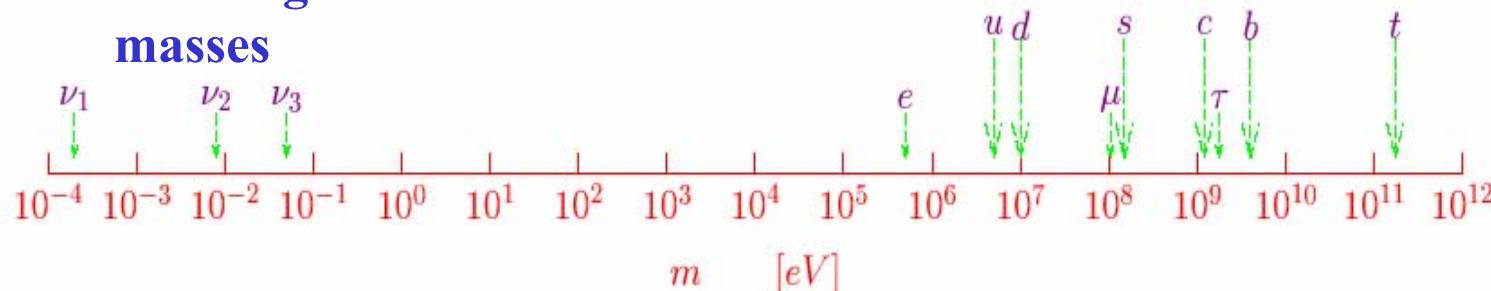
Full $0\nu\beta\beta$ -decay rate

$$\begin{aligned} \Gamma_{0\nu} &= \frac{1}{2} \frac{G_F^4 m_e^5}{(2\pi)^5} |\mathbf{m}_{\beta\beta}|^2 \frac{1}{R^2} |M_F - g_A^2 M_{GT}|^2 F^2(Z) \\ &\quad \times \frac{1}{15} (\varepsilon_0^5 + 10\varepsilon_0^4 + 40\varepsilon_0^3 + 60\varepsilon_0^2 + 30\varepsilon_0) \end{aligned}$$

Smallness of neutrino masses - Seesaw

Familiar light

masses

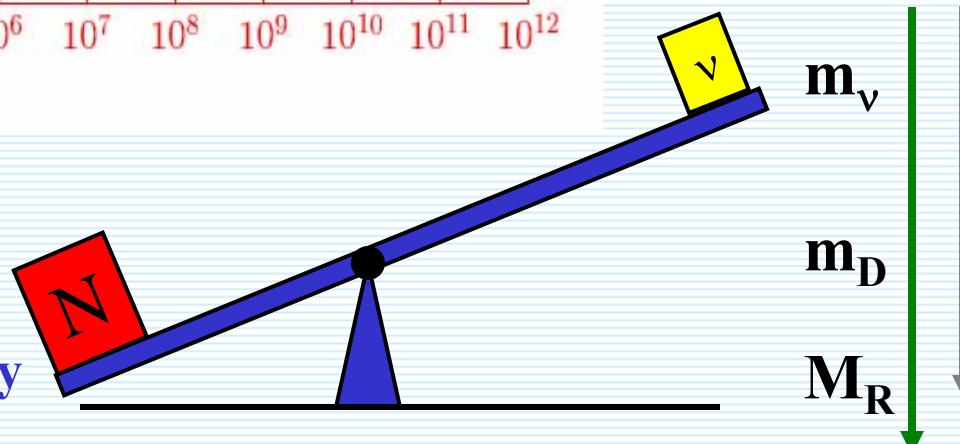


Sterile neutrinos ν_R

- fully sterile feels no gauge interaction of any sort. Singlets of the SM symmetry group
- weakly sterile does not feel SM gauge interactions

Left-right symmetric models:

$$SU(3) \otimes SU(2)_L \otimes SU(R)_R \otimes U(1)_{B-L}$$



T. Yanagida, M. Gell-Mann, P. Ramond,
R. Slansky

If ν_R exists \Rightarrow then neutrino are naturally massive \Rightarrow mass is unprotected by symmetry, can be large at a scale of LNV

Assumption $M_R \gg m_D$

$$\begin{pmatrix} \bar{\nu}_L & \overline{(\nu_R)^c} \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D & M_R \end{pmatrix} \begin{pmatrix} (\nu_L)^c \\ \nu_R \end{pmatrix}$$

Eigenvalues and eigenvectors

$$m_1 = m_D^2/M_R \ll m_D \quad m_2 \approx M_R$$

$$v_1 = v_L - m_D/M_R (v_R)^c \quad v_2 = v_R + m_D/M_R (v_L)^c$$

Left-right symmetric models SO(10)

**Two-charged
vector bosons**

$$W_1^\pm = \cos \zeta W_L^\pm + \sin \zeta W_R^\pm$$

$$W_2^\pm = -\sin \zeta W_L^\pm + \cos \zeta W_R^\pm$$

Parameters

$$-2 \cdot 10^{-4} \leq \zeta \leq 3.3 \cdot 10^{-3} \text{ (superallowed } \beta\text{-decay)}$$

$$M_1 = 81 \text{ GeV}, \quad M_2 > 715 \text{ GeV}, \quad (M_1/M_2)^2 < 10^{-2}$$

See-saw scenario

$$\nu_{eL} = \sum_{i=1}^{light} U_{ei} \chi_{iL} + \sum_{i=1}^{heavy} U_{ei} N_{iL}$$

9/19/2007

large

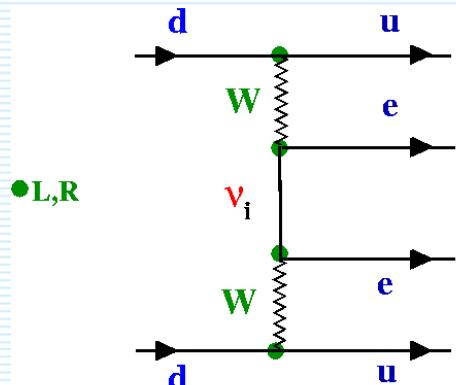
$$(\nu_{eR})^c = \sum_{i=1}^{light} V_{ei} \chi_{iL} + \sum_{i=1}^{heavy} V_{ei} N_{iL}$$

Fedor Simkovic

$$(v_{eR})^c = \sum_{i=1}^{light} V_{ei} \chi_{iL} + \sum_{i=1}^{heavy} V_{ei} N_{iL}$$

34

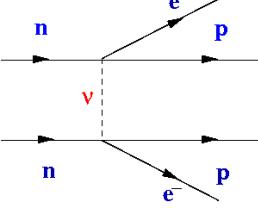
quark level



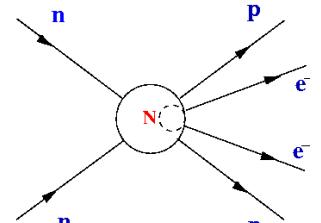
$$\begin{aligned} P_L \frac{\hat{q} + im}{q^2 + m^2} P_L &\Rightarrow \frac{im}{q^2} \\ P_L \frac{\hat{q} + im}{q^2 + m^2} P_R &\Rightarrow \frac{i\hat{q}}{q^2} \\ P_{L,R} \frac{\hat{q} + iM}{q^2 + M^2} P_{L,R} &\Rightarrow \frac{i}{M} \end{aligned}$$

nucleon level

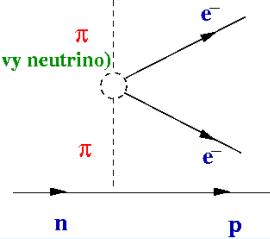
Light neutrino exchange



Heavy neutrino exchange



two-pion exchange (heavy neutrino)

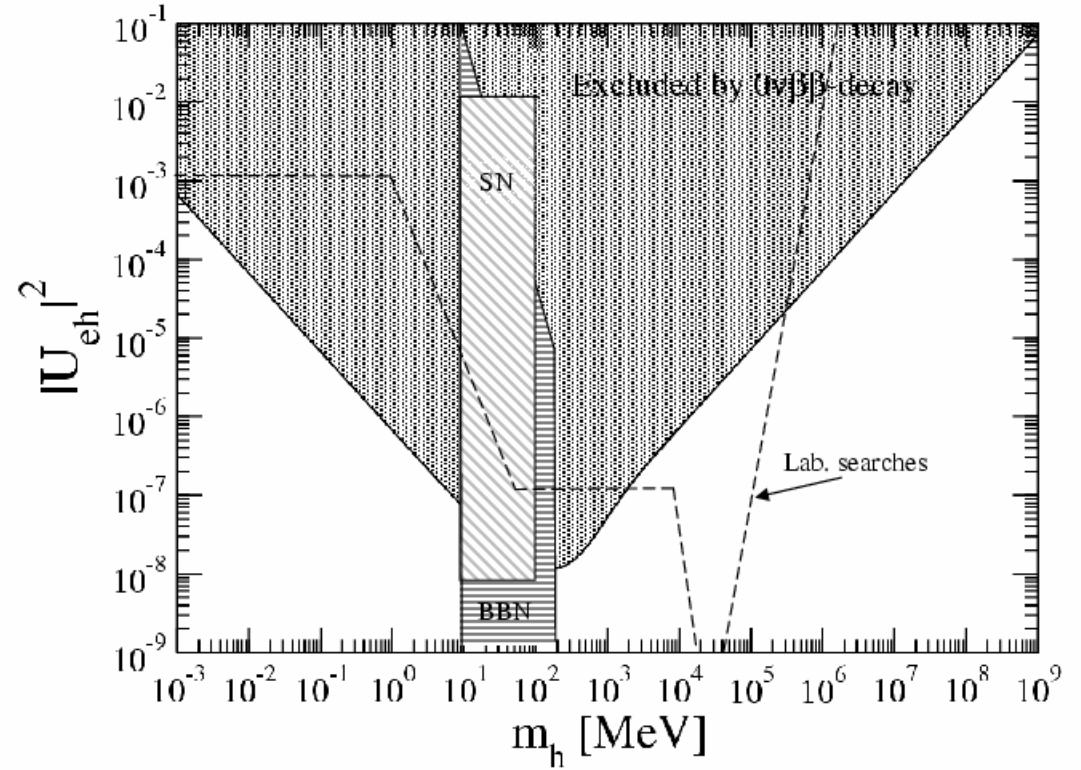
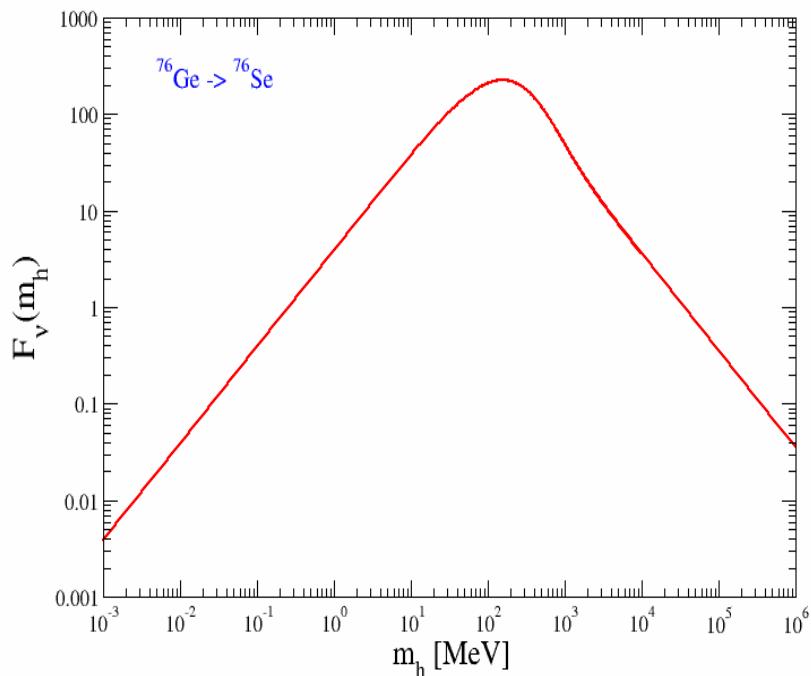


Mechanisms

neutrino	lept.v.	quarkv.	hadr.m.	supp.f.	$LNVp.$	limit
light	<i>LL</i>	<i>LL</i>	$2n$		$\sum^{\text{light}} UUm$	$m_{\beta\beta} \leq 0.5 \text{ eV}$
	<i>LR</i>	<i>LR</i>	$2n$	$(M_1/M_2)^2$	$\sum^{\text{light}} UV$	$<\lambda> \leq 7 \cdot 10^{-7}$
	<i>LR</i>	<i>LL</i>	$2n$	$\tan \zeta$	$\sum^{\text{light}} UV$	$<\eta> \leq 4 \cdot 10^{-9}$
heavy	<i>LL</i>	<i>LL</i>	$2n$	—	$\sum^{\text{heavy}} UU m_p/M$	$\eta_N \leq 8 \cdot 10^{-8}$
	<i>RR</i>	<i>RR</i>	$2n$	$(M_1/M_2)^4$	$\sum^{\text{heavy}} VV m_p/M$	
	<i>RR</i>	<i>LL</i>	$2n$	$(\tan \zeta)^4$	$\sum^{\text{heavy}} VV m_p/M$	
	<i>RR</i>	<i>RL</i>	2π	$\tan \zeta (M_1/M_2)^2$	$\sum^{\text{heavy}} VV m_p/M$	

Sterile neutrino in $0\nu\beta\beta$ -decay

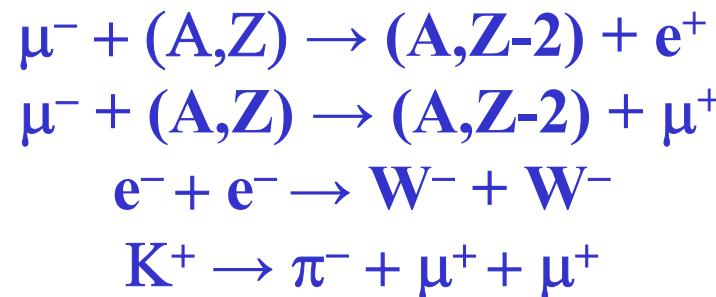
$$[T_{1/2}^{0\nu}]^{-1} = G_{01} \left| \frac{\langle m_\nu \rangle_{ee}}{m_e} M_\nu^{light} + U_{eh}^2 \frac{m_h}{m_e} M^{0\nu}(m_h) \right|^2.$$



$$F_\nu(m_h) = \frac{m_h}{m_e} M^{0\nu}(m_h)$$

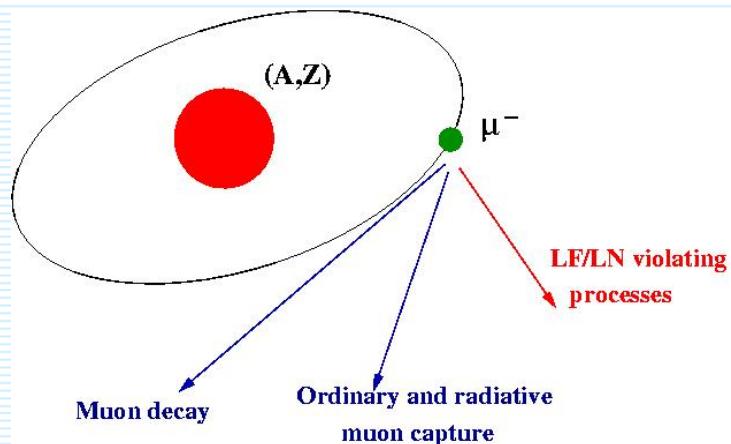
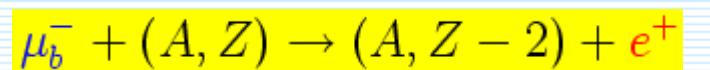
$$|U_{eh}|^2 \leq \frac{1}{|F_\nu(m_h)|} \frac{1}{\sqrt{T_{1/2}^{0\nu-exp} G_{01}}},$$

Analogues of neutrinoless double beta decay



$$m_{\beta\beta} \xrightarrow{\hspace{1cm}} \begin{pmatrix} M_{ee} & M_{e\mu} & M_{e\tau} \\ M_{\mu e} & M_{\mu\mu} & M_{\mu\tau} \\ M_{\tau e} & M_{\tau\mu} & M_{\tau\tau} \end{pmatrix}$$

Muon-positron conversion



$$\frac{\Gamma_{\mu e^+}}{\Gamma_{\beta\beta}} = 176 \left| \frac{m_{\mu e}}{m_{\beta\beta}} \right|^2$$

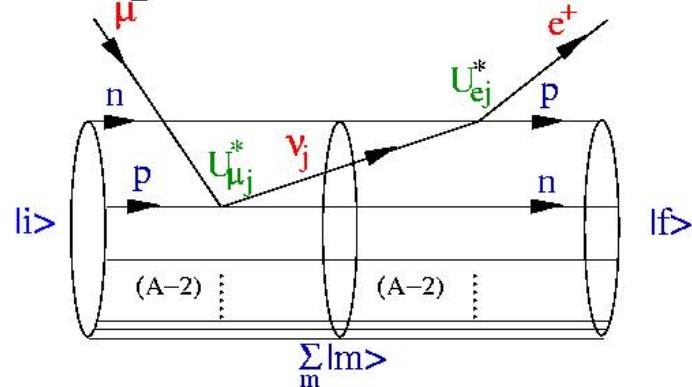
$$\frac{\Gamma_{\mu e^+}}{\Gamma_\mu} = 1.3 \cdot 10^{-25} \left| \frac{m_{\mu e}}{m_e} \right|^2$$

**Domin, Kovalenko, Faessler, Šimkovic,
PRD 70, 065501 (2004)**

9/19/2007

$$\sum_m \frac{|m\rangle \langle m|}{q - E_{\mu^-} + E_m - E_i + i\epsilon_m}$$

a)

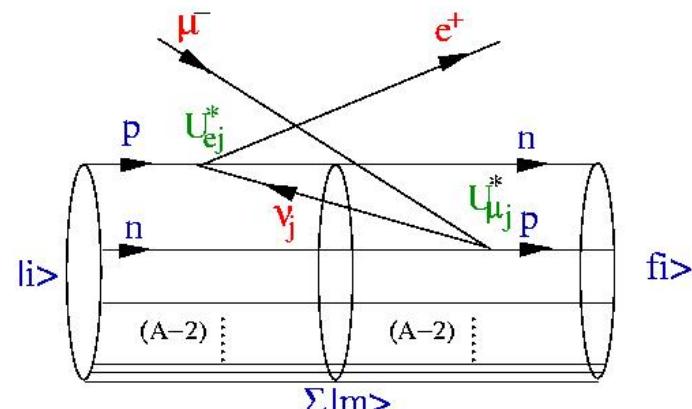


(A, Z)

$(A, Z-1)$

$(A, Z-2)$

b)

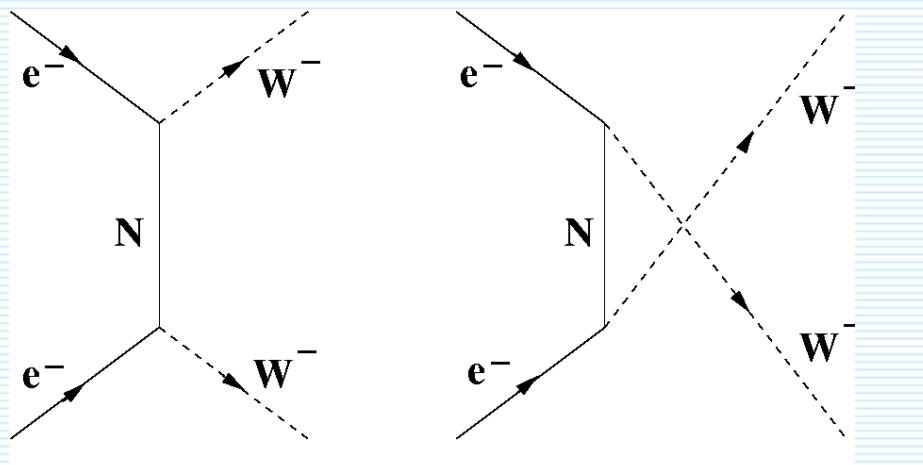


Fedor Simko

$$\sum_m \frac{|m\rangle \langle m|}{q + E_{e^+} + E_m - E_i + i\epsilon_m}$$

Inverse $0\nu\beta\beta$ -decay: $e^- e^- \rightarrow W^- W^-$

$$\frac{d\sigma}{d \cos \theta} = \frac{g^4}{10024\pi M_W^4} \left[\sum_i \textcolor{red}{M}_i |U_{ei}|^2 \left(\frac{t}{(t - \textcolor{red}{M}_i^2)} + \frac{u}{(u - \textcolor{red}{M}_i^2)} \right) \right]^2$$



Belanger et al. PRD 53 (1996) 6292

The same LNV parameters
as in $0\nu\beta\beta$ -decay:

$$|m_{\beta\beta}| < 0.55 \text{ eV}$$

$$|\eta_N| < 10^{-7}$$

Small neutrino masses

$$\frac{d\sigma}{d \cos \theta} = \frac{g^4}{256\pi M_W^4} |m_{\beta\beta}|^2 \leq 1.3 \times 10^{-17} \text{ fb}$$

Not observable at any future collider

Heavy neutrino masses

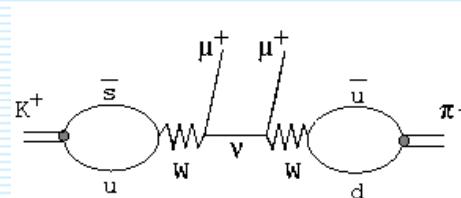
$$\frac{d\sigma}{d \cos \theta} = \frac{g^4}{1024\pi M_W^4} \frac{s^2}{m_p^2} |\eta_N|^2 \leq 4.9 \times 10^{-3} \text{ fb}$$

The hoped-for luminosity at a $\sqrt{s}=1$ TeV NLC is 80 fb^{-1}

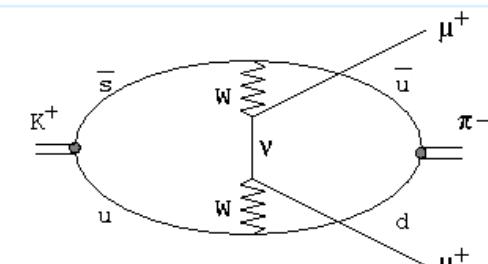
K-meson neutrinoless double muon decay

$$K^+ \rightarrow \pi^- \mu^+ \mu^+$$

Dib,Gribanov, Kovalenko, Schmidt,
PLB 493 (2000) 82



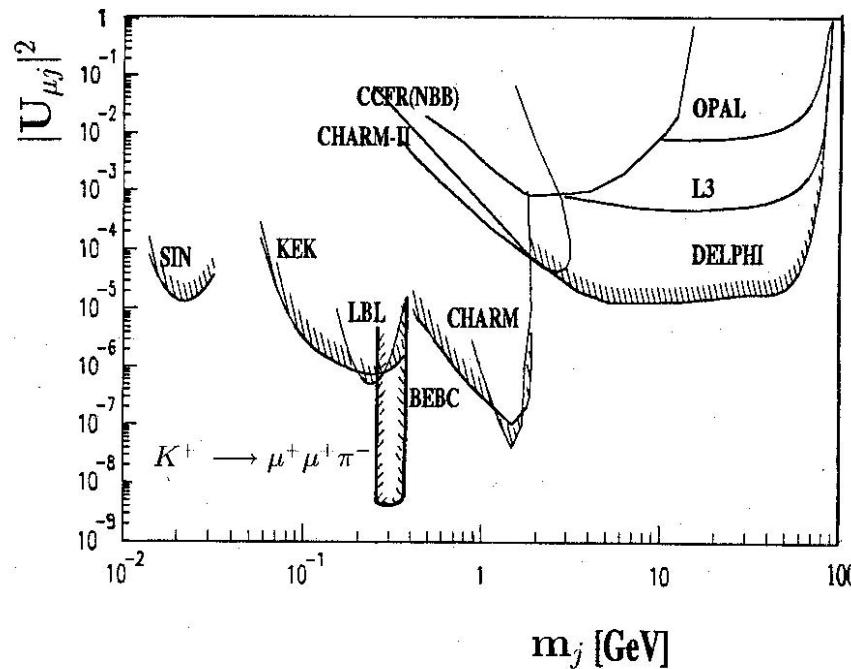
(a)



(b)

E865 experiment
at BNL:

$$R < 2.0 \times 10^{-9}$$



$$245 \text{ MeV} \leq m_{\nu_j} \leq 398 \text{ MeV} \Rightarrow |U_{\mu j}|^2 \leq (5.6 \pm 1) \times 10^{-9}$$

The decay width of
sterile neutrino play
important role

$$(m_j \rightarrow m_j + i\Gamma_{\nu_k}/2)$$

$$\nu_k \rightarrow e^+ \pi^-, \mu^+ \pi^- \dots$$

R-parity breaking mechanisms of the $0\nu\beta\beta$ -decay

massless ν

MSSM

GUT constrained MSSM
(mSUGRA)

Neutralino is dark matter candidate

massive ν

R-parity breaking
GUT constrained MSSM

$0\nu\beta\beta$ -decay

Neutralino is not dark matter candidate

Minimal Supersymmetric Standard Model

Normal particles / fields		Supersymmetric particles / fields			
Symbol	Name	Symbol	Name	Symbol	Name
$q = d, c, b, u, s, t$	quark	\tilde{q}_L, \tilde{q}_R	squark	\tilde{q}_1, \tilde{q}_2	squark
$l = e, \mu, \tau$	lepton	\tilde{l}_L, \tilde{l}_R	slepton	\tilde{l}_1, \tilde{l}_2	slepton
$\nu = \nu_e, \nu_\mu, \nu_\tau$	neutrino	$\tilde{\nu}$	sneutrino	$\tilde{\nu}$	sneutrino
g	gluon	\tilde{g}	gluino	\tilde{g}	gluino
W^\pm	W-boson	\tilde{W}^\pm	wino	$\tilde{\chi}_\ell^\pm$	chargino
H^\mp	Higgs boson	$\tilde{H}_{1/2}^\mp$	Higgsino		
B	B-field	\tilde{B}	bino		
W^3	W ³ -field	\tilde{W}^3	wino		
H_1^0	Higgs boson	\tilde{H}_1^0	Higgsino	$\tilde{\chi}_{1,2,3,4}^0$	neutralino
H_2^0	Higgs boson	\tilde{H}_2^0	Higgsino		
H_{31}^0	Higgs boson				

R=+1

R-parity: R=(-1)^{3B+L+2S}

R=-1

$$G_{SM} = SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$$

Superfield	spin 1/2	spin 0	Y	T_3	Q
\hat{Q}	$\begin{pmatrix} u_L \\ d_L \end{pmatrix}$	$\begin{pmatrix} \tilde{u}_L \\ \tilde{d}_L \end{pmatrix}$	$+\frac{1}{6}$	$+\frac{1}{6}$	$+\frac{2}{3}$
\hat{U}^c	\bar{u}_R	\tilde{u}_R^*	$-\frac{2}{3}$	0	$-\frac{2}{3}$
\hat{D}^c	\bar{d}_R	\tilde{d}_R^*	$+\frac{1}{3}$	0	$+\frac{1}{3}$
\hat{L}	$\begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$	$\begin{pmatrix} \tilde{\nu}_L \\ \tilde{e}_L \end{pmatrix}$	$-\frac{1}{2}$	$+\frac{1}{2}$ $-\frac{1}{2}$	0 -1
\hat{E}^c	\bar{e}_R	\tilde{e}_R^*	+1	0	+1
\hat{H}_u	$\begin{pmatrix} \tilde{H}_u^+ \\ \tilde{H}_u^0 \end{pmatrix}$	$\begin{pmatrix} H_u^+ \\ H_u^0 \end{pmatrix}$	$+\frac{1}{2}$	$+\frac{1}{2}$ $-\frac{1}{2}$	+1 0
\hat{H}_d	$\begin{pmatrix} \tilde{H}_d^+ \\ \tilde{H}_d^0 \end{pmatrix}$	$\begin{pmatrix} H_d^+ \\ H_d^0 \end{pmatrix}$	$-\frac{1}{2}$	$+\frac{1}{2}$ $-\frac{1}{2}$	0 -1

There is no right-handed neutrino superfield !

N	$\bar{\nu}_R$	$\tilde{\nu}_R^*$	0	0	0
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MSSM

1973 SUSY introduced as a part of extension of the special relativity

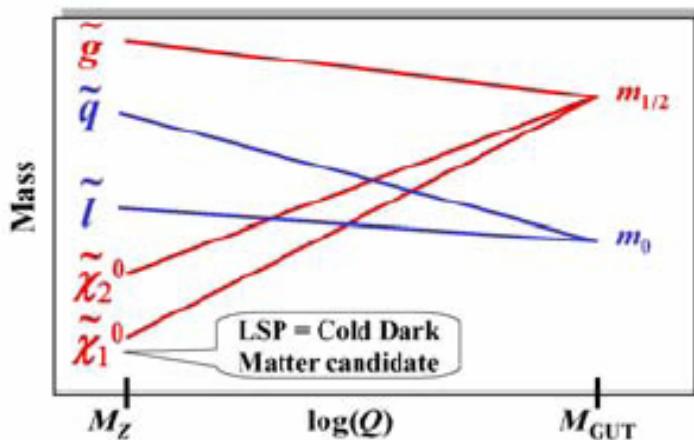
The MSSM is the simplest extension of the SM

Minimal Supergravity Model (mSUGRA)

SUSY model with two Higgs fields in the framework of unification

All SUSY masses are unified at
the grand unified scale

$m_{1/2}$ for gaugino masses
 m_0 for squarks and sleptons



$m_{1/2}$ = gaugino mass parameter
 $m_0(M_2)$ = scalar mass parameter
 for squarks and sleptons
 A_0 = Trilinear scalar coupling
 (A_b -bottom sector)
 (A_t -top sector)
 $\tan \beta = \langle H_1 \rangle / \langle H_2 \rangle$
 μ = Higgsino mass parameter

SUSY broken near GUT scale

Parameter	μ	M_2	$\tan \beta$	m_A	m_0	A_b/m_0	A_t/m_0
Unit	GeV	GeV	1	GeV	GeV	1	1
Min	-50000	-50000	1	0	100	-3	-3
Max	+50000	+50000	60	10000	30000	3	3

R-parity Breaking MSSM (neutralino is not dark matter candidate)

$$\lambda_{ijk} LLE + \lambda'_{ijk} LQD + \lambda''_{ijk} UDD$$

9 + 27 + 9 = 45 coupling constants

R-parity breaking terms

In superpotential

$\lambda'_{11k} * \lambda''_{11k} < 10^{-22}$ proton decay

$\lambda < 10^{-3}$ to 10^{-1} with $\lambda_{133} < 0.003$ limit on ν_e mass

$\lambda' < 10^{-2}$ to 10^{-1} with $\lambda'_{111} < 4 \cdot 10^{-4}$ neutrinoless beta decay

Neutrino-Neutralino mixing matrix (see-saw structure)

$$\mathcal{M}_\nu = \begin{pmatrix} 0 & m \\ m^T & M_\chi \end{pmatrix}$$

$$\Psi_{(0)}^T = (\nu_e, \nu_\mu, \nu_\tau, -i\lambda', -i\lambda_3, \tilde{H}_1^0, \tilde{H}_2^0),$$

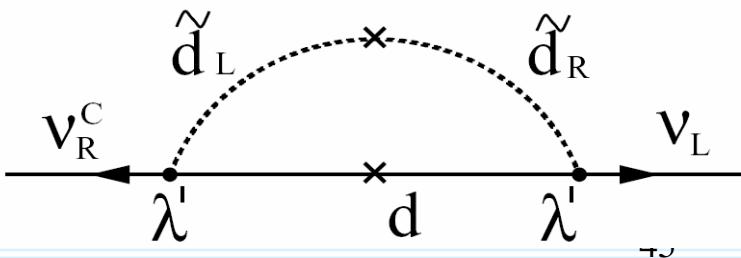
Radiative corrections to neutrino mass

$$\mathcal{M}_\nu = \mathcal{M}^{tree} + \mathcal{M}^l + \mathcal{M}^q$$

Gozdz, Kaminski, Šimkovic, PRD 70 (2004) 095005

9/19/2007

Fedor Simkovic

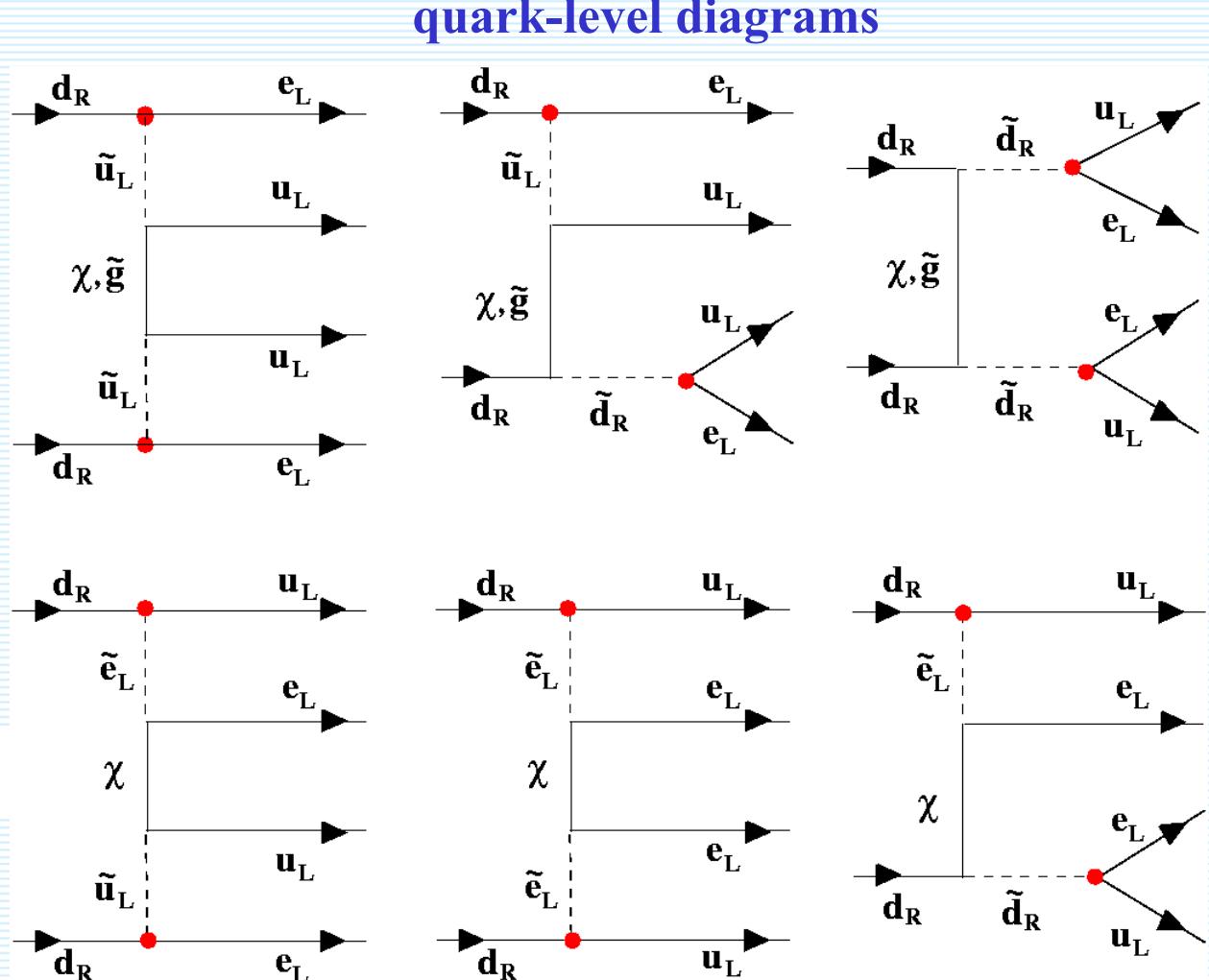


I. gluino/neutralino exchange R-parity breaking SUSY mechanism of the $0\nu\beta\beta$ -decay

$$d + d \rightarrow u + u + e^- + e^-$$

exchange of
squarks,
neutralinos
and
gluinos

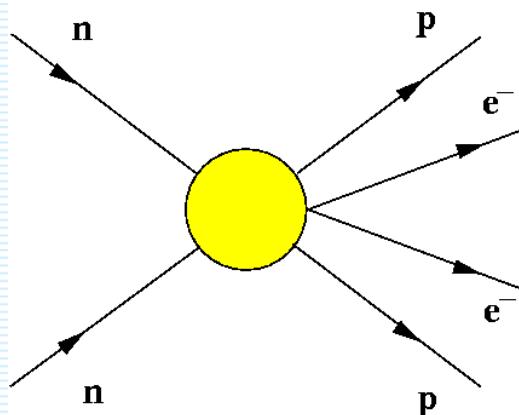
$(\lambda'_{111})^2$ mechanism



● R-parity violation

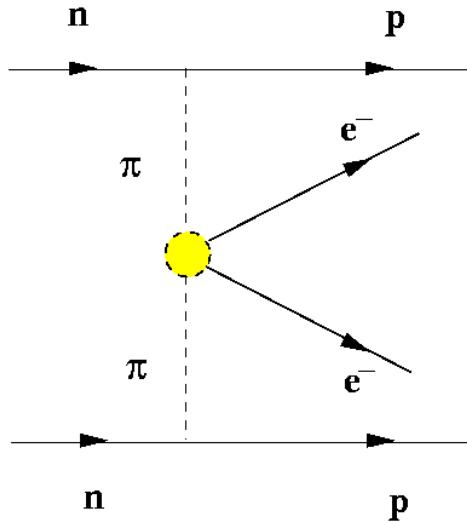
$$\mathcal{L}_{qe} = \frac{G_F^2}{2m_p} \bar{e}(1 + \gamma_5)e^c \left[\eta^{PS} J_{PS}J_{PS} - \frac{1}{4} \eta^T J_T^{\mu\nu} J_{T\mu\nu} \right].$$

Two-nucleon mechanism



Can be neglected

Pion-exchange mechanism



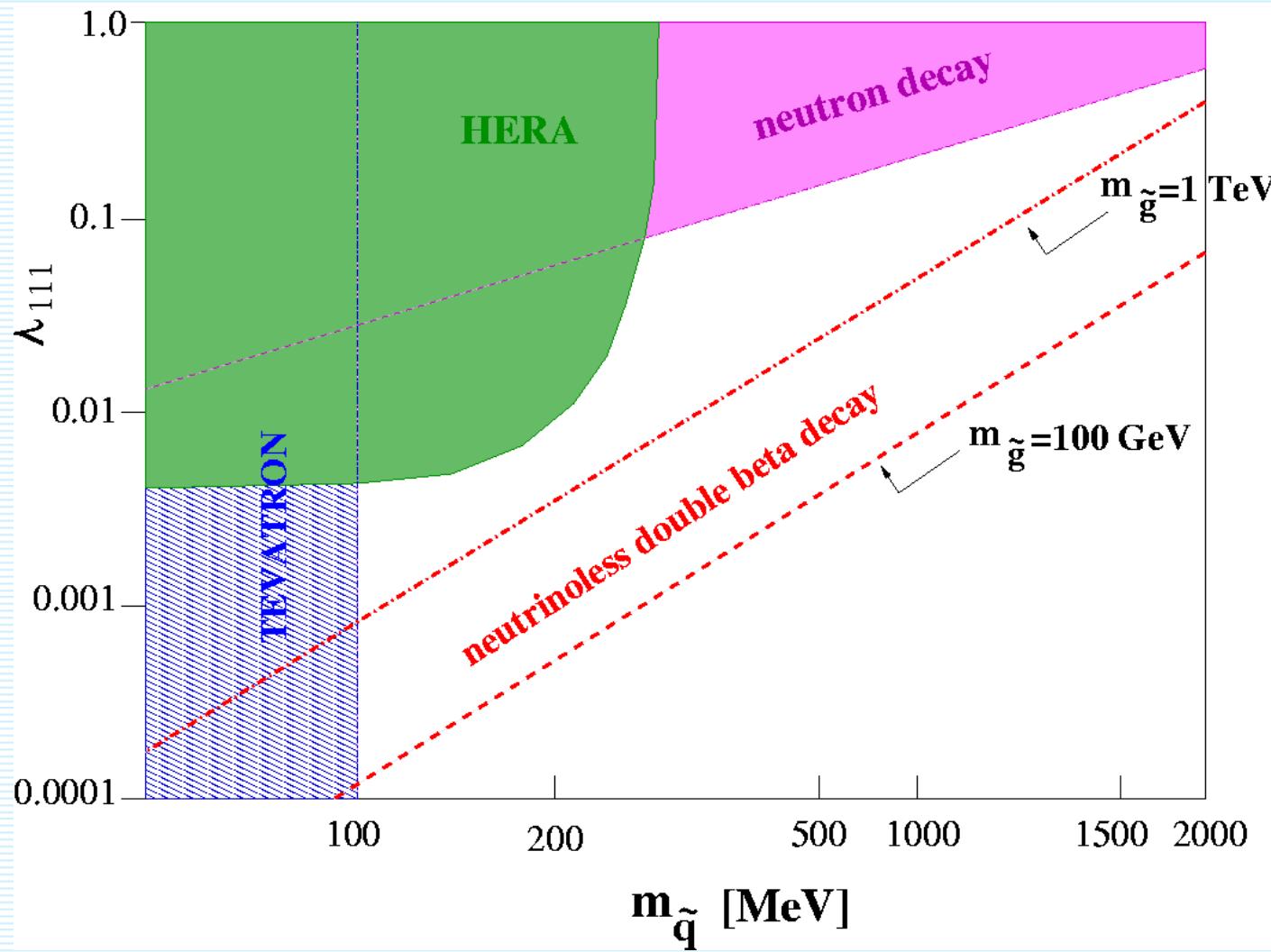
Hadron-level diagrams

Faessler, Kovalenko, Šimkovic
PRL 78 (1998) 183
Wodecki, Kaminski, Šimkovic,
PRD 60 (1999) 11507

$$\langle 0 | \bar{u} \gamma_5 d | \pi^- \rangle = i \sqrt{2} f_\pi \frac{m_\pi^2}{m_u + m_d}, \quad (m_\pi / (m_u + m_d) \approx 13)$$

$$\langle 0 | \bar{u} \gamma_\alpha \gamma_5 d | \pi^- \rangle = i \sqrt{2} f_\pi k_\alpha$$

Limit on R-parity breaking parameter λ'_{111}

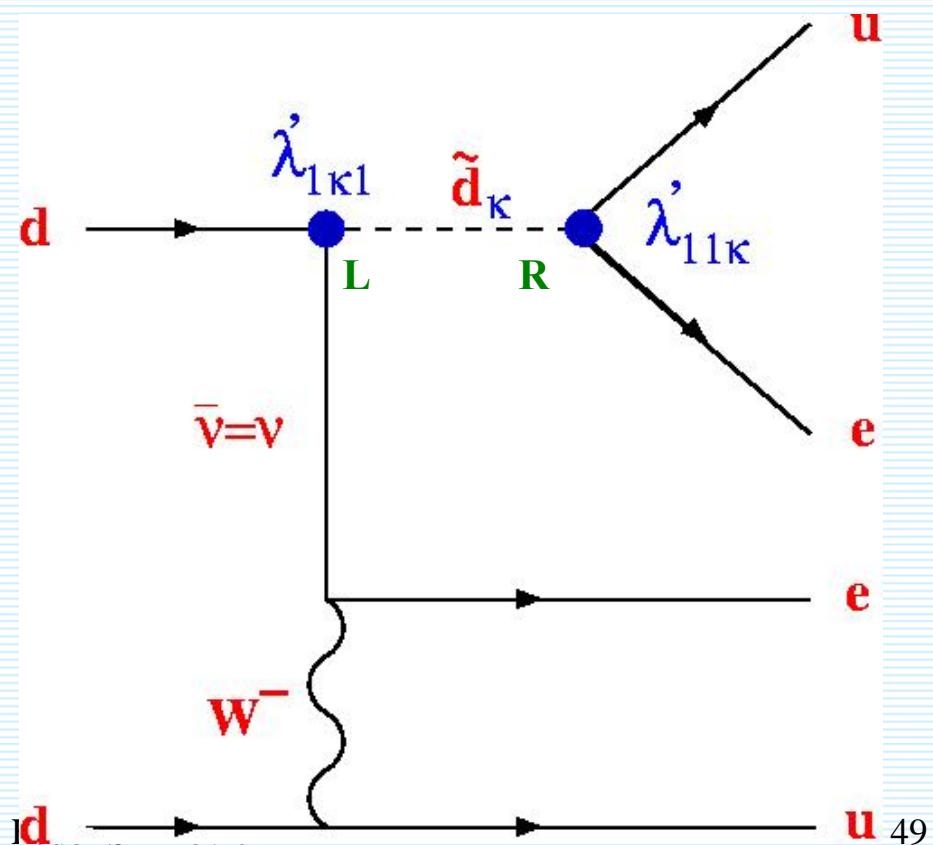


$$\lambda'_{111} = 1.3 \cdot 10^{-4} \left(\frac{m_{\tilde{q}}}{100 \text{ GeV}} \right)^2 \left(\frac{m_{\tilde{g}}}{100 \text{ GeV}} \right)^{1/2}$$

II. Squark mixing SUSY mechanism

$$M_{\tilde{d}^k}^2 = \begin{pmatrix} m_{\tilde{d}_L^k}^2 + m_{d^k}^2 - \frac{1}{6}(2m_W^2 + m_Z^2) \cos 2\beta & -m_{d^k}((\mathbf{A}_D)_{kk} + \mu \tan \beta) \\ -m_{d^k}((\mathbf{A}_D)_{kk} + \mu \tan \beta) & m_{\tilde{d}_R^k}^2 + m_{d^k}^2 + \frac{1}{3}(m_W^2 - m_Z^2) \cos 2\beta \end{pmatrix}$$

Mixing between scalar superpartners of the left- and right-handed fermions



Effective SUSY ν-e Lagrangian

Neutrino vertex

$$\mathcal{L}^{LH} = \frac{G_F}{\sqrt{2}} \sum_i U_{ei} (\bar{e} \gamma_\alpha (1 - \gamma_5) \nu) (\bar{u} \gamma^\alpha (1 - \gamma_5) d) + h.c. \quad (V - A)$$

R-parity violating SUSY vertex

Hirsch, Klapdor-Kleingrothaus, Kovalenko
PLB 372 (1996) 181

$$\begin{aligned} \mathcal{L}_{SUSY}^{eff} = & \frac{G_F}{\sqrt{2}} \left(\frac{1}{4} \eta_{(q)LR} \sum_i U_{ei}^* (\bar{\nu} (1 + \gamma_5) e) (\bar{u} (1 + \gamma_5) d) \right. \\ & \left. + \frac{1}{8} \eta_{(q)LR} \sum_i U_{ei}^* (\bar{\nu} \sigma_{\alpha\beta} (1 + \gamma_5) e) (\bar{u} \sigma^{\alpha\beta} (1 + \gamma_5) d) + h.c. \right) \quad (S, P) \end{aligned}$$

Paes, Hirsch, Klapdor-Kleingrothaus,
PLB 459 (1999) 450

LN-violating parameter

$$\eta_{(q)LR} = \sum_k \frac{\lambda'_{11k} \lambda'_{1k1}}{8\sqrt{2}G_F} \sin 2\theta_{(k)}^d \left(\frac{1}{m_{\tilde{d}_1(k)}^2} - \frac{1}{m_{\tilde{d}_2(k)}^2} \right)$$

III. Neutrino mass loop mechanism of the $0\nu\beta\beta$ -decay:

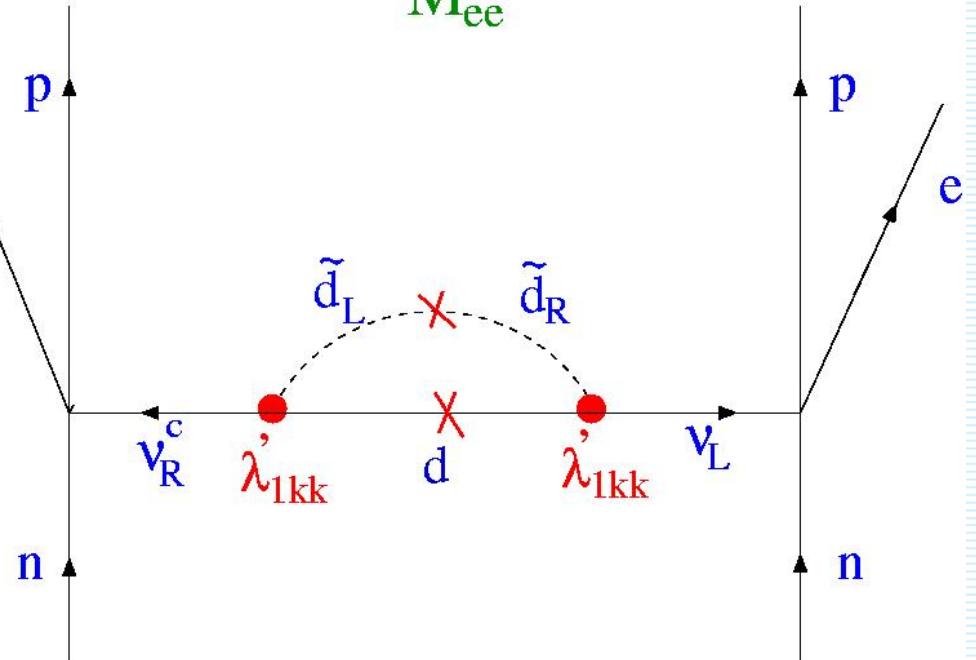
Half-life

$$\frac{1}{T_{1/2}^{0\nu}} = \left| \frac{\mathcal{M}_{ee}^q}{m_e} \right|^2 |M.E.|^2 G_{01}$$

Elements of
neutrino mass matrix

$$\begin{aligned} \mathcal{M}_{ii'}^q &= \frac{3}{16\pi^2} \sum_{jkl} \left\{ \left(\lambda'_{ijk} \lambda'_{i'kl} \sum_a V_{ja} V_{la} v_{ak}^q m_{d^a} \right) \right. \\ &\quad \left. + \left(\lambda'_{ijk} \lambda'_{i'lj} \sum_a V_{ka} V_{la} v_{aj}^q m_{d^a} \right) \right\}. \end{aligned}$$

M_{ee}



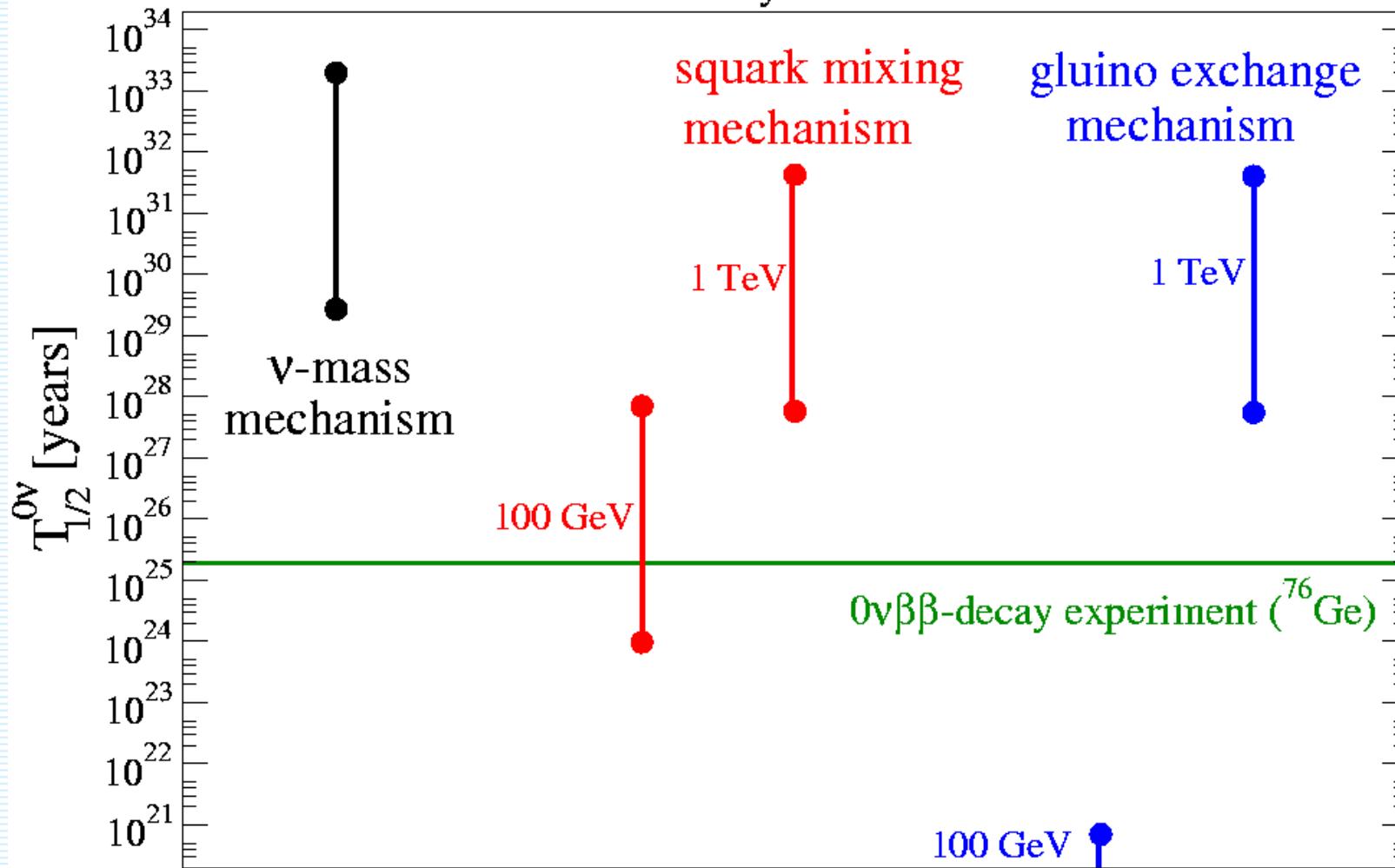
Loop integrals

$$\begin{aligned} v_{jk}^q &= \frac{\sin 2\theta^k}{2} \left(\frac{\ln x_2^{jk}}{1 - x_2^{jk}} - \frac{\ln x_1^{jk}}{1 - x_1^{jk}} \right) \\ x_1^{jk} &\equiv m_{d_j}^2 / m_{\tilde{d}_1^k}^2, \quad x_2^{jk} \equiv m_{d_j}^2 / m_{\tilde{d}_2^k}^2 \end{aligned}$$

Gluino exchange (squark mixing mech.) are favored

ν -mass generation via $\lambda'_{111} \lambda'_{111}$ loop

Normal hierarchy of neutrino masses

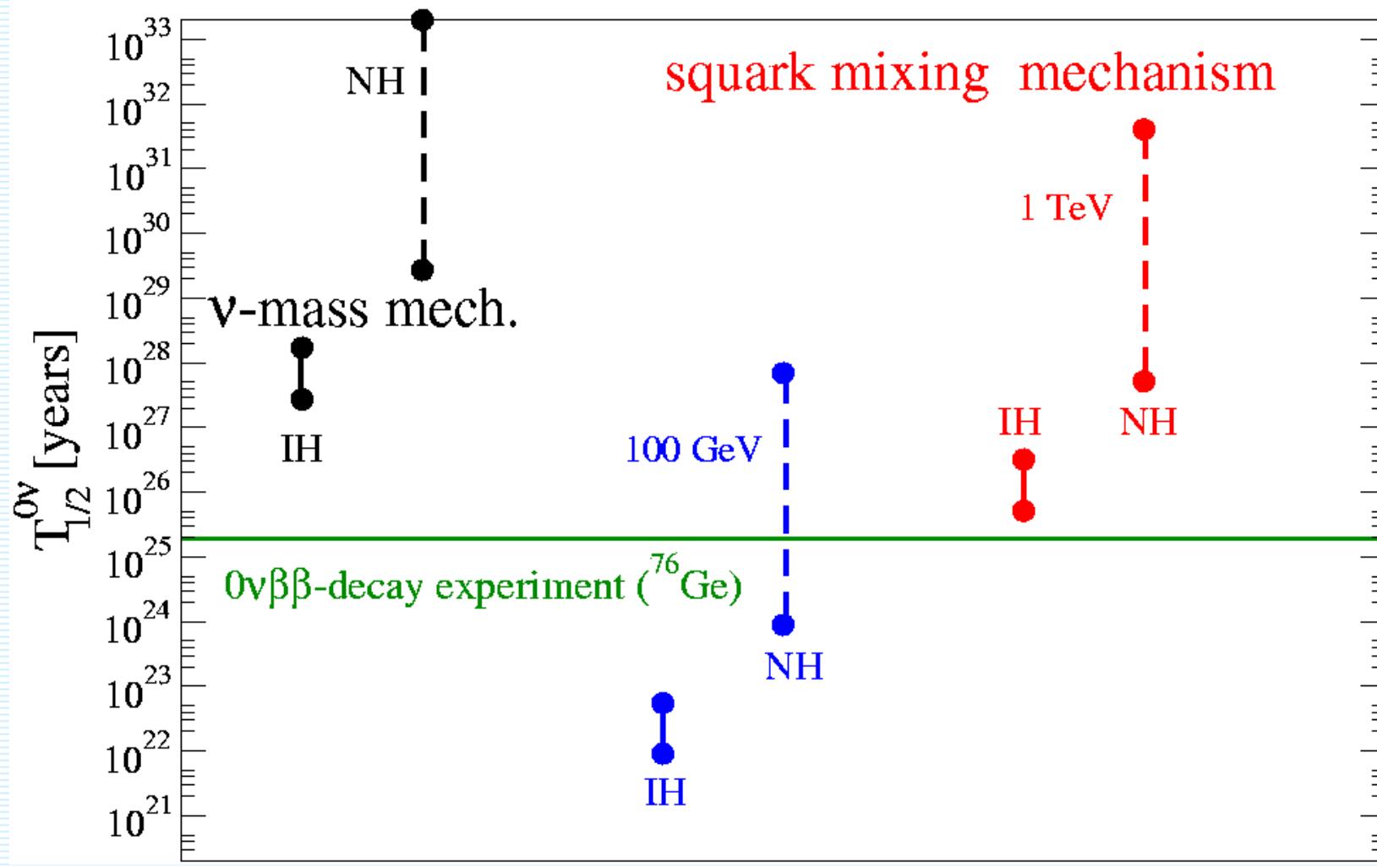


Preliminary

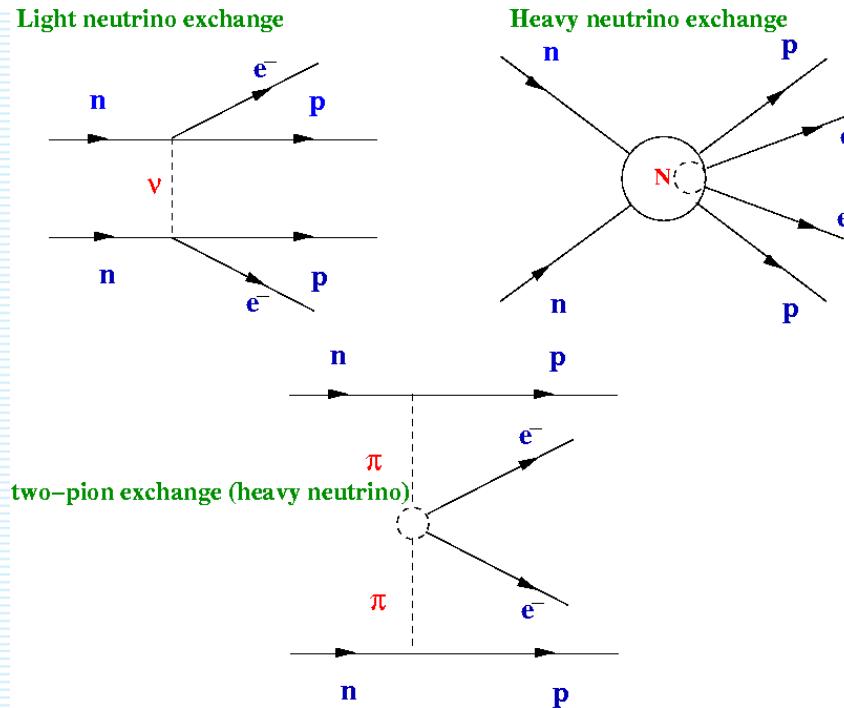
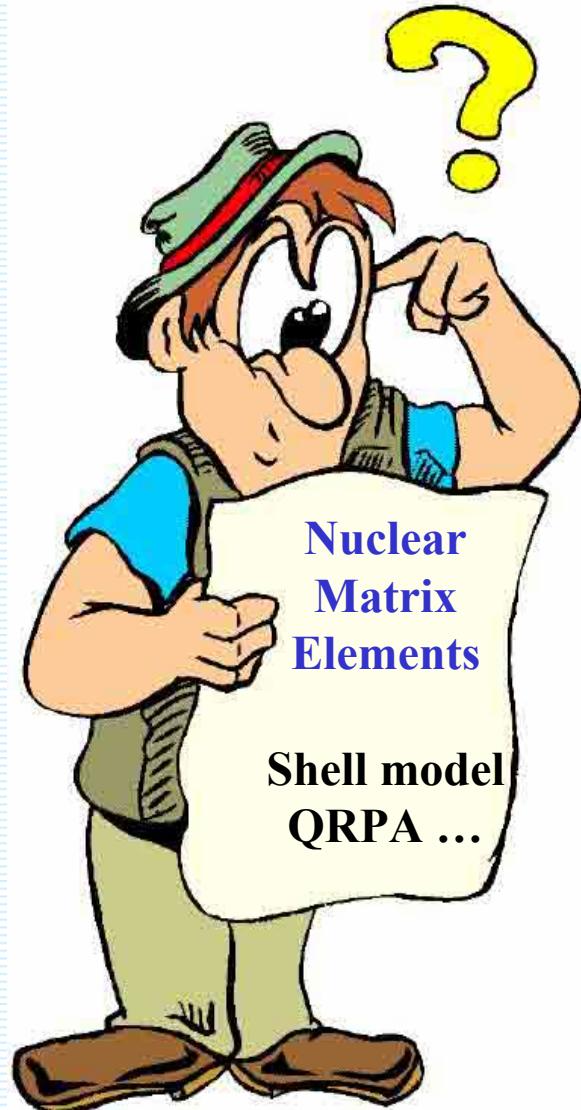
ν -mass generation via $\lambda'_{111} \lambda'_{111}$ loop practically excluded due to gluino exch. mech.

Squark mixing mech. is favored

ν -mass generation via $\lambda'_{113} \lambda'_{131}$ loop



$0\nu\beta\beta$ -decay Nuclear Matrix Elements



$$(T_{1/2}^{0\nu})^{-1} = \eta^{LNV} G^{0\nu} |M^{0\nu}|^2$$

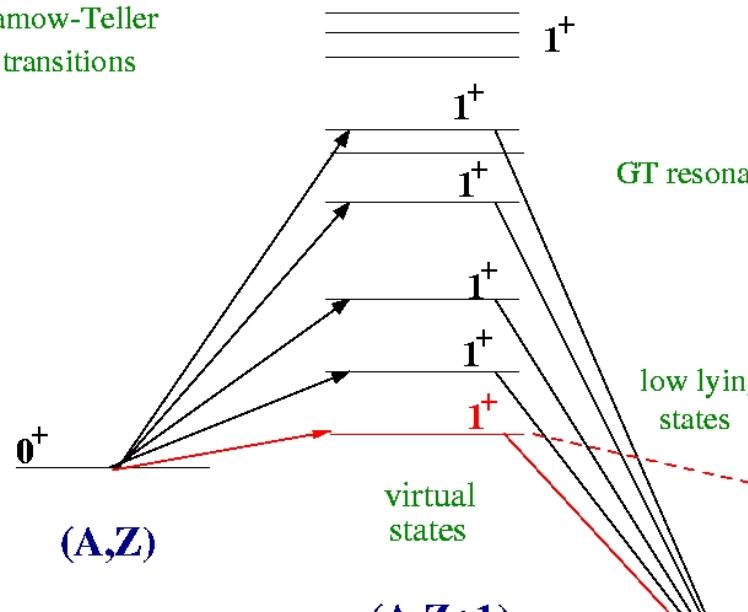
NME's: which mechanism, which transition?

It is a complex task

- Medium and heavy open shell nuclei with a complicated nuclear structure
- The construction of complete set of the states of the intermediate nucleus is needed
- Many-body problem \Rightarrow approximations needed
- Nuclear structure input has to be fixed

$2\nu\beta\beta$ -decay

Gamow-Teller transitions



$$M_{GT}^{2\nu} = \sum_m \frac{<0_f^+||\tau^+\sigma||1_m^+><1_m^+||\tau^+\sigma||0_i^+>}{E_m - E_i + \Delta}$$

difference: by factor ~ 10

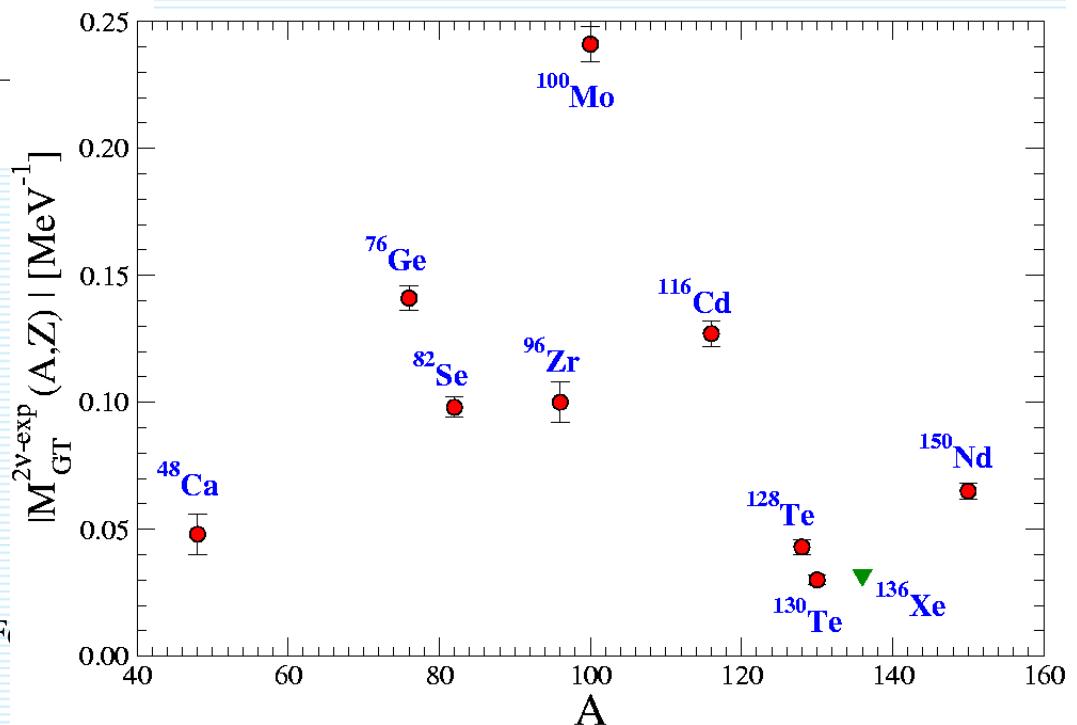
Continuum states

OEM

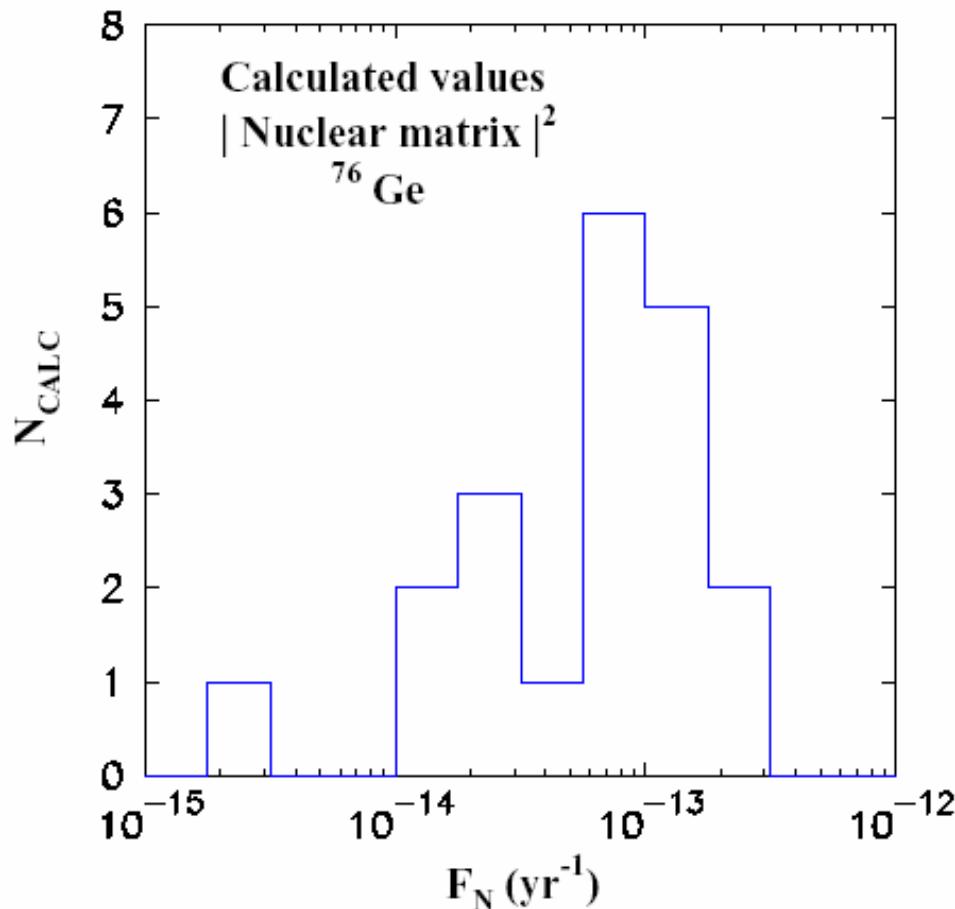
$2\nu\beta\beta$ -decay nuclear matrix elements

$$(T_{1/2}^{2\nu})^{-1} = G^{2\nu} |M_{GT}^{2\nu}|^2$$

Deduced from measured $T_{1/2}^{2\nu}$



Particle physicists are interested in NME's



- absolute v mass scale
- CP violating Majorana phases

Uncertainties in $0\nu\beta\beta$ -decay NME?

This suggest an uncertainty
of NME as much as factor 5

Is it really
so bad?!

Nuclear structure approaches

$$H \Psi = E \Psi$$

We can not solve the full problem in the complete

Systematical study of the $0\nu\beta\beta$ -decay NME

Projected mean field (Vampir)

- Tomoda, Faessler, Schmid, Grummer, PLB 157, 4 (1985)

Shell model: • Haxton, Stephensson, Prog. Part. Nucl. Phys. 12, 409(1984)

• Caurier, Nowacki, Poves, Retamosa, PRL 77, 1954 (1996)

• E. Caurier, E. Martinez-Pinedo, F. Nowacki, A. Poves, A. Zuker,
Rev. Mod. Phys. 77, 427 (2005).

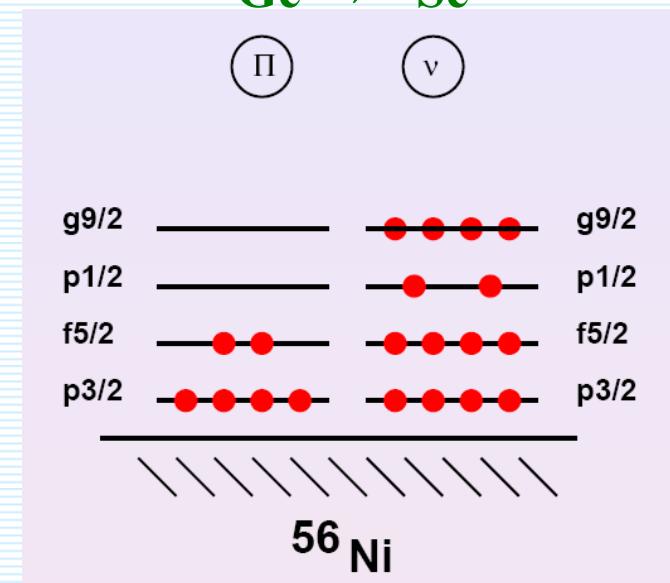
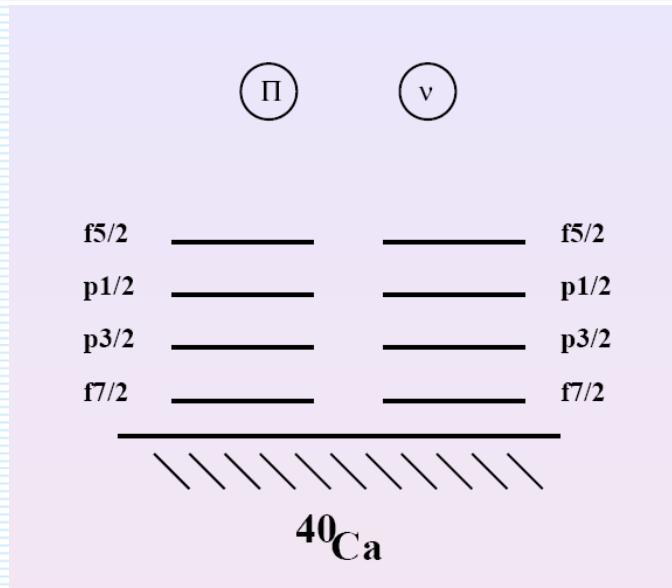
QRPA, RQRPA: About 10 papers 1987→ 2006

Other approaches:

Shell Model Monte Carlo (1996), Operator Expansion Method (1988-1994)...

Shell Model

- Define a valence space
- Derive an effective interaction $H\Psi = E\Psi \rightarrow H_{\text{eff}}\Psi_{\text{eff}} = E\Psi_{\text{eff}}$
- Build and diagonalize Hamiltonian matrix (10^{10})
- Transition operator $\langle \Psi_{\text{eff}} | O_{\text{eff}} | \Psi_{\text{eff}} \rangle$
- Some phenomenological input needed
energy of states, systematics of B(E2) and GT transitions (quenching f.)



Small calculations

Fedor Simkovic

$^{76}\text{Se}_{42}$ in the valence
6 protons and 14 neutrons

The $0\nu\beta\beta$ -decay NME within SRQRPA

Particle number condition

i) Uncorrelated BCS ground state

$$Z = \langle BCS | Z | BCS \rangle$$

$$N = \langle BCS | N | BCS \rangle$$

QRPA, RQRPA

ii) Correlated RPA ground state

$$Z = \langle RPA | Z | RPA \rangle$$

$$N = \langle BCS | N | BCS \rangle$$

SRQRPA

Pauli exclusion principle

i) violated (QBA)

$$[A, A^+] = \langle BCS | [A, A^+] | BCS \rangle$$

QRPA

ii) Partially restored (RQBA)

$$[A, A^+] = \langle RPA | [A, A^+] | RPA \rangle$$

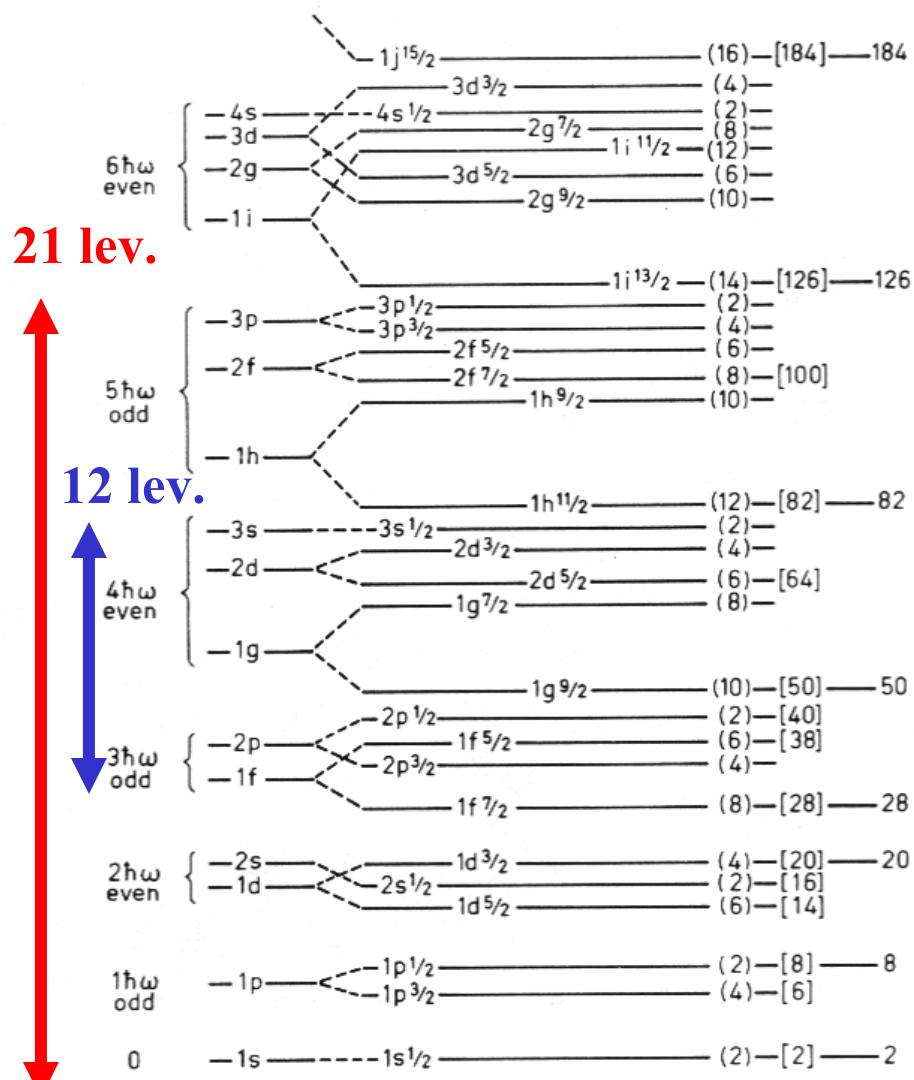
RQRPA, SRQRPA

Complex numerical procedure

BCS and QRPA equations are coupled

QRPA

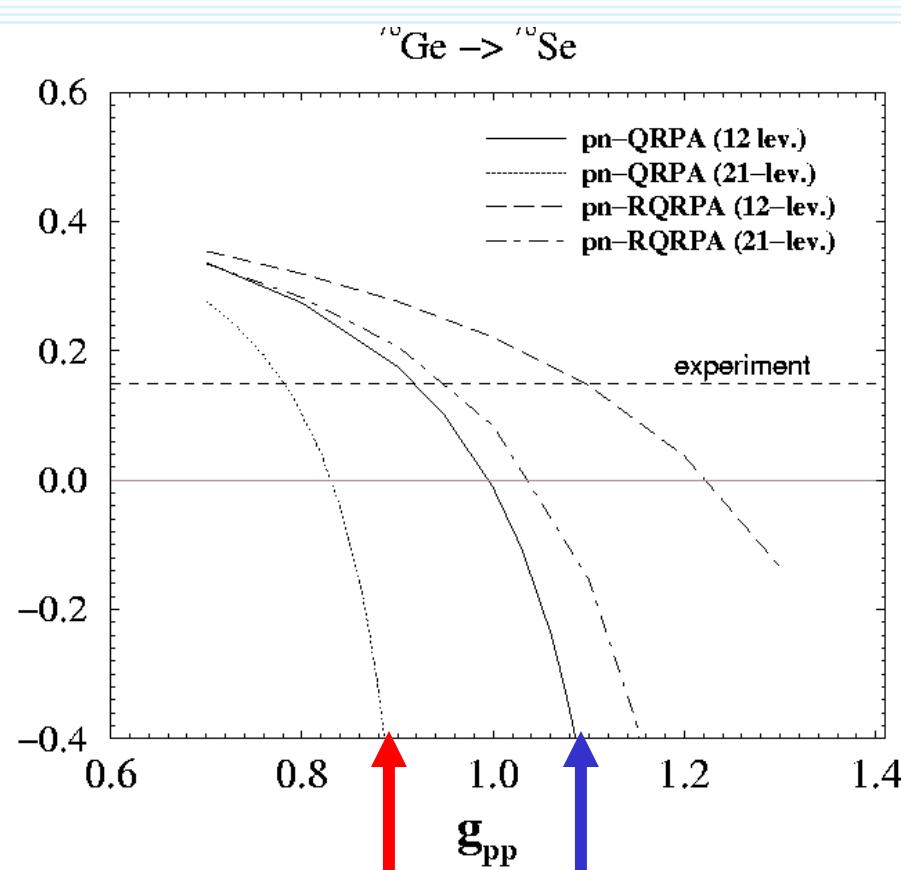
2νββ-decay NME



Only Bratislava-Tuebingen group

Fedor Simkovic

$$H = H_0 + g_{ph} H_{ph} + g_{pp} H_{pp}$$



Collapse of the QRPA
21 l.m.s. 12 l.m.c

The $0\nu\beta\beta$ -decay NME (light ν exchange mech.)

The $0\nu\beta\beta$ -decay half-life

$$\frac{1}{T_{1/2}} = G^{0\nu}(E_0, Z) |M'^{0\nu}|^2 |\langle m_{\beta\beta} \rangle|^2 ,$$

NME= sum of Fermi, Gamow-Teller and tensor contributions

$$M'^{0\nu} = \left(\frac{g_A}{1.25} \right)^2 \langle f | - \frac{M_F^{0\nu}}{g_A^2} + M_{GT}^{0\nu} + M_T^{0\nu} | i \rangle$$

Neutrino potential (about $1/r_{12}$)

$$H_K(r_{12}) = \frac{2}{\pi g_A^2} R \int_0^\infty f_K(qr_{12}) \frac{h_K(q^2) q dq}{q + E^m - (E_i + E_f)/2}$$

$$f_{F,GT}(qr_{12}) = j_0(qr_{12}), \quad f_T(qr_{12}) = -j_2(qr_{12})$$

Form-factors:
finite nucleon
size

$$\begin{aligned} h_F &= g_V^2(q^2) \\ h_{GT} &= g_A^2 \left[1 - \frac{2}{3} \frac{\vec{q}^2}{\vec{q}^2 + m_\pi^2} + \frac{1}{3} \left(\frac{\vec{q}^2}{\vec{q}^2 + m_\pi^2} \right)^2 \right] \\ h_T &= g_A^2 \left[\frac{2}{3} \frac{\vec{q}^2}{\vec{q}^2 + m_\pi^2} - \frac{1}{3} \left(\frac{\vec{q}^2}{\vec{q}^2 + m_\pi^2} \right)^2 \right] \end{aligned}$$

Induced pseudoscalar
coupling
(pion exchange)

$$M_{K=F,GT,T} = \sum_{J^\pi, k_i, k_f, \mathcal{J}} \sum_{pnp'n'} (-1)^{j_n + j_{p'} + J + \mathcal{J}} \sqrt{2\mathcal{J} + 1} \left\{ \begin{array}{ccc} j_p & j_n & J \\ j_{n'} & j_{p'} & \mathcal{J} \end{array} \right\} \langle p(1), p'(2); \mathcal{J} || f(r_{12}) O_K f(r_{12}) || n(1), n'(2); \mathcal{J} \rangle$$

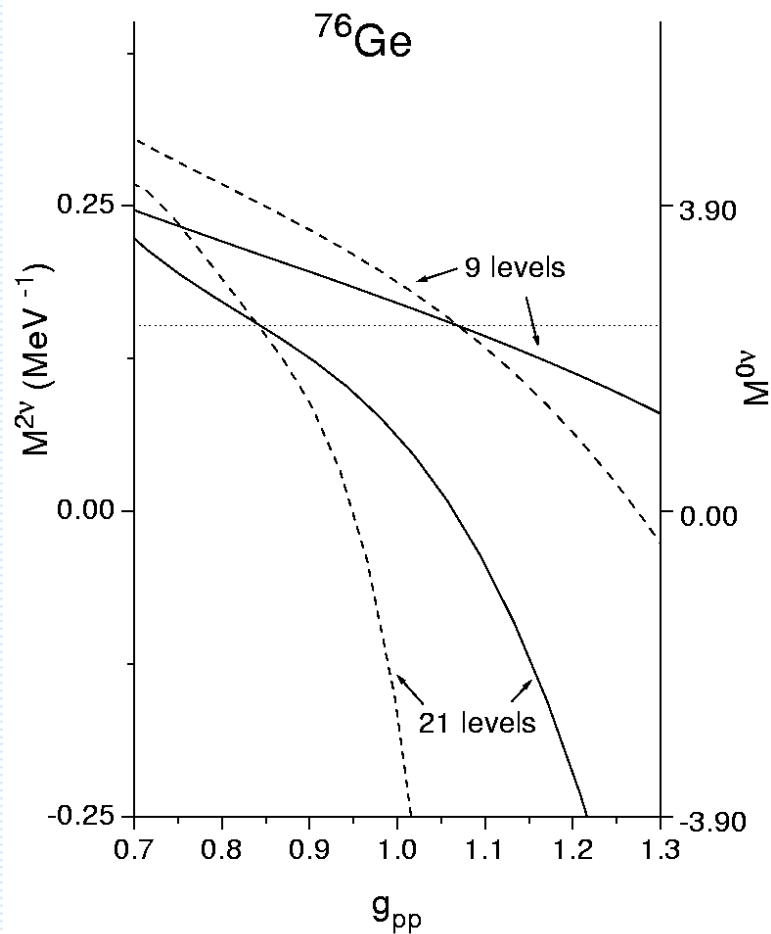
Jastrow f.
s.r.c.

$$\times \langle 0_f^+ || [c_{p'}^+ \tilde{c}_{n'}]_J || J^\pi k_f \rangle \langle J^\pi k_f | J^\pi k_i \rangle \langle J^\pi k_f || [c_p^+ \tilde{c}_n]_J || 0_i^+ \rangle$$

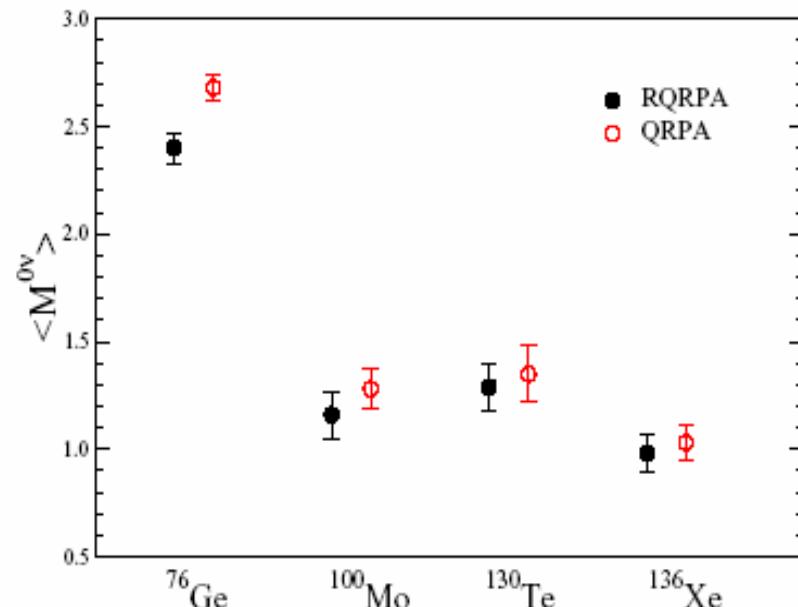
$J^\pi =$
 $0^+, 1^+, 2^+ \dots$
 $0^-, 1^-, 2^- \dots$

The $0\nu\beta\beta$ -decay NME: g_{pp} fixed to $2\nu\beta\beta$ -decay

Each point: (3 basis sets) x (3 forces) = 9 values

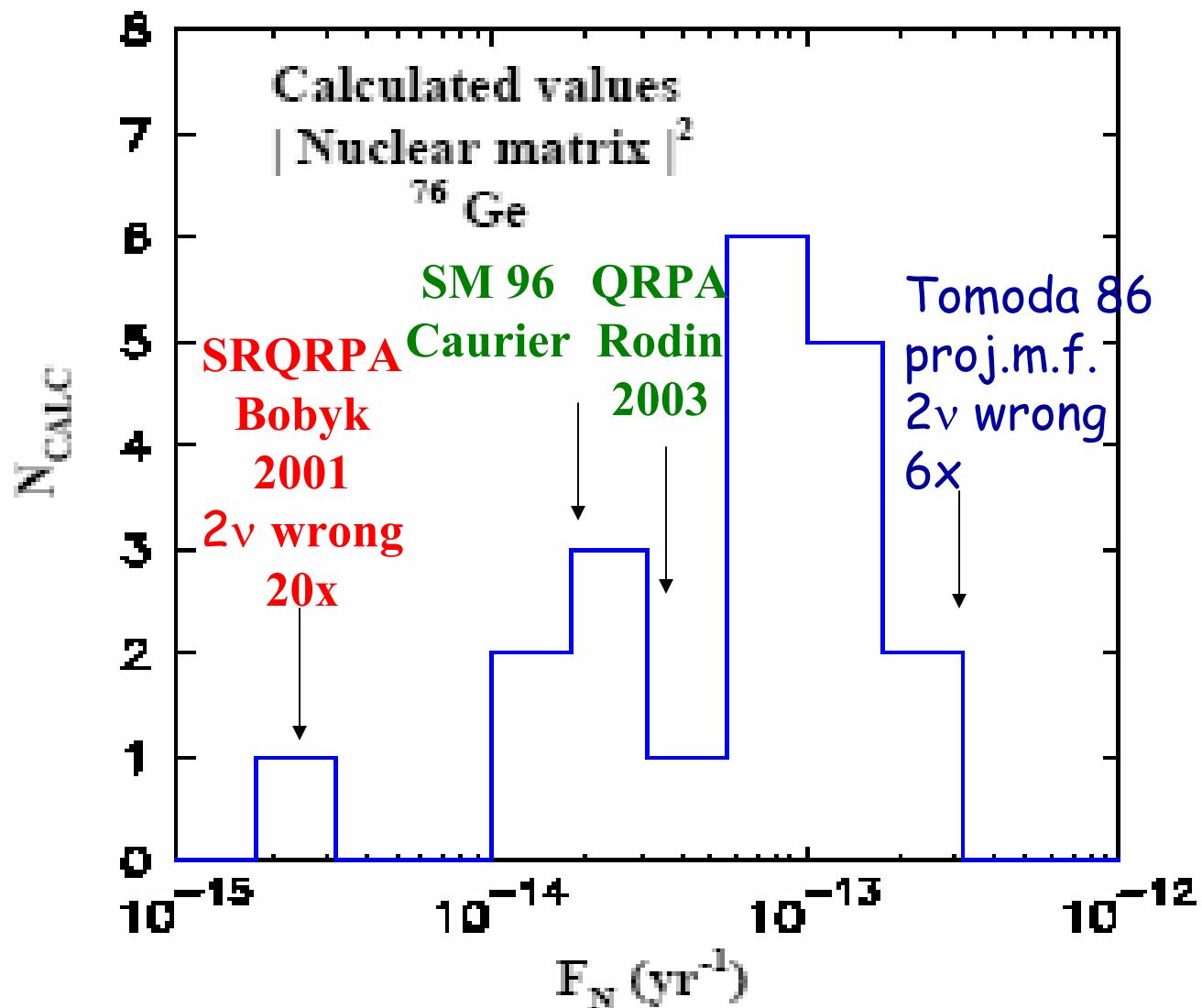


By adjusting of g_{pp} to $2\nu\beta\beta$ -decay half-life
the dependence of the $0\nu\beta\beta$ -decay NME on
other things that are not a priori fixed
is essentially removed



Rodin, Faessler, Šimkovic, Vogel,
Phys. Rev. C 68, 044302 (2003)

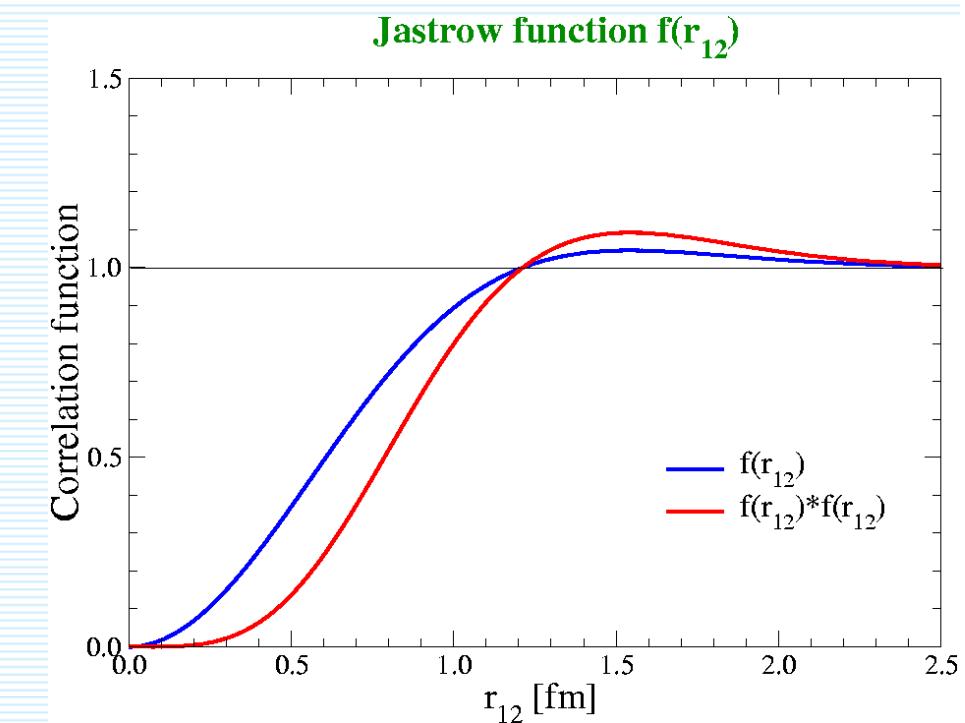
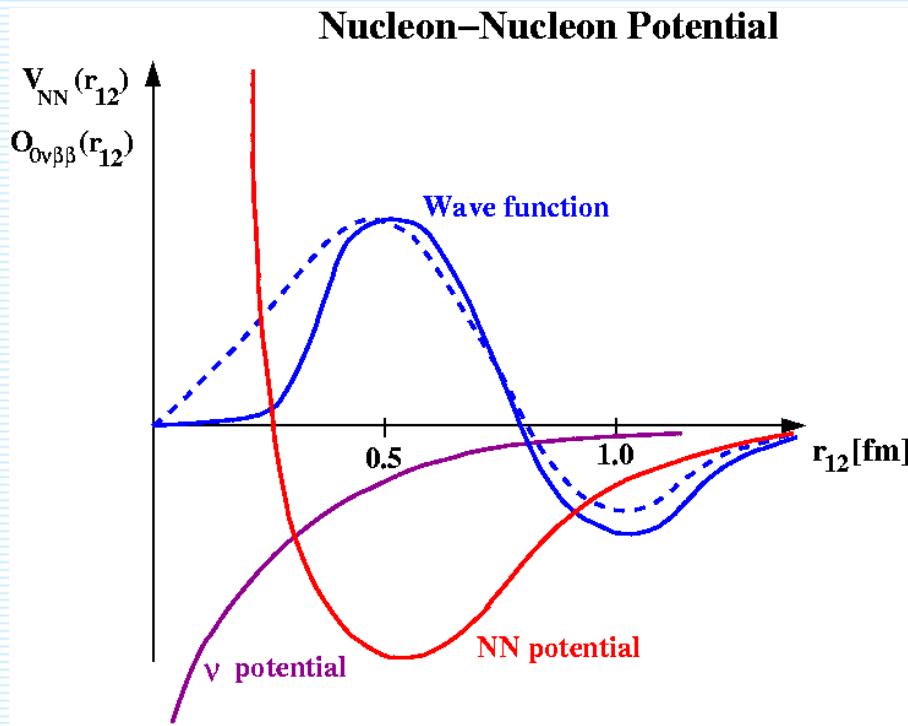
The outliers predict wrong $2\nu\beta\beta$ halflife. The matrix elements of SM and Rodin et al. are quite close.



Two-nucleon short range correlations: a question of physics

There is no double counting in QRPA

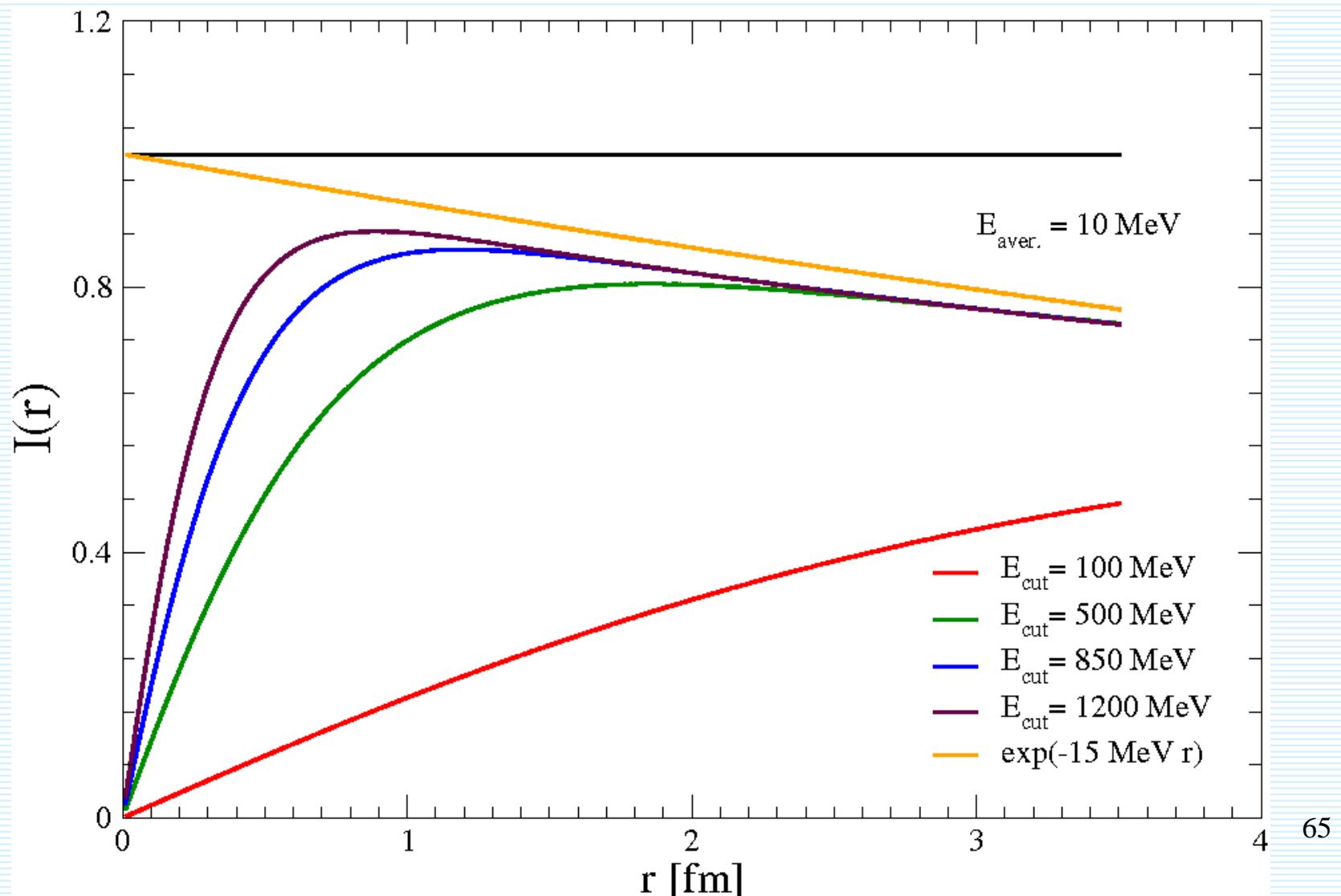
- QRPA violates Pauli exclusion principle
- $1/(0.2 \text{ fm}) \sim 1 \text{ GeV}$

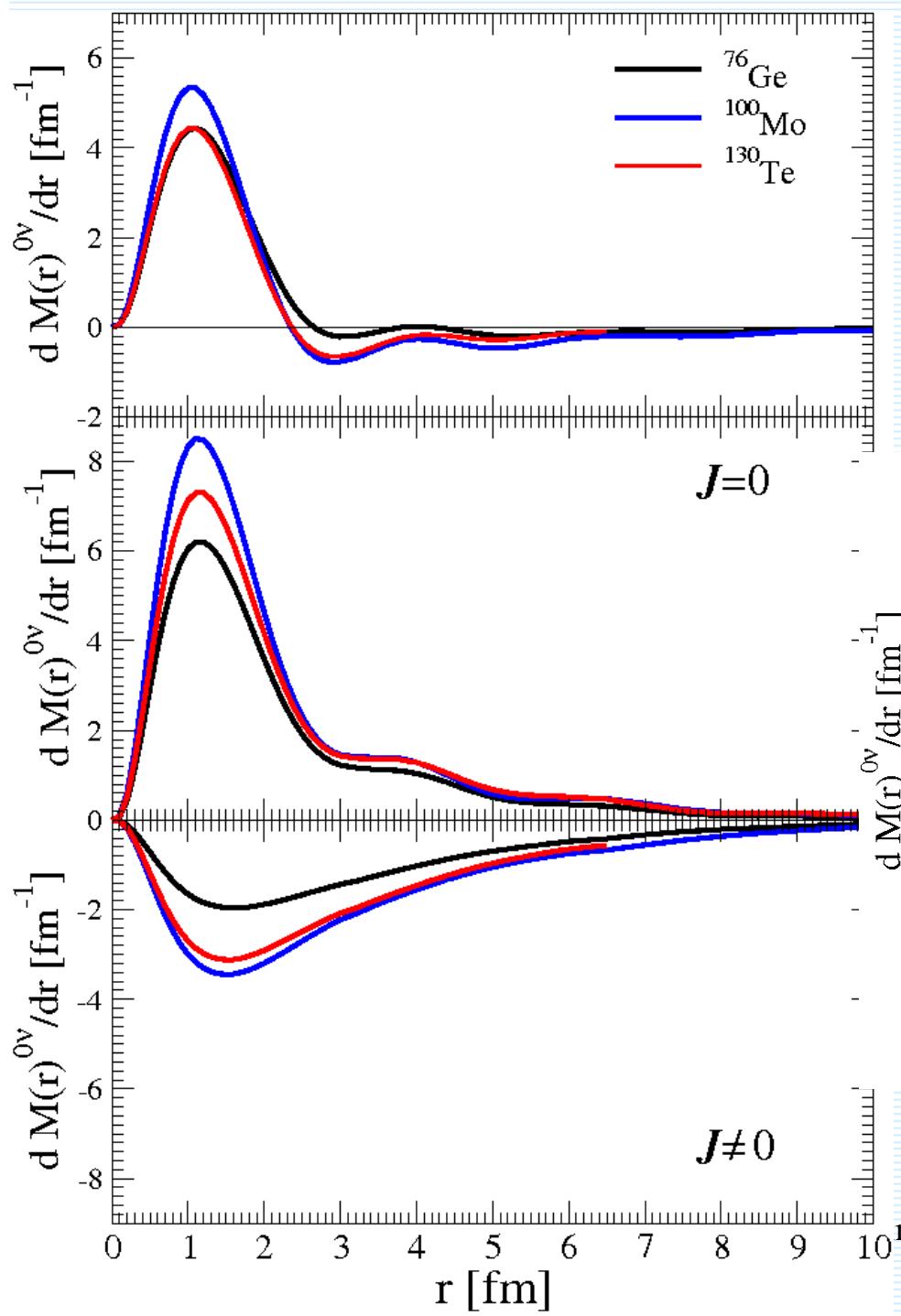


Finite nucleon size (formfactors) versus short range correlations.

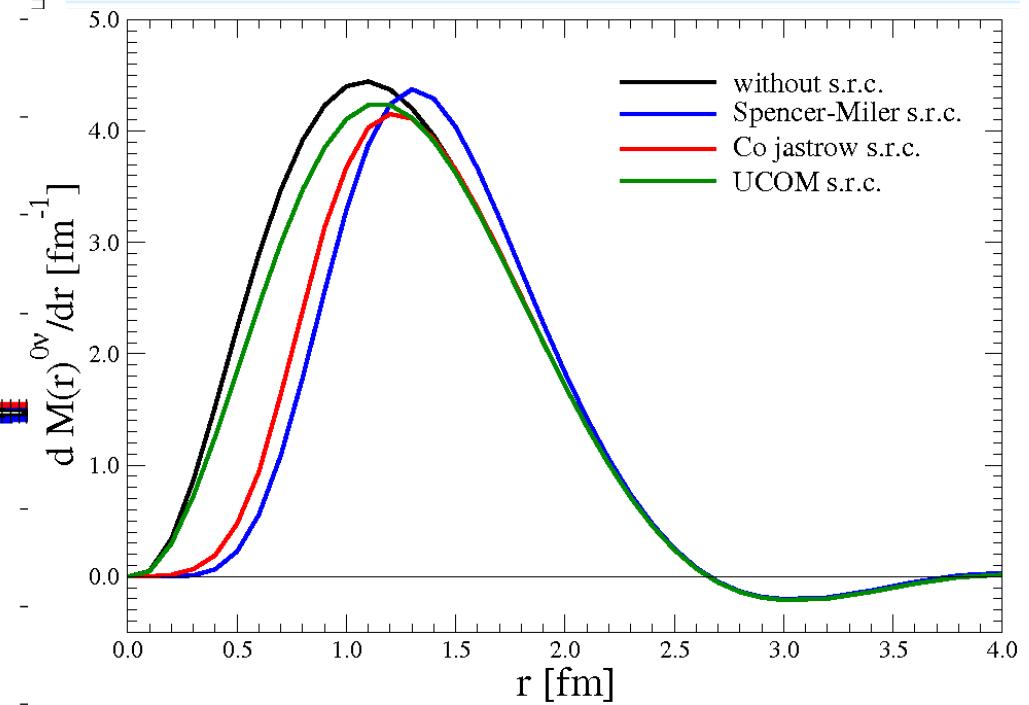
Neutrino potential: $I(r)/r$

$$I(r) = \frac{2}{\pi} \int_0^\infty \frac{\sin(qr)}{(q + E_{\text{aver}})} \frac{dq}{(1 + q^2/E_{\text{cut}}^2)^4}$$



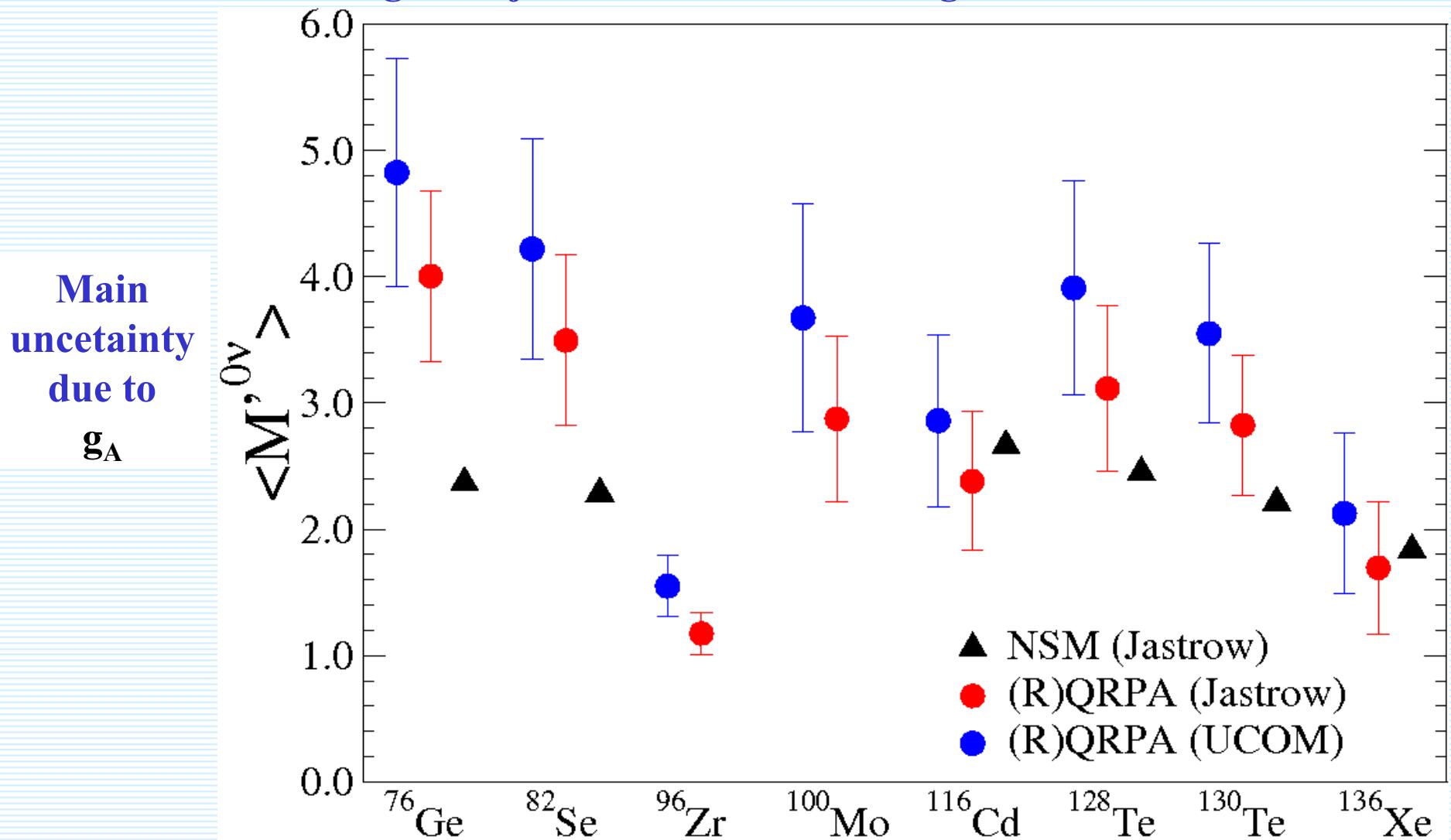


**r-dependence of
the $0\nu\beta\beta$ -decay NME**



Neutrinoless Double Beta Decay Nuclear Matrix Elements

Light Majorana Neutrino Exchange Mechanism



QRPA, RQRPA: F.Š., A. Faessler, V. Rodin, P. Vogel, to be submitted

shell model: E. Caurier, E. Martinez-Pinedo, F. Nowacki, A. Poves, A. Zuker,
Rev. Mod. Phys. 77, 427 (2005).

Nuclear deformation

$$\beta = \sqrt{\frac{\pi}{5}} \frac{Q_p}{Z r_c^2}$$

Exp. I (nuclear reorientation method)

Exp. II (based on measured E2 trans.)

Theor. I (Rel. mean field theory)

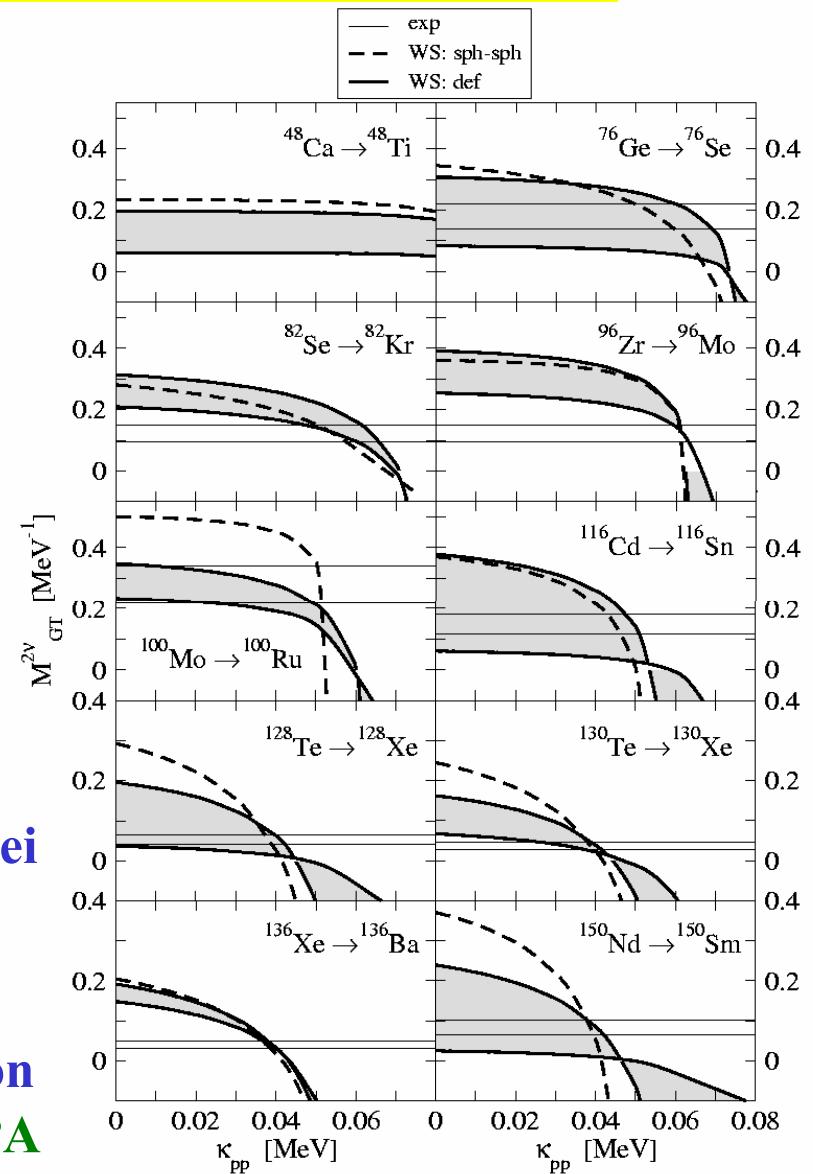
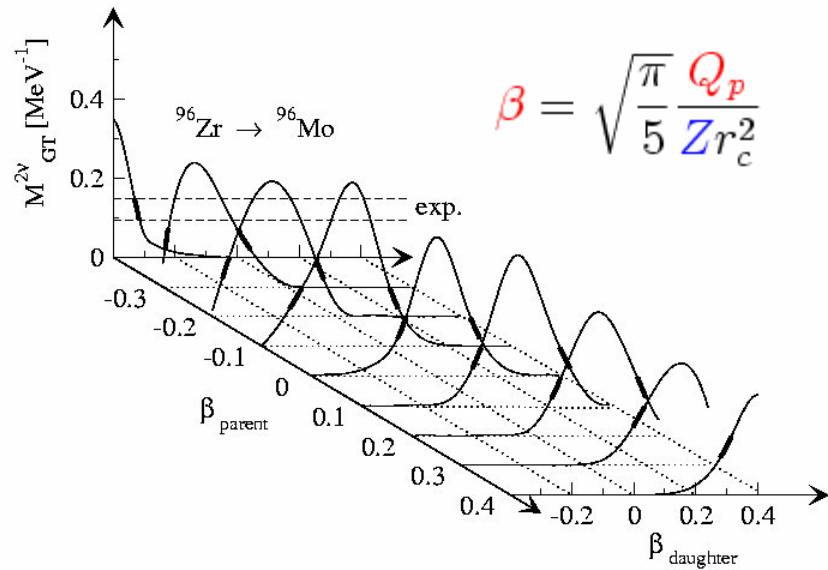
Theor. II (Microsc.-Macrosc. Model of Moeller and Nix)

Till now, in the QRPA-like calculations of the $0\nu\beta\beta$ -decay NME spherical symmetry was assumed

The effect of deformation on NME has to be considered

Nucl.	Exp. I	Exp. II	Theor. I	Theor. II
⁴⁸ Ca	0.00	0.101	0.00	0.00
⁴⁸ Ti	+0.17	0.269	-0.01	0.00
⁷⁶ Ge	+0.09	0.26	0.16	0.14
⁷⁶ Se	+0.16	0.31	-0.24	-0.24
⁸² Se	+0.10	0.19	0.13	0.15
⁸² Kr		0.20	0.12	0.07
⁹⁶ Zr		0.081	0.22	0.22
⁹⁶ Mo	+0.07	0.17	0.17	0.08
¹⁰⁰ Mo	+0.14	0.23	0.25	0.24
¹⁰⁰ Ru	+0.14	0.22	0.19	0.16
¹¹⁶ Cd	+0.11	0.19	-0.26	-0.24
¹¹⁶ Sn	+0.04	0.11	0.00	0.00
¹²⁸ Te	+0.01	0.14	-0.00	0.00
¹²⁸ Xe		0.18	0.16	0.14
¹³⁰ Te	+0.03	0.12	0.03	0.00
¹³⁰ Xe		0.17	0.13	-0.11
¹³⁶ Xe		0.09	0.00	0.00
¹³⁶ Ba		0.12	0.00	0.00
¹⁵⁰ Nd	+0.37	0.28	0.22	0.24
¹⁵⁰ Sm	+0.23	0.19	0.18	0.21

New Suppression Mechanism of the DBD NME



The suppression of the NME depends on relative deformation of initial and final nuclei

F.Š., Pacearescu, Faessler.

NPA 733 (2004) 321

Systematic study of the deformation effect on the 2νββ-decay NME within deformed QRPA

Alvarez,Sarriguren, Moya,Pacearescu, Faessler, F.Š.,
Phys. Rev. C 70 (2004) 321

Neutrinoless double electron capture

Modes of the $0\nu\text{ECEC}$ -decay:

$$\begin{aligned} e_b + e_b + (A, Z) &\rightarrow (A, Z-2) + \gamma \\ &\quad + 2\gamma \\ &\quad + e^+e^- \\ &\quad + M \end{aligned}$$

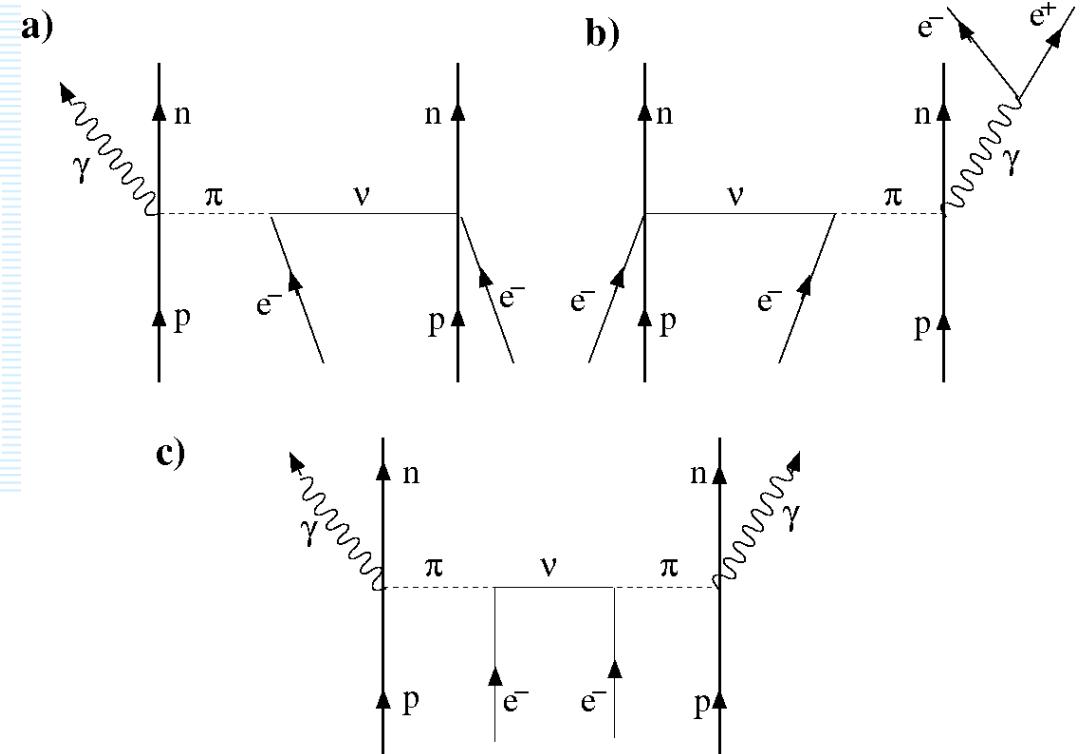
Theoretically,
not well understood yet:

- which mechanism is important?
- which transition is important?

in comparison with the $0\nu\beta\beta$ -decay
disfavoured due:

- process in the 3-rd (4th) order
in electroweak theory
- bound electron wave functions
favoured due:

**Nuclear physics mechanisms:
 γ from the nucleus**

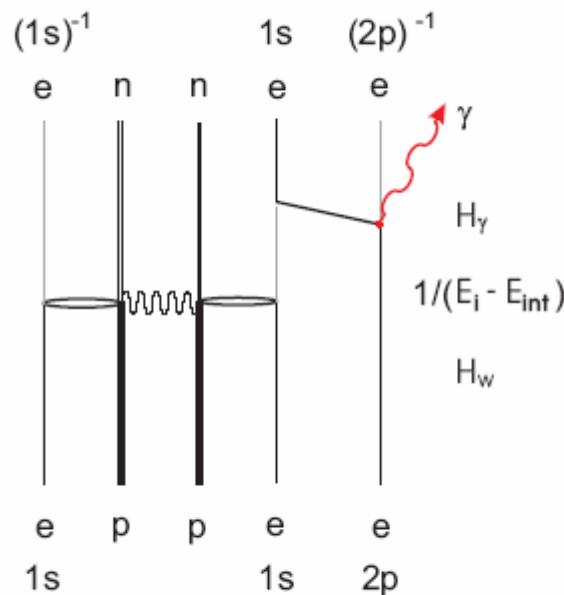


$$e_b + e_b + (A, Z) \rightarrow (A, Z-2) + \gamma$$

ECEC- γ decay

Sujkowski, Wycech, PRC 70, 052501 (2004)

THE RESONANT SITUATION

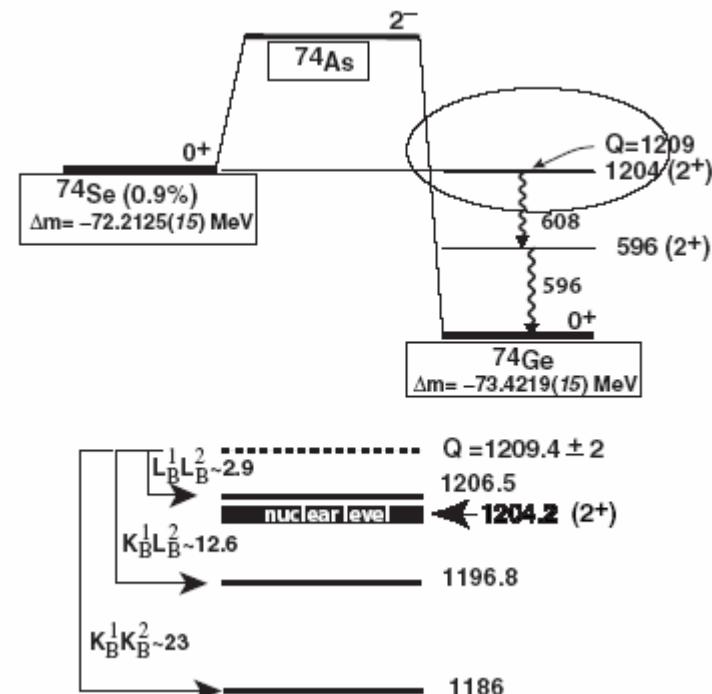


$$A = \frac{H_w H_\gamma}{E_i - E_{int}} \approx \frac{H_w H_\gamma}{E_\gamma + E_{1s} - E_{2p}}$$

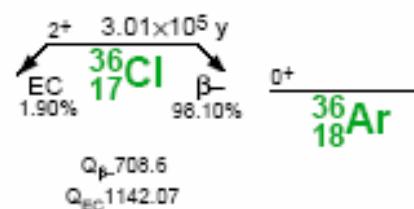
$$\Gamma^{0\nu\gamma} = \frac{\Gamma^r(2p \rightarrow 1s)}{[E_\gamma - Q_{res}]^2 + [\Gamma^r/2]^2} |R_{0\nu}^{cc}|^2$$

$$Q_{res} = E_{s_{1/2}} - E_{p_{1/2}}$$

9/19/2007



GERDA



$$T_{1/2}^{0\nu\gamma} = 5 \cdot 10^{34} \text{ years} (\langle m_{\beta\beta} \rangle = 1 \text{ eV})$$

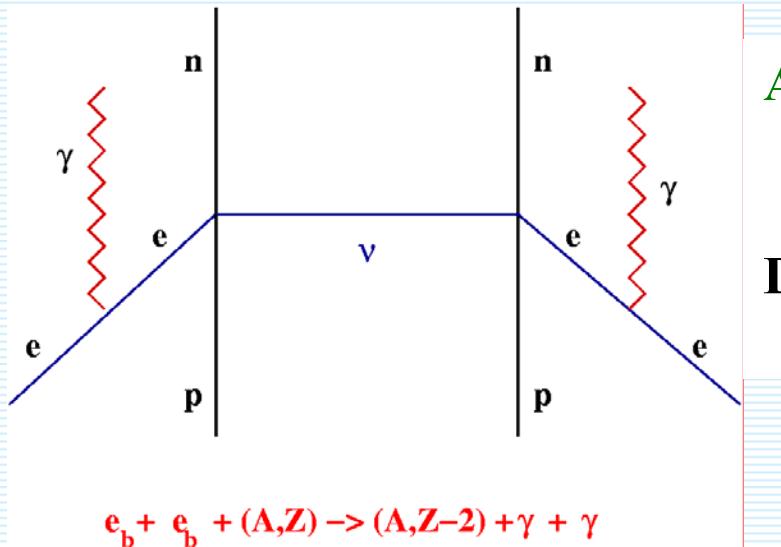
Fedor Simkovic

D.Frekers, hep-ex/0506002

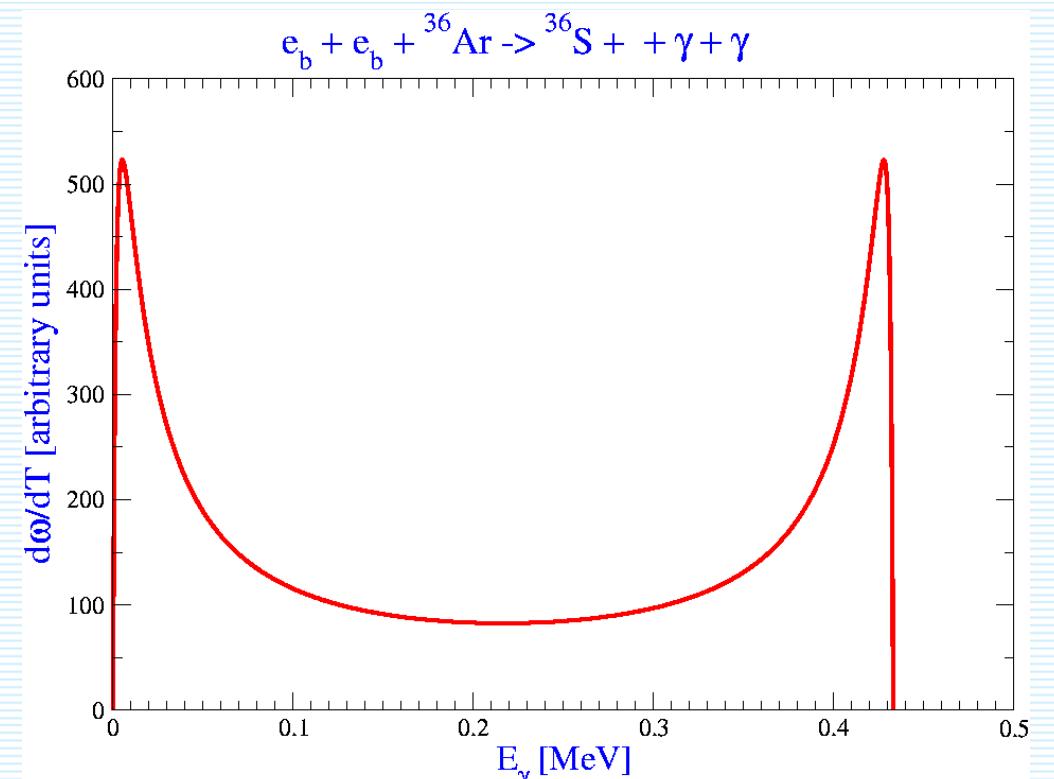
%: 0.337
Q=0.433 MeV
 $\Gamma^r=100$ eV



ECEC- $\gamma\gamma$ decay (preliminary)



- Advantages:**
- both e_b in $0s_{1/2}$ states (K-orbit)
 - large Q values preferable
 - $1/(m_e(\epsilon_{0s} + k_0))$ enhancement
- Disadvantages:**
- 2 γ 's in final state (phase space)
 - additional el.-mag. interaction



$$\langle m_{\beta\beta} \rangle = 1 \text{ eV:}$$

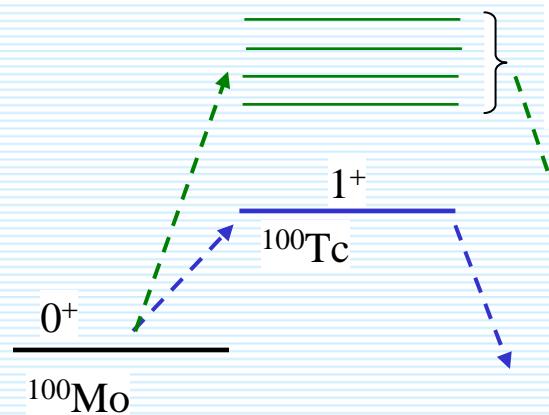
$$T_{1/2}^{0\nu\gamma\gamma}({}^{36}\text{Ar}) = 5 \cdot 10^{34} \text{ years}$$

$$T_{1/2}^{0\nu\gamma\gamma}({}^{106}\text{Cd}) = 9 \cdot 10^{32} \text{ years}$$

$$T_{1/2}^{0\nu\gamma\gamma}({}^{162}\text{Er}) = 7 \cdot 10^{32} \text{ years}$$

Carefull study (Merle, Lindner, Beneš, F.Š) in progress

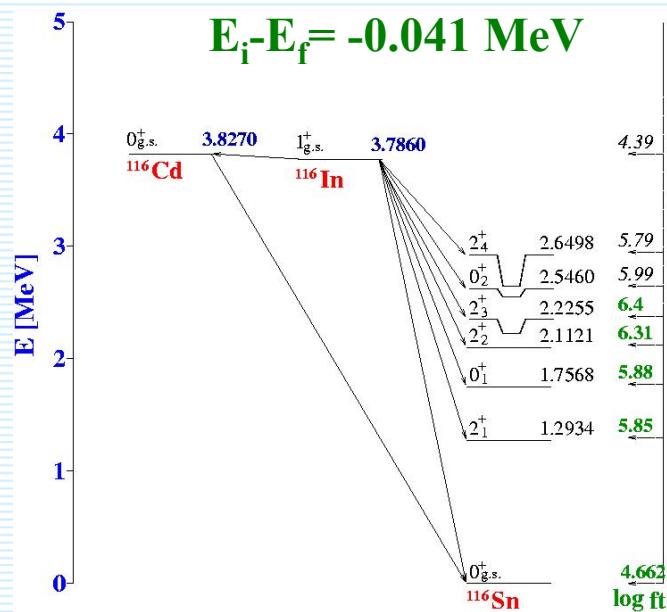
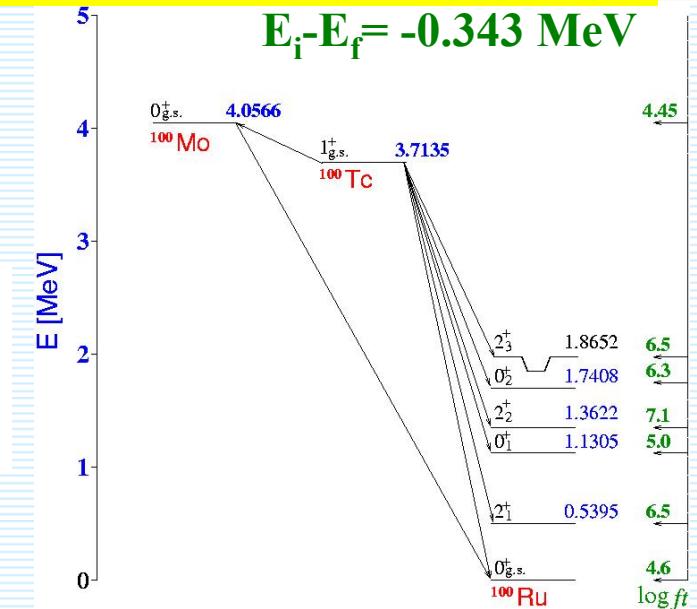
Single State Dominance (^{100}Mo , ^{106}Cd , ^{116}Cd , ^{128}Te ...)



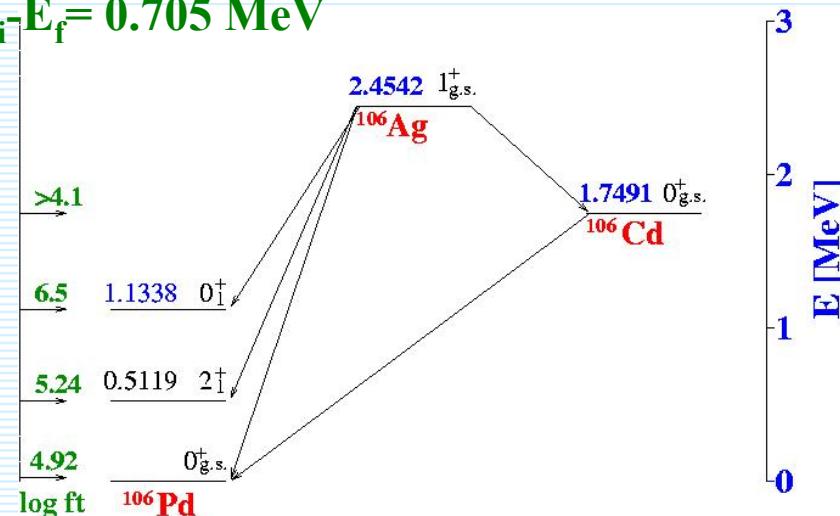
HSD, higher levels
contribute to the decay

SSD, 1^+ level
dominates in the decay

(Abad et al., 1984,
Ann. Fis. A 80, 9)



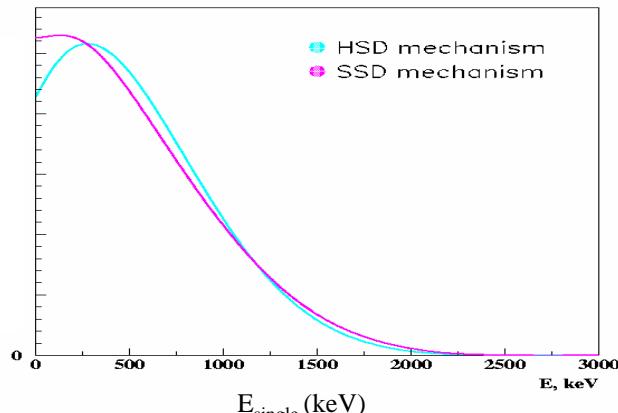
$E_i - E_f = 0.705 \text{ MeV}$



^{100}Mo $2\beta 2\nu$: Experimental Study of SSD Hypothesis

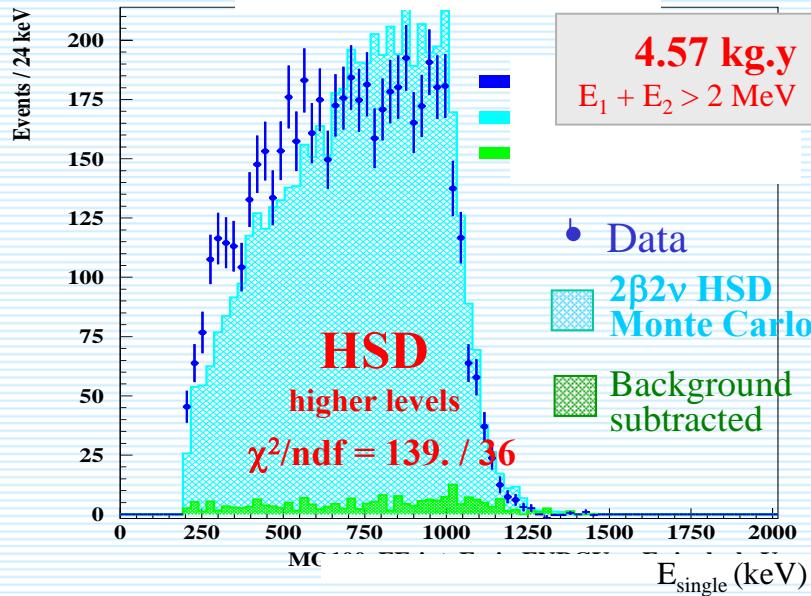
NEMO 3
exp.

dN/dE



Events / 24 keV

RA



4.57 kg.y
 $E_1 + E_2 > 2 \text{ MeV}$

• Data
■ $2\beta 2\nu$ HSD
Monte Carlo
■ Background
subtracted

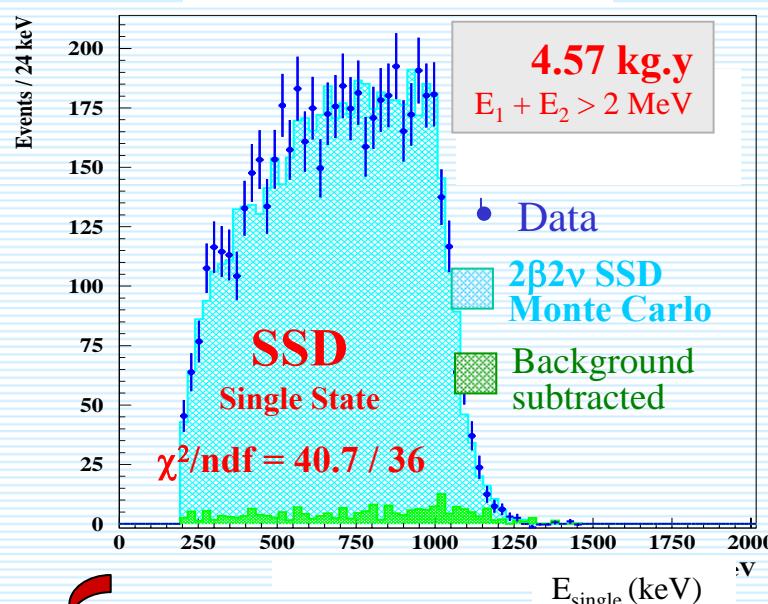
Single electron spectrum different
between SSD and HSD



F.Š., Šmotlák, Semenov
J. Phys. G, 27, 2233, 2001

Events / 24 keV

RA



4.57 kg.y
 $E_1 + E_2 > 2 \text{ MeV}$

• Data
■ $2\beta 2\nu$ SSD
Monte Carlo
■ Background
subtracted

$$\left\{ \begin{array}{l} \text{HSD: } T_{1/2} = 8.61 \pm 0.02 \text{ (stat)} \pm 0.60 \text{ (syst)} \times 10^{18} \text{ y} \\ \text{SSD: } T_{1/2} = 7.72 \pm 0.02 \text{ (stat)} \pm 0.54 \text{ (syst)} \times 10^{18} \text{ y} \end{array} \right.$$

9/19/2007

Fedor Simkovic



^{100}Mo $2\beta 2\nu$ single energy distribution
in favour of Single State Dominant (SSD) decay

74

2νββ-decay: fermionic (f) or bosonic (b) ν

$$|\nu_1 \nu_2> = \hat{a}_1^\dagger \hat{a}_2^\dagger |0> \quad \begin{aligned} \{\hat{a}_i, \hat{a}_j^\dagger\}_+ &= \delta_{i,j} \quad (\textit{fermionic } \nu) \\ [\hat{a}_i, \hat{a}_j^\dagger]_- &= \delta_{i,j} \quad (\textit{bosonic } \nu) \end{aligned}$$

$$\begin{aligned} dW^{f,b}(0^+ \rightarrow 0^+) &\sim \left(3 \left| \mathcal{M}^{f,b}_K + \mathcal{M}^{f,b}_L \right|^2 + \left| \mathcal{M}^{f,b}_K - \mathcal{M}^{f,b}_L \right|^2 \right) dp_{e_1}^\rightarrow dp_{e_2}^\rightarrow dp_{\nu_1}^\rightarrow dp_{\nu_2}^\rightarrow \\ dW^{f,b}(0^+ \rightarrow 2^+) &\sim \left| \mathcal{M}^{f,b}_K - \mathcal{M}^{f,b}_L \right|^2 dp_{e_1}^\rightarrow dp_{e_2}^\rightarrow dp_{\nu_1}^\rightarrow dp_{\nu_2}^\rightarrow \end{aligned}$$

$$\mathcal{M}^{f,b}_K = \sum_m \left(\frac{M_m^I(1^+) M_m^F(1^+)}{E_m - E_i + e_1 + \nu_1} \pm \frac{M_m^I(1^+) M_m^F(1^+)}{E_m - E_i + e_2 + \nu_2} \right)$$

$$\mathcal{M}^{f,b}_K = \mathcal{M}^{f,b}_L(\nu_1 \leftrightarrow \nu_2)$$



Sign difference!!!
Lepton energies!!!

Higher states dominance (^{76}Ge , ^{82}Se , ^{130}Te , ^{136}Xe ...)

$$\left| \mathcal{M}_{\textcolor{red}{K}}^{\textcolor{blue}{f}} + \mathcal{M}_{\textcolor{blue}{L}}^{\textcolor{red}{f}} \right|^2 \simeq 16 \left| M_{GT}^{(1)} \right|^2$$

$$\left| \mathcal{M}_{\textcolor{red}{K}}^{\textcolor{blue}{f}} - \mathcal{M}_{\textcolor{blue}{L}}^{\textcolor{red}{f}} \right|^2 \simeq \frac{4(e_1 - e_2)^2(\nu_1 - \nu_2)^2}{\Delta^4} \left| M_{GT}^{(3)} \right|^2$$

$$\left| \mathcal{M}_{\textcolor{red}{K}}^{\textcolor{blue}{b}} + \mathcal{M}_{\textcolor{blue}{L}}^{\textcolor{red}{b}} \right|^2 \simeq \frac{4(\nu_1 - \nu_2)^2}{\Delta^2} \left| M_{GT}^{(2)} \right|^2$$

$$\left| \mathcal{M}_{\textcolor{red}{K}}^{\textcolor{blue}{b}} - \mathcal{M}_{\textcolor{blue}{L}}^{\textcolor{red}{b}} \right|^2 \simeq \frac{4(e_1 - e_2)^2}{\Delta^2} \left| M_{GT}^{(2)} \right|^2$$

Approximation in energy denominators
 $e_k + \nu_j \simeq \Delta = (E_i - E_f)/2$

$$M_{GT}^{(1)} = \sum_m \frac{M_m^I(1^+) M_m^F(1^+)}{E_m - E_i + \Delta}$$

$$M_{GT}^{(2)} = \Delta \sum_m \frac{M_m^I(1^+) M_m^F(1^+)}{(E_m - E_i + \Delta)^2}$$

$$M_{GT}^{(3)} = \Delta^2 \sum_m \frac{M_m^I(1^+) M_m^F(1^+)}{(E_m - E_i + \Delta)^3}$$

$$M_{GT}^{(3)} \ll M_{GT}^{(2)} \ll M_{GT}^{(1)}$$

fermionic ν
 0^+

bosonic ν
 $0^+, 2^+$

fermionic ν
 $0^+, 2^+$

Looking for a signature of bosonic ν

$2\nu\beta\beta$ -decay half-lives ($0^+ \rightarrow 0^+_{\text{g.s.}}$, $0^+ \rightarrow 0^+_1$, $0^+ \rightarrow 2^+_1$)

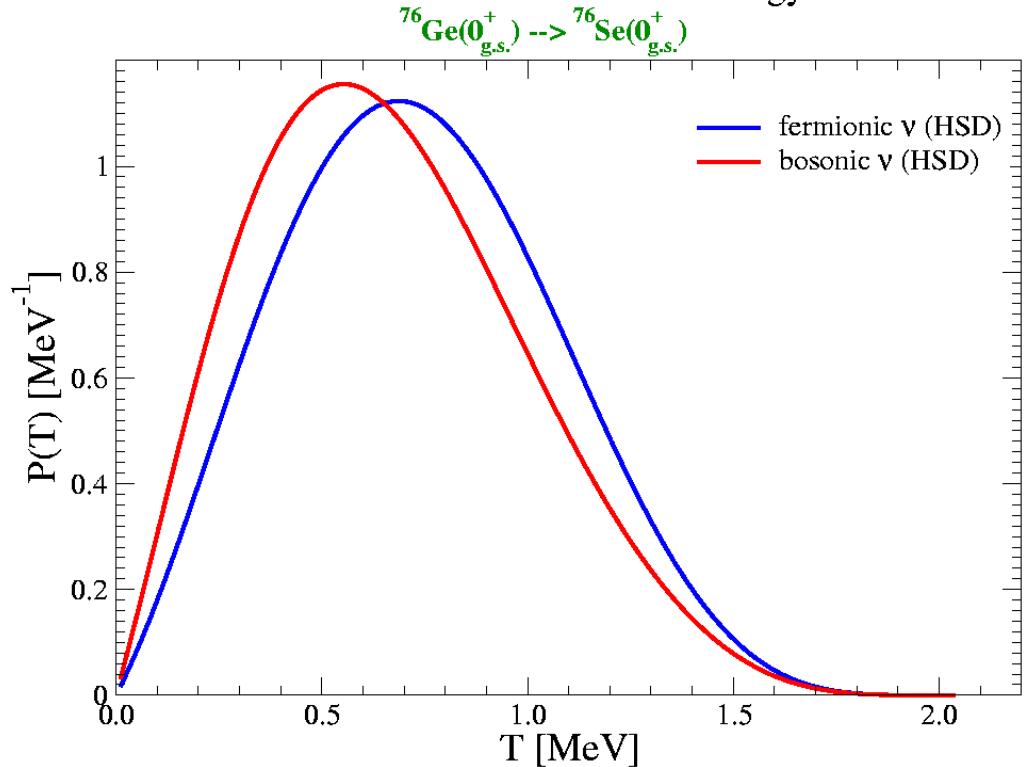
- HSD – NME needed
- SSD – $\log ft_{EC}$, $\log ft_\beta$ needed

$$\frac{T_{1/2}^{2\nu-SSD}(2_f^+)}{T_{1/2}^{2\nu-SSD}(0_f^+)} = \begin{cases} 2.41 \times 10^4 & \text{fermionic } \nu \\ 403 & \text{bosonic } \nu \end{cases} \quad T_{1/2}^{2\nu}(2^+) = \begin{cases} 1.73 \times 10^{23} \text{ years} \\ 2.74 \times 10^{21} \text{ years} \end{cases}$$
$$T_{1/2}^{2\nu-exp}(2^+) > 1.6 \times 10^{21} \text{ years}$$

Normalized differential characteristics

- The single electron energy distribution
- The distribution of the total energy of two electrons
- Angular correlations of two electrons
(free of NME and log ft)

The normalized distributions of the total energy of two electrons



bosonic fermionic

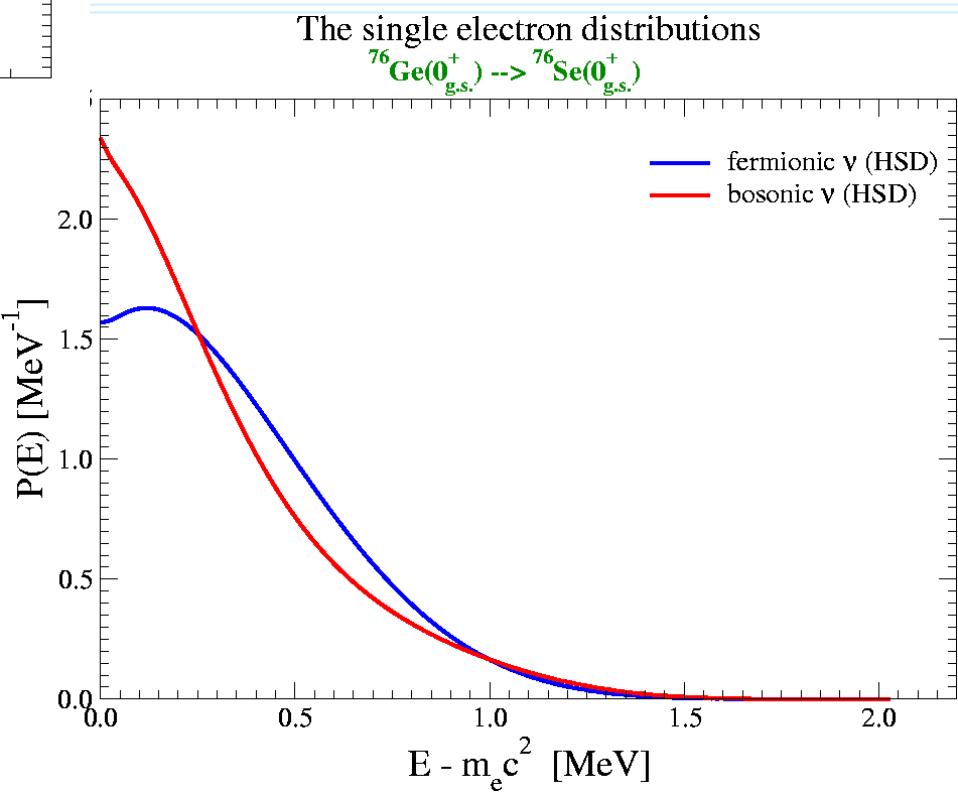
$$P^{f,b}(T) = \frac{1}{W^{f,b}} \frac{dW^{f,b}}{dT}$$

$$P^{f,b}(E) = \frac{1}{W^{f,b}} \frac{dW^{f,b}}{dE}$$

Fedor S.

2 $\nu\beta\beta$ -decay of ${}^{76}\text{Ge}$
 $0^+_{\text{g.s.}} \rightarrow 0^+_{\text{g.s.}}$
HSD

Barabash, Dolgov, Smirnov,
F.Š, R. Dvornický, NPB 783, 90 (2007)



Mixed statistics for neutrinos

Definition of mixed state

$$\begin{aligned} |\nu\rangle &= \hat{a}^\dagger |0\rangle \\ &\equiv \cos \delta \hat{f}^\dagger |0\rangle + \sin \delta \hat{b}^\dagger |0\rangle \\ &= \cos \delta |f\rangle + \sin \delta |b\rangle \end{aligned}$$

with commutation Relations

$$\begin{aligned} \hat{f}\hat{b} &= e^{i\phi} \hat{b}\hat{f} & \hat{f}^\dagger \hat{b}^\dagger &= e^{i\phi} \hat{b}^\dagger \hat{f}^\dagger \\ \hat{f}\hat{b}^\dagger &= e^{-i\phi} \hat{b}^\dagger \hat{f} & \hat{f}^\dagger \hat{b} &= e^{-i\phi} \hat{b} \hat{f}^\dagger \end{aligned}$$

$$\begin{aligned} A^{2\nu} &= [\cos \delta^4 + \cos \delta^2 \sin \delta^2 (1 - \cos \phi)] A^f + [\cos \delta^4 + \cos \delta^2 \sin \delta^2 (1 + \cos \phi)] A^b \\ &= \cos \chi^2 A^f + \sin \chi^2 A^b \end{aligned}$$

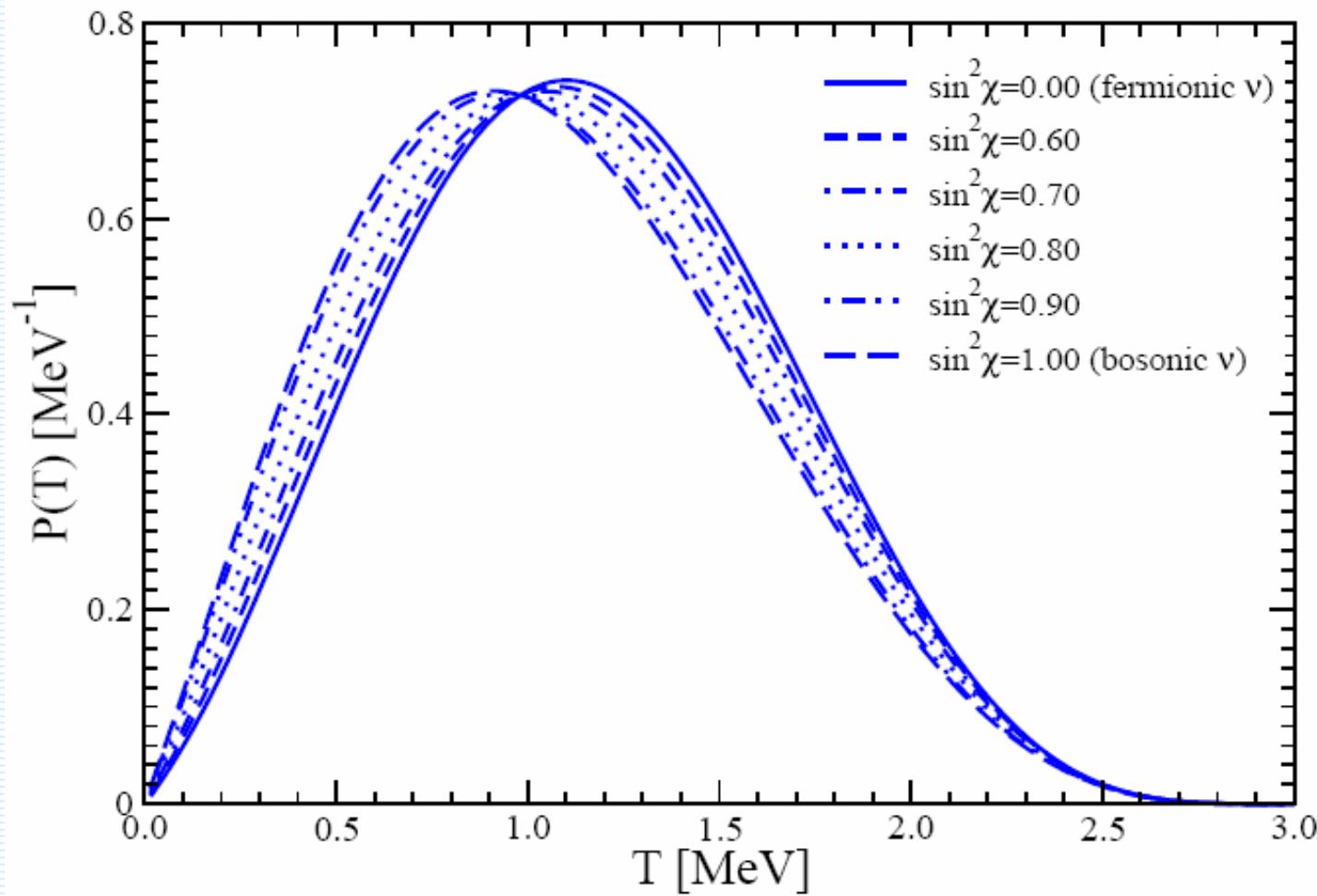
Decay rate

$$\begin{aligned} W^{2\nu} &= \cos \chi^4 W^f + \sin \chi^4 W^b \\ &= (1 - b^2) W^f + b^2 W^b \end{aligned}$$

Partly bosonic neutrino requires knowing NME or log ft values for HSD or SSD

(calculations coming up soon)

Mixed v excluded for $\sin^2\chi < 0.6$



Conclusions

- If the smallness of neutrino masses is explained with see-saw mechanism there are many possible mechanisms of the $0\nu\beta\beta$ -decay.
- From the analysis of some of R-parity breaking SUSY mechanisms it follows that light neutrino mass mechanism has not be the dominant mechanism of the $0\nu\beta\beta$ -decay
- Possibilities to distinguish between $0\nu\beta\beta$ -decay mechanisms have to be studied. It should involve the most viable particle physics models and NME calculations
- There is a good agreement between the NSM and the QRPA NME. Why?
- The story about NME not finished yet. Study of further effects (deformation, overlap factor) and cross-check with other approaches required.
- Neutrinoless double electron capture is not well studied yet. Preliminary results for ^{36}Ar indicate strong suppression of this decay mode.
- $2\nu\beta\beta$ -decay of ^{76}Ge allows to conclude whether neutrinos obey Bose-Einstein or Fermi-Dirac statistics

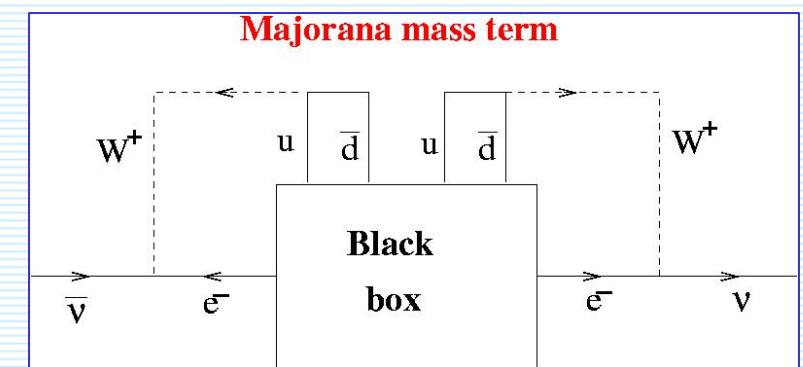
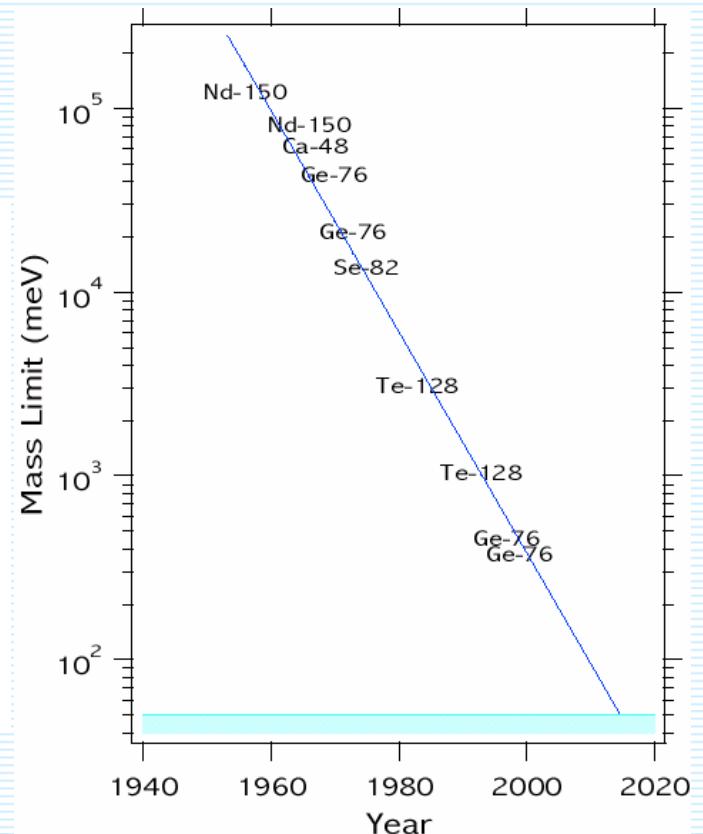
Outlook

**The $0\nu\beta\beta$ -decay will be observed
(up to 2020)**

- Neutrino is Majorana particle (Schechter-Valle theorem)
- The dominant mechanism has to be determined, i.e., further study (differential characteristics, trans. to excited states, related phenomenology, NME, GUT models)

**The $0\nu\beta\beta$ -decay will be not observed
(up to 2020)**

- Inverted hierarchy of neutrino masses excluded
- Stronger constraints on GUT, ...
- A challenge for next generation?
- If mass spectrum already determined
⇒ Dirac neutrino (why small mass?)



What is the nature of neutrinos?

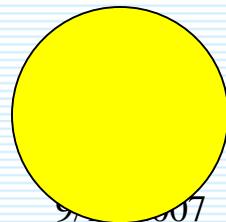
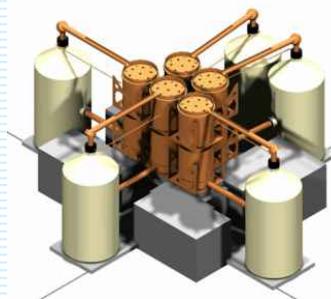
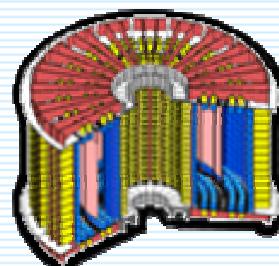


$\nu \Rightarrow$

theory



Only the $0\nu\beta\beta$ -decay can answer this fundamental question



• • •

By product:

- Absolute ν mass scale
- CP Majorana phases