

# Impact of NA61 (SHINE) data on T2K experiment

**T2K physics goals and requirements** (Report Feb.2007, A.Blondel, T.Kobayashi, K.Sakashita, T.Nakadaira)

1.  $\nu_e$  disappearance channel
2.  $\nu_\mu$  appearance channel

**T2K motivations for NA61**

**Requirements on NA61 measurements**

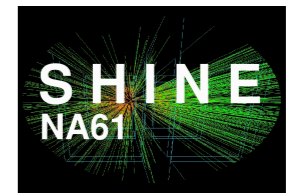
1. Relevant phase space
2. Statistical precision required by F/N
3. Statistical precision required by near spectrum prediction
4. Precision of K/ $\pi$  ratio measurement

**Review of NA61 2007 data**

**Strategies to use NA61 data for T2K neutrino flux prediction**

1. Strategy A
2. Strategy B

**Conclusion**



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# T2K Physics Goals

## $\nu_e$ disappearance channel

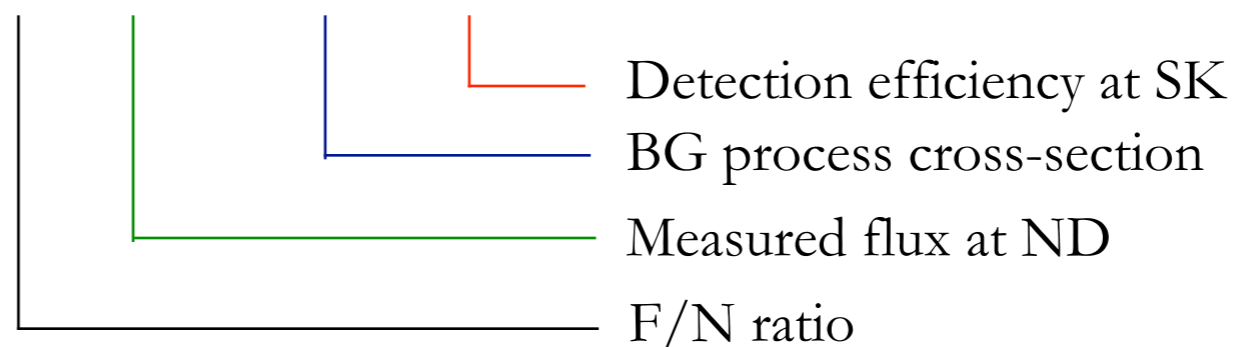
Neutrino oscillations are probed by comparison between near and far sites spectra with and without oscillation hypothesis.

The far site (SK) predictions depend on both  $\nu_\mu$  and  $\nu_e$  fluxes at SK,  $\Phi^{\text{SK}}_\mu$  and  $\Phi^{\text{SK}}_e$  resp., which are predicted as the product of the near site (ND) measured spectra by the “Far to Near ratio” as a function of the neutrino energy:

$$\Phi^{\text{SK}}_{\mu,e}(E_\nu) = R_{\mu,e}(E_\nu) \times \Phi^{\text{ND}}_{\mu,e}(E_\nu)$$

The background predominantly consists of  $\nu_\mu$  NC  $\pi^0$  interactions and intrinsic  $\nu_e$  contamination and is predicted at SK by the following equation (integral over  $\nu$  energy is omitted for simplicity):

$$\begin{aligned} N_{BG} = N_{BG}^{\pi^0} + N_{BG}^e &= \Phi_\mu^{\text{SK}} \cdot \sigma_{\text{NC}\pi^0} \cdot \epsilon_{\text{SK}}^{\pi^0} + \Phi_e^{\text{SK}} \cdot \sigma_e \cdot \epsilon_{\text{SK}}^e \\ &= R_\mu \cdot \Phi_\mu^{\text{ND}} \cdot \sigma_{\text{NC}\pi^0} \cdot \epsilon_{\text{SK}}^{\pi^0} + R_e \cdot \Phi_e^{\text{ND}} \cdot \sigma_e \cdot \epsilon_{\text{SK}}^e \end{aligned}$$



Sources of systematics for  $\delta N_{BG}$

The **T2K goal** is to probe oscillations down to:  $\sin^2 2\theta_{13} \sim 0.008$  (90% CL)

This goal requires a systematic error on the prediction of BG events of:  $\delta(N_{BG}) \leq 10\%$  (cf T2K proposal)

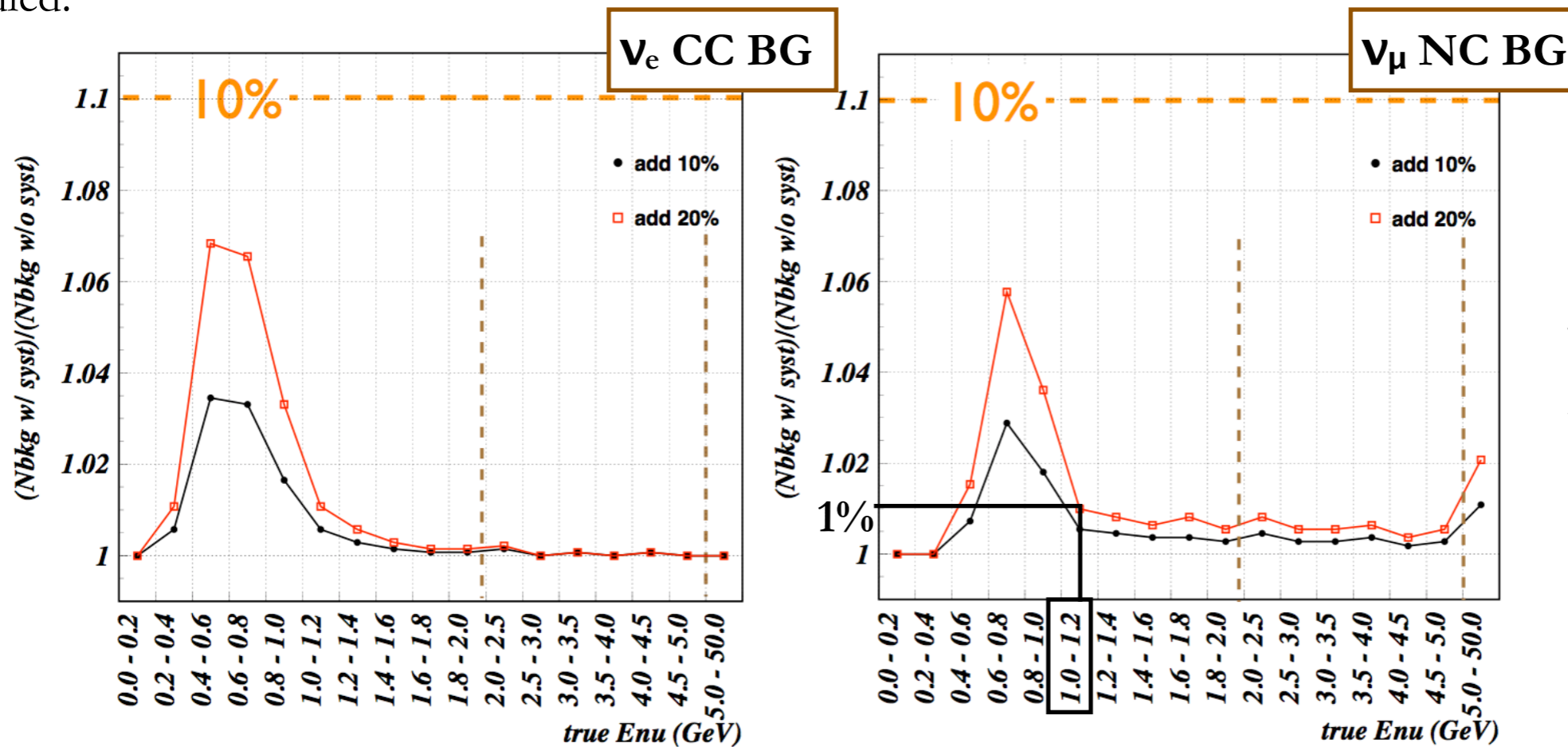


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# T2K Physics Goals

## $\nu_e$ disappearance channel

Effects of changes in F/N ratio (or equivalently in SK spectrum) on the expected number of BG events have been studied:



**For example:**  
 an increase of 20% in the energy bin between 1.0 and 1.2 GeV of the  $\nu_\mu$  spectrum increases the number of  $\nu_\mu$  NC BG by  $\sim 1\%$

- 10% error on  $R_\mu$  in 0-1 GeV  $\rightarrow \delta N^{\pi^0}_{BG} = 5.4\%$
- 10% error on  $R_\mu$  in 1-10 GeV  $\rightarrow \delta N^{\pi^0}_{BG} = 4.6\%$
- 10% error on  $R_e$  in 0-1 GeV  $\rightarrow \delta N^e_{BG} = 8.9\%$
- 10% error on  $R_e$  in 1-10 GeV  $\rightarrow \delta N^e_{BG} = 1.2\%$

**Goal on F/N precision**  
 $\rightarrow$   
 set to  $\delta R_{\mu,e} \sim 2-3\%$

- in 0-1 GeV  $\rightarrow \delta N^{\pi^0}_{BG} = 1.6\%$
- in 1-10 GeV  $\rightarrow \delta N^{\pi^0}_{BG} = 1.4\%$
- in 0-1 GeV  $\rightarrow \delta N^e_{BG} = 2.7\%$
- in 1-10 GeV  $\rightarrow \delta N^e_{BG} = \text{negligible}$



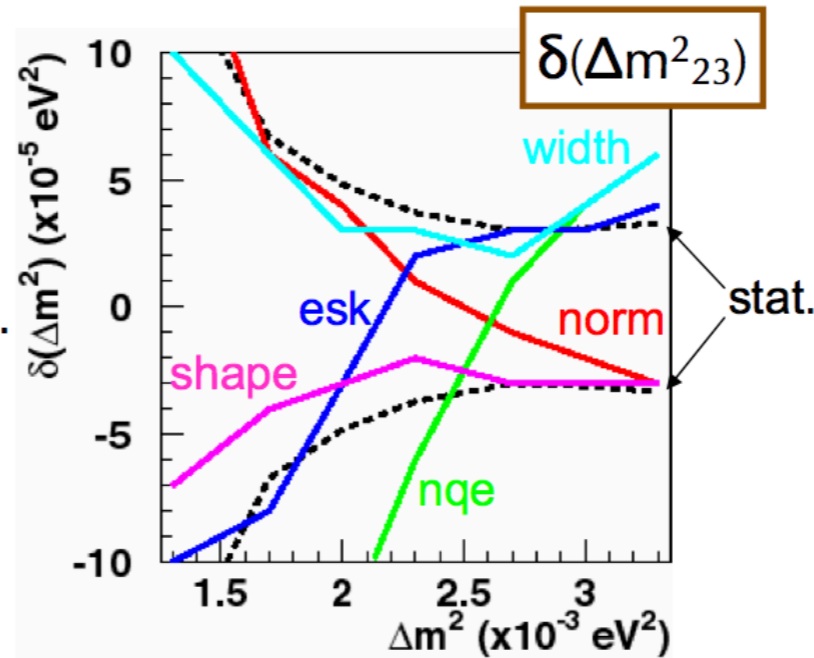
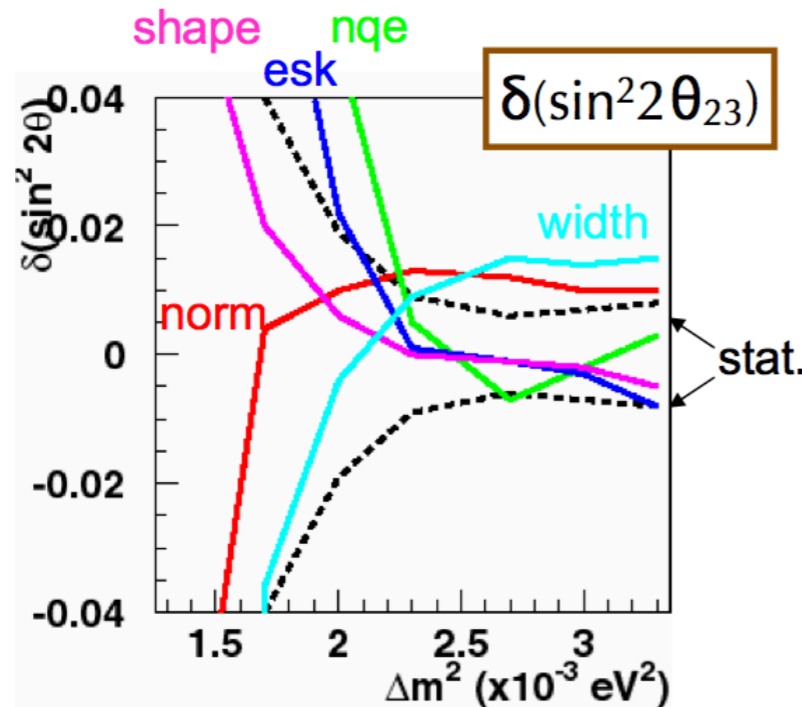
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# T2K Physics Goals

## $\nu_\mu$ appearance channel

The nominal T2K statistical power (5 years running,  $5 \times 10^{21}$  POT) on the measurement of  $\nu_\mu$  disappearance leads to:

$$\delta(\sin^2 2\theta_{23}) \sim 0.01 \quad \text{and} \quad \delta(\Delta m_{23}^2) < \sim 3 \times 10^{-5} \quad (\sim 1\%)$$



### Systematics related to the F/N ratio extrapolation:

- overall SK normalization (change by 10%)
- SK spectrum width (change by 10%)
- energy-dependent bias that roughly reflects uncertainties on hadroproduction models (up to 20%)

In the region of interest of true  $\Delta m_{23}^2$  ( $E/L \sim 2-3 \times 10^{-3} \text{ eV}^2$ ), those total contributions add up to:

$$\delta(\sin^2 2\theta_{23}) \sim 0.015 \quad \text{and} \quad \delta(\Delta m_{23}^2) \sim 5 \times 10^{-5} \quad (\sim 3 \times 10^{-4} \text{ eV}^2 \text{ for SK and MINOS})$$

In order not to limit the precision by the F/N extrapolation the goal of F/N ratio precision is set as well to:

$$\delta(R_{\mu,e}) \sim 2 - 3 \% \quad \text{which translates to:} \quad \delta(\sin^2 2\theta_{23}) \sim 0.005 \quad \text{and} \quad \delta(\Delta m_{23}^2) \sim 1.5 \times 10^{-5}$$



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# Motivations for NA61

## Sensitivity w/o NA61 measurements

For T2K, the main goals of the NA61 hadroproduction measurements are:

- Predict the F/N ratio with the required precision
- Predict the near spectrum to be compared with near detector measurements with the required precision

Without the NA61 measurements, the only viable method to constrain the hadroproduction is to purely rely on hadroproduction models fitted to data taken at different energies and materials. This method suffers from 2 major issues: i) nearest data at 12 GeV (HARP) and 159 GeV (NA49), ii) observed K/ $\pi$  ratios are not correctly reproduced by the models.

T2K studies showed that the difference in F/N ratios predicted with different hadroproduction models (FLUKA, MARS, G-FLUKA) are as large as 20% around the peak of the neutrino energy spectrum (0.2-1 GeV). Taking this value as an upper bound on the F/N ratio error leads to:

$$\delta(\sin^2 2\theta_{23}) \sim 1.5 - 3\% \quad \text{and} \quad \delta(\Delta m_{23}^2) \sim (5 - 10) \times 10^{-5} eV^2$$

Those errors would be: i) much larger than the stat. errors and ii) poorly known ! Moreover, such an error on the F/N ratio would also lead to:

- 20% error on  $R_\mu$  in 0-1 GeV  $\rightarrow \delta N_{BG}^{\pi^0} \sim 10\%$
- 20% error on  $R_\mu$  in 1-10 GeV  $\rightarrow \delta N_{BG}^{\pi^0} \sim 10\%$
- 20% error on  $R_e$  in 0-1 GeV  $\rightarrow \delta N_{BG}^e \sim 20\%$
- 20% error on  $R_e$  in 1-10 GeV  $\rightarrow \delta N_{BG}^e \sim 2\%$

Error contribution coming **ONLY** the F/N ratio error is larger than the required 10% error on  $N_{BG}$  !

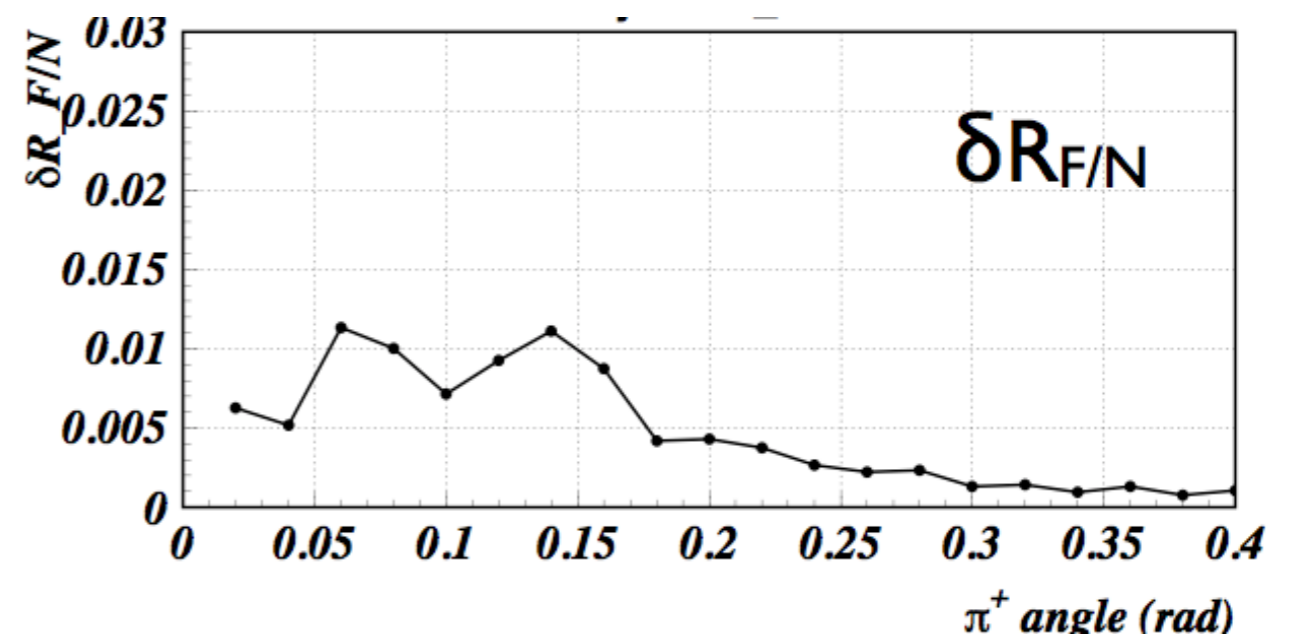
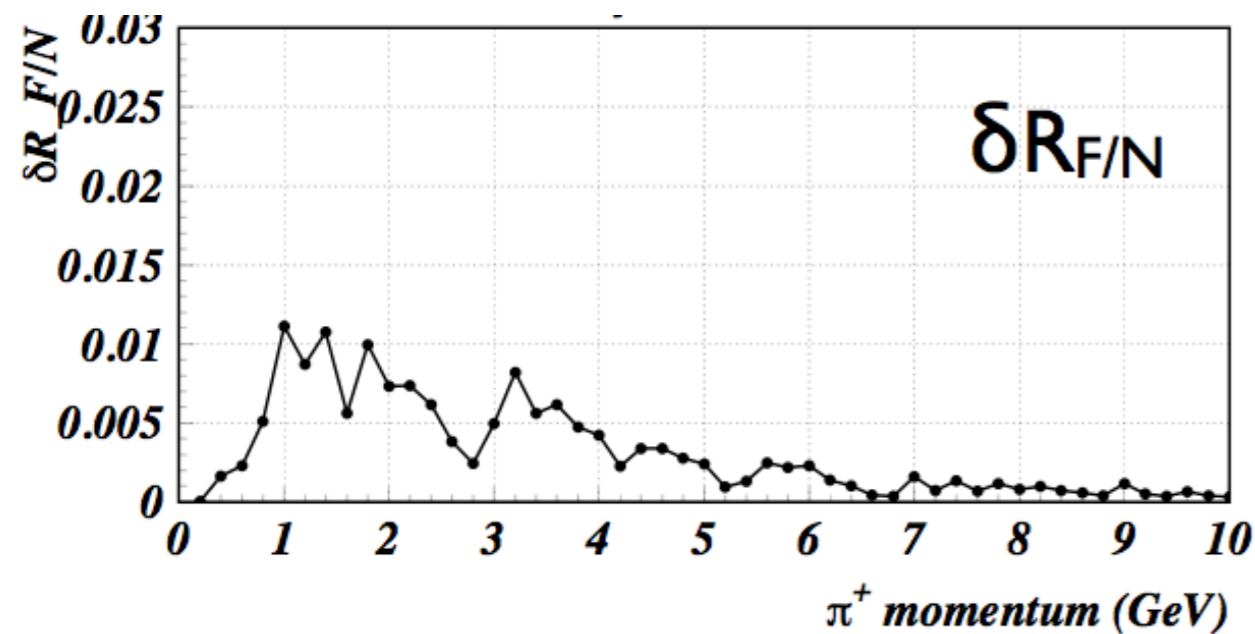


# NA61 Measurements

## Relevant phase space region

T2K studies have been performed to understand which precision on the NA61 hadroproduction measurements is required to achieve a 2-3% precision on the F/N ratio prediction.

First the sensitivity of the F/N ratio as a function of the phase space region where particles are produced was studied. This was done by dividing the T2K phase space into  $10^3$  2d bins in  $p$ - $\vartheta$  and varying each bin content by 30% to estimate the relative change in F/N ratio.



From this study, it was concluded that NA61 should measure the hadroproduction particularly in the region:

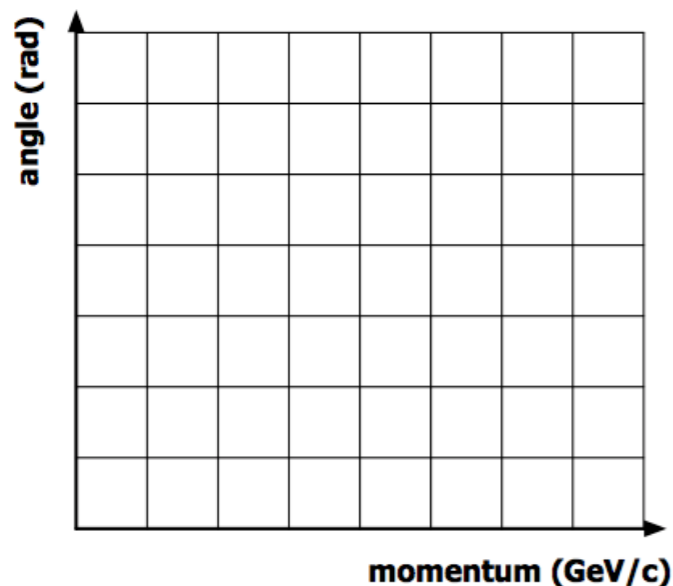
$$0.3 < p \text{ [GeV]} < 5 \quad \text{and} \quad 0 < \vartheta \text{ [mrad]} < 400$$



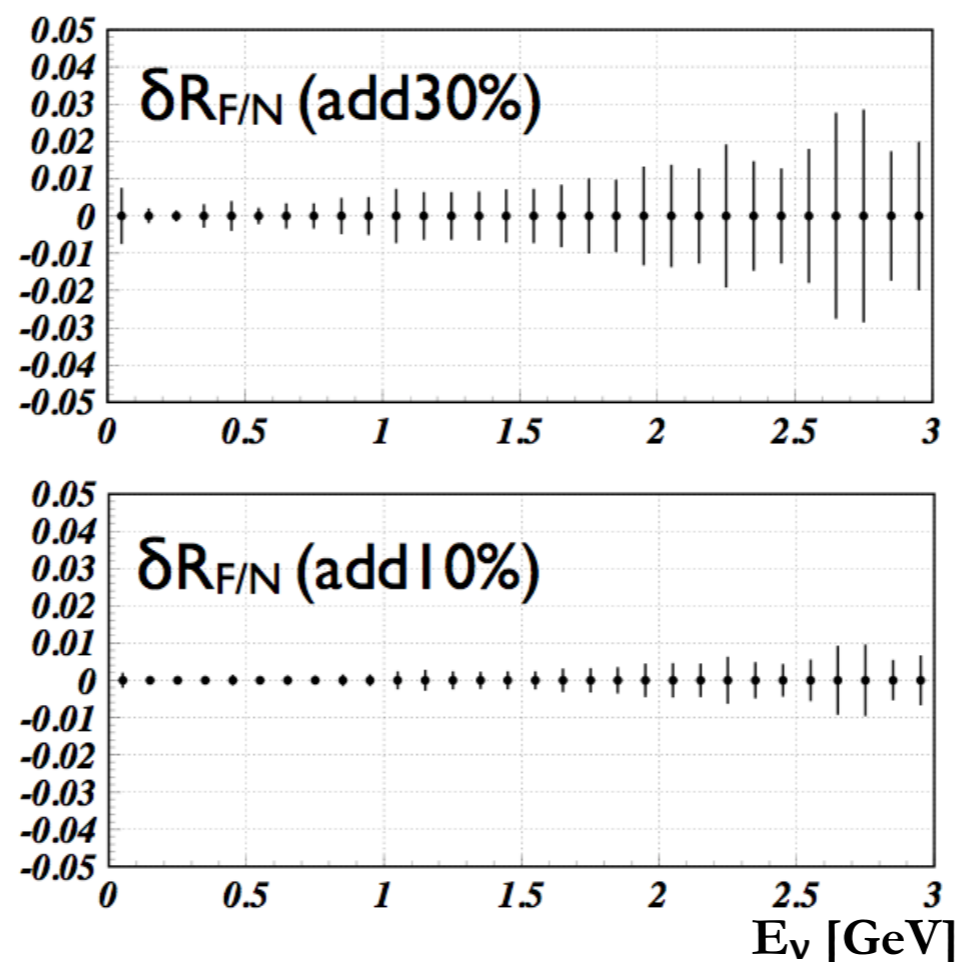
# NA61 Measurements

## Requirements from T2K F/N ratio precision goal

The required statistical precision of the NA61 measurements has been roughly estimated by dividing the T2K phase space into  $10^3$  2d bins and adding 10% (30%) uncorrelated uncertainty in all the  $p$ - $\vartheta$  bins:



$P_{\pi}$  200 MeV/bin x 50 bins  
 $\vartheta_{\pi}$  20 mrad/bin x 20 bins



It was concluded that NA61 needs to measure hadroproduction within a **10% accuracy** in each  $p$ - $\vartheta$  bin. For 200k  $\pi^+$  tracks over  $0 < p < 10$  GeV/c and  $0 < \vartheta < 400$  mrad, the number of events in each  $p$ - $\vartheta$  bin satisfies this requirement.  
**N.B.:** estimation independent of existing NA61 measurements !!

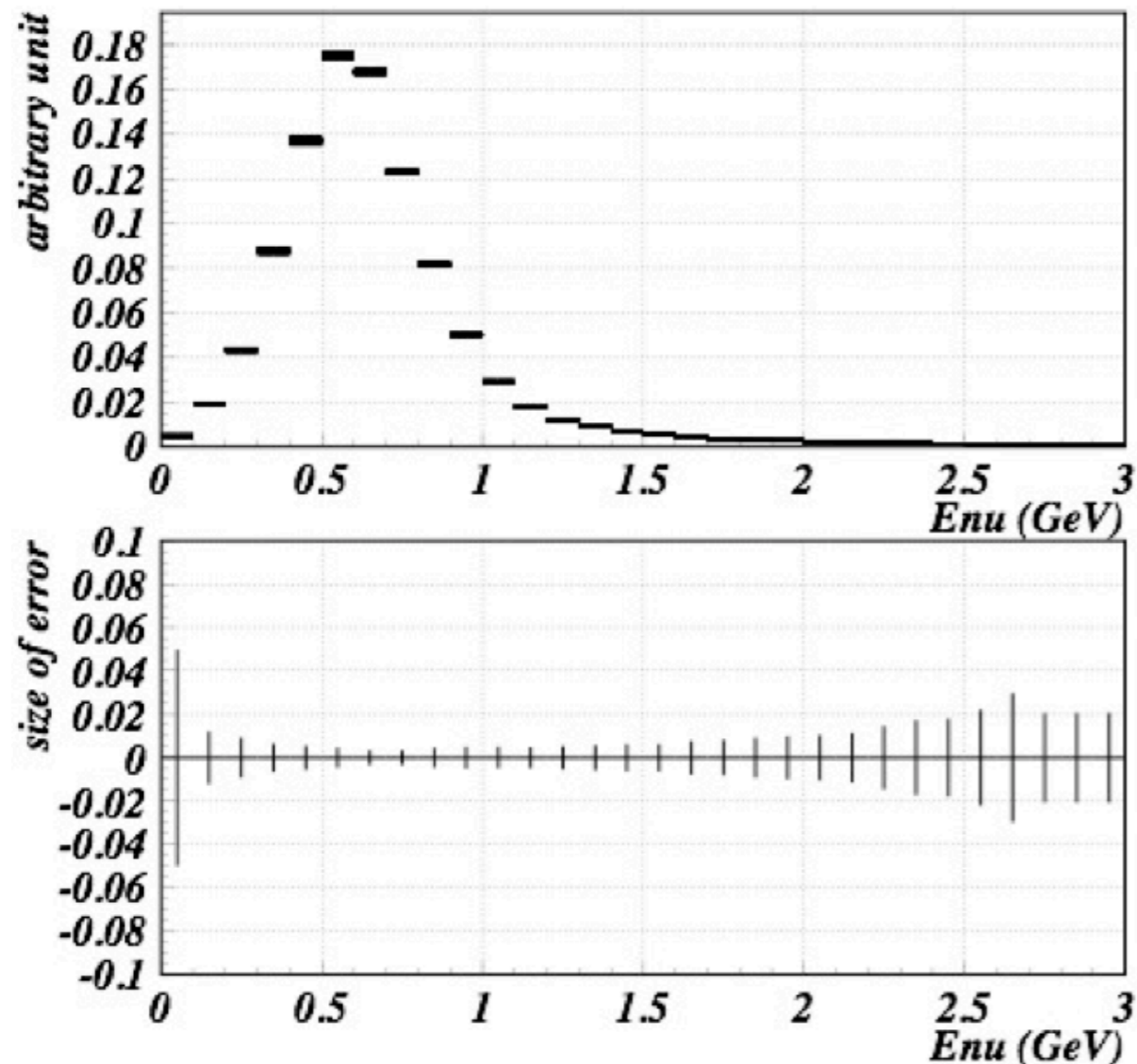


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# NA6I Measurements

## Requirements from near spectrum prediction

Assuming 10% uncertainty on the hadroproduction measurements, the corresponding accuracy on the predicted near spectrum can be estimated:



The size of the errors ( $< 5\%$ ) is comparable with the expected systematic uncertainties of T2K from the near detector response ( $\sim 4\%$ ) and from the neutrino interaction cross-sections ( $\sim 3-4\%$ ).

→ Need to measure hadroproduction with better than 10% accuracy.

NA49 measurements have proven to be feasible at the level of typically 3% both in absolute and in the  $K/\pi$  ratio.



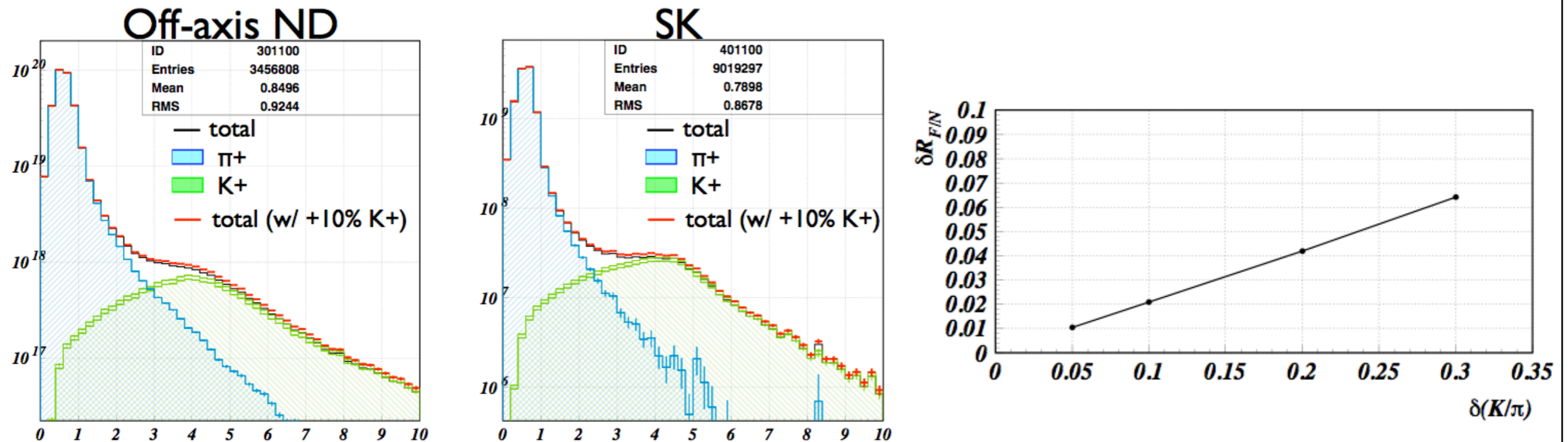
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# NA61 Measurements

## Requirements from $K/\pi$ ratio precision goal

The high energy part of the  $\nu_\mu$  spectrum mainly comes from Kaon decay, thus we need to measure the ratio of  $K^+$  to  $\pi^+$ . The required measurement accuracy has been roughly estimated varying the ratio by 10% and looking at the relative difference in the F/N ratio as a function of energy:



From this study, a **10% accuracy on the  $K/\pi$  ratio leads to an uncertainty on the F/N ratio of 2%**. The  $K/\pi$  ratio has to be measured with such an accuracy in the phase space region of T2K that contains the parent Kaons that generate neutrinos in the acceptance of the near and far detectors:

$$1 < p \text{ [GeV/c]} < 20 \quad \text{and} \quad 0 < \vartheta \text{ [mrad]} < 300$$



# NA61 Measurements

Request to NA61 - A.Marchionni, convener of the T2K Beam WG, Jan.09, T2K coll.

- The goal is to come up with a  $\nu$  flux prediction based on experimental measurements**
  - to be compared with the  $\nu$  energy distributions measured in ND280
  - to be used in the prediction of the  $R_{F/N}$
- We feel important at this time to have a new look at T2K requirements on hadron production and come up with an updated short document summarizing the T2K requests to NA61**
- The document will specify:**
  - Suitable acceptance region to cover full pion and kaon production region giving neutrinos in T2K
  - Binning size in  $(p, \theta)$  plane and necessary statistics
  - Definition of targets to be used for the measurements (thin, T2K replica target, intermediate length,...)
  - Data format of the measurements (cross sections, raw pion and kaon yields, pion and kaon yields corrected for efficiencies and acceptance,...)
  - T2K priorities and desired time frame for data taking and analysis results. In particular will address:
    - Analysis of the NA61 2007 data
    - Definition of NA61 2009 data taking



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# NA6 I Data

## 2007 Data: 2C (thin) target

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**Total number of events: 671267**

Total number of primary tracks: 1801048

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Event quality cuts:

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Total number of events after BPD cut: 620601 (92.452184 %)

Total number of events after Vtx cut1: 377651 (56.259432 %) (60.852464 %)

Total number of events after Vtx cut2: 339277 (50.542780 %) (89.838766 %)

**Total number of events after Vtx cut3: 292897 (43.633457 %) (86.329754 %)**

Number of primary tracks after BPD cut: 1686474 (93.638482 %)

Number of primary tracks after Vtx cut1: 1393683 (77.381780 %) (82.638867 %)

Number of primary tracks after Vtx cut2: 1351003 (75.012049 %) (96.937611 %)

**Number of primary tracks after Vtx cut3: 1260161 (69.968207 %) (93.275959 %)**

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Track quality cuts:

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Number of primary tracks after Vtp cut: 1030577 (57.220962 %) (81.781376 %)

Number of primary tracks after Nratio cut: 1017195 (56.477951 %) (98.701504 %)

Number of primary tracks after Bxy cut: 920815 (51.126622 %) (90.524924 %)

**Number of primary tracks after Nmax cut: 903557 (50.168402 %) (98.125791 %)**

Number of primary (+) tracks after all cuts: 573598 (63.482215 %)

Number of primary (-) tracks after all cuts: 329959 (36.517785 %)

**Number of primary tracks in ToF: 193358 (10.735860 %) (21.399646 %)**

Number of primary (+) tracks in ToF: 134672 (69.649045 %)

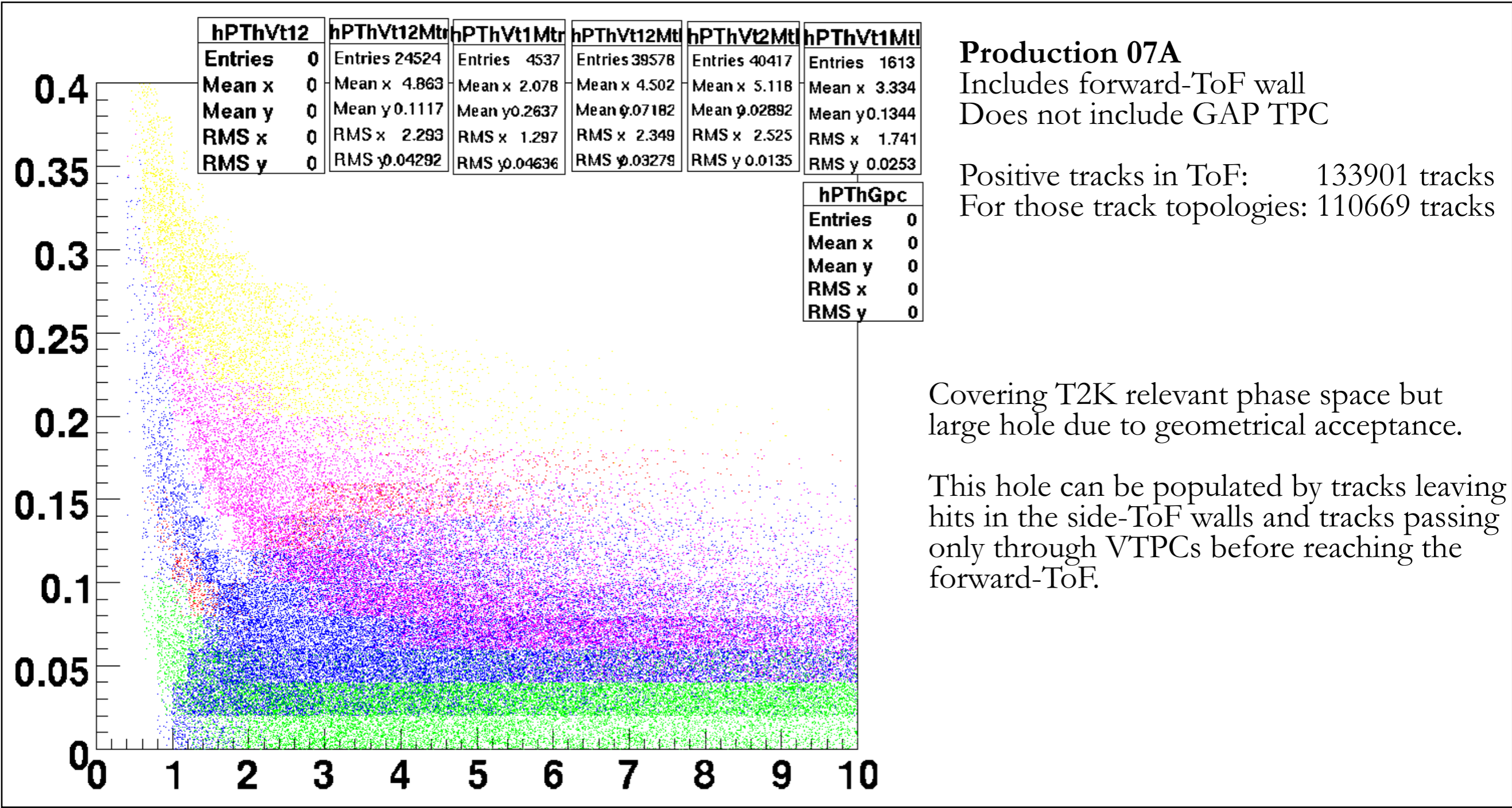
Number of primary (-) tracks in ToF: 58686 (30.350955 %)



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# NA6 I Data

2007 Data: 2C (thin) target



**Production 07A**  
Includes forward-ToF wall  
Does not include GAP TPC

Positive tracks in ToF: 133901 tracks  
For those track topologies: 110669 tracks

Covering T2K relevant phase space but large hole due to geometrical acceptance.

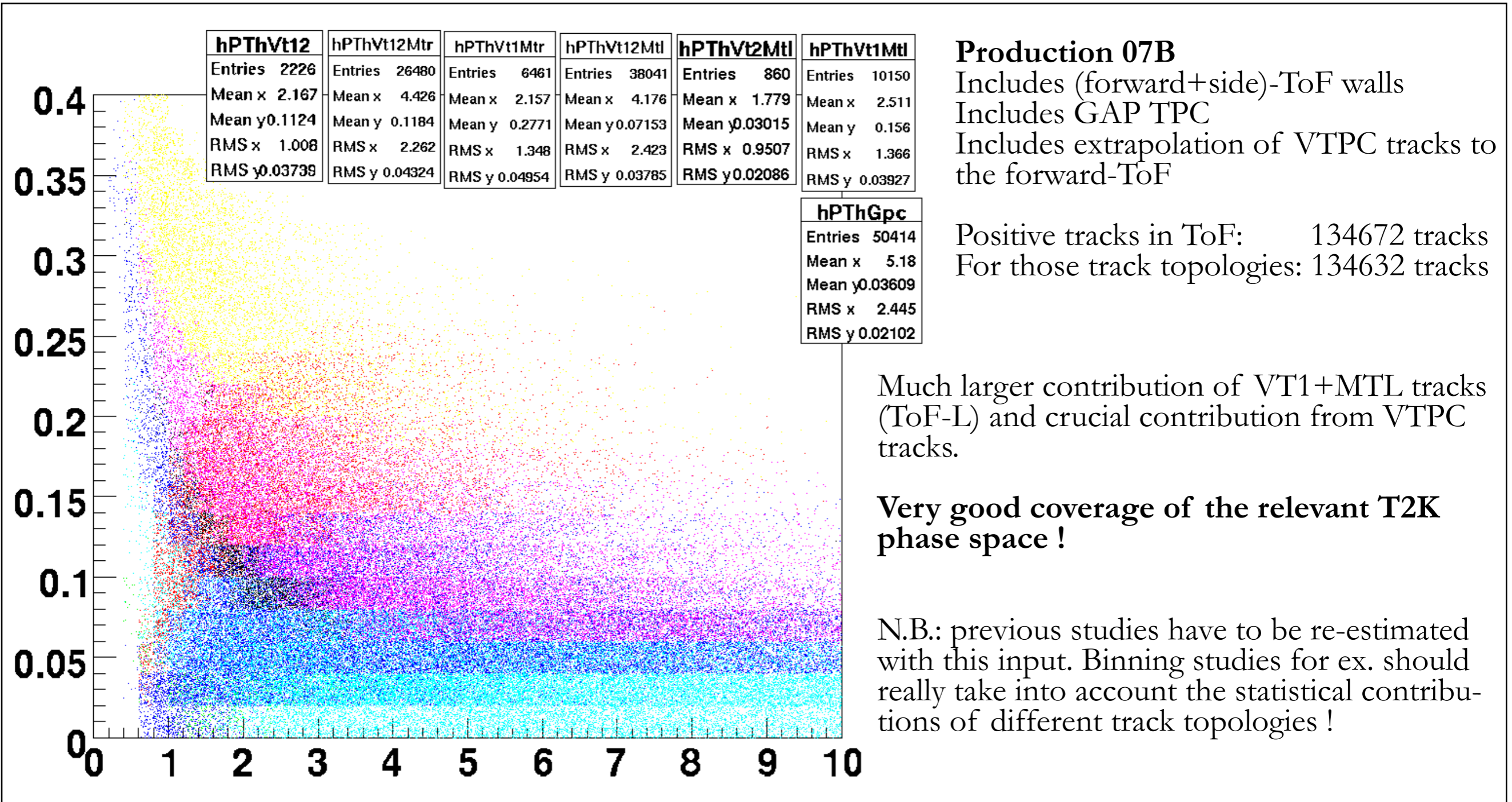
This hole can be populated by tracks leaving hits in the side-ToF walls and tracks passing only through VTPCs before reaching the forward-ToF.



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# NA61 Data

## 2007 Data: 2C (thin) target



### Production 07B

Includes (forward+side)-ToF walls  
 Includes GAP TPC  
 Includes extrapolation of VTPC tracks to the forward-ToF

Positive tracks in ToF: 134672 tracks  
 For those track topologies: 134632 tracks

Much larger contribution of VT1+MTL tracks (ToF-L) and crucial contribution from VTPC tracks.

**Very good coverage of the relevant T2K phase space !**

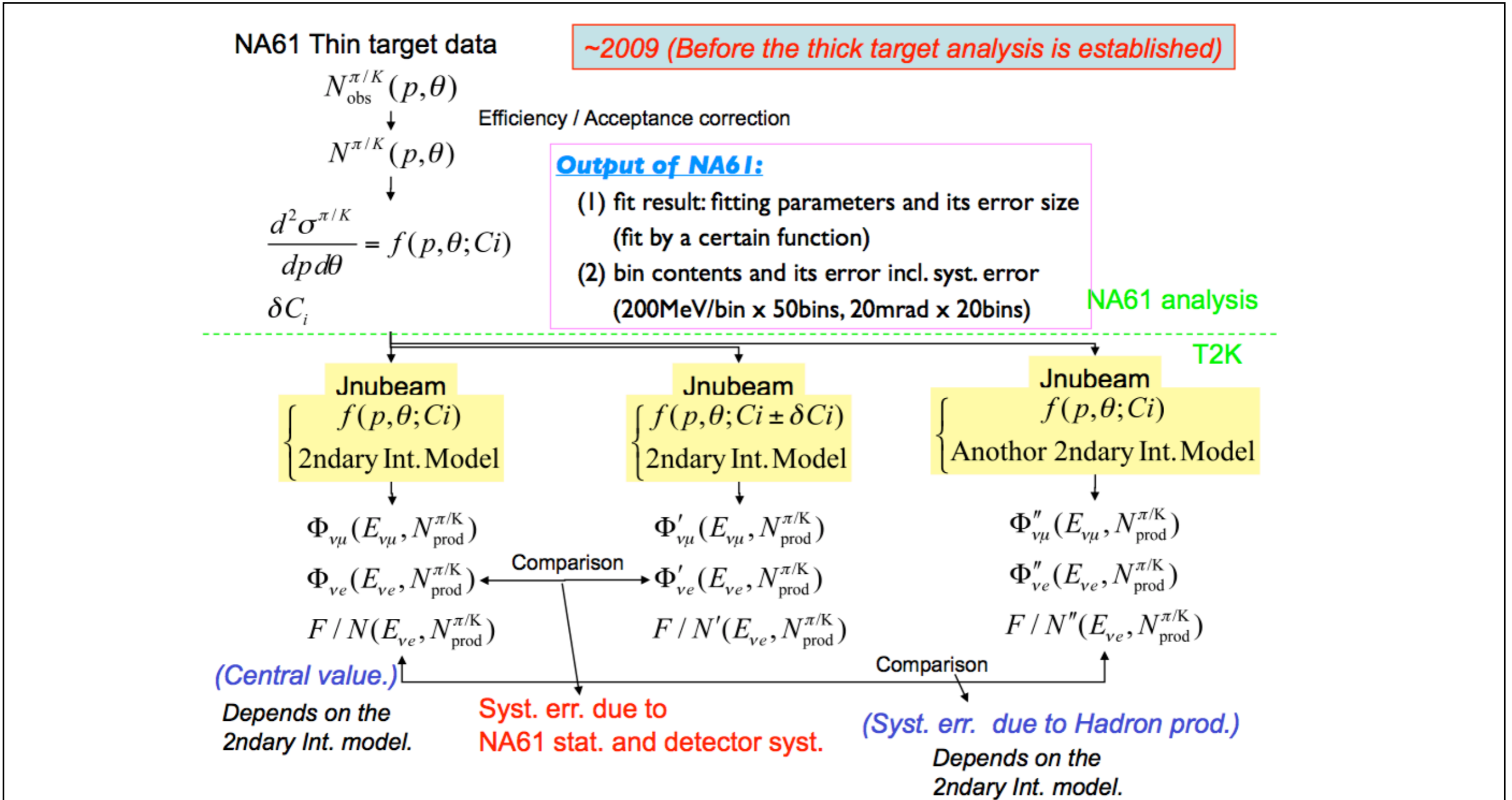
N.B.: previous studies have to be re-estimated with this input. Binning studies for ex. should really take into account the statistical contributions of different track topologies !



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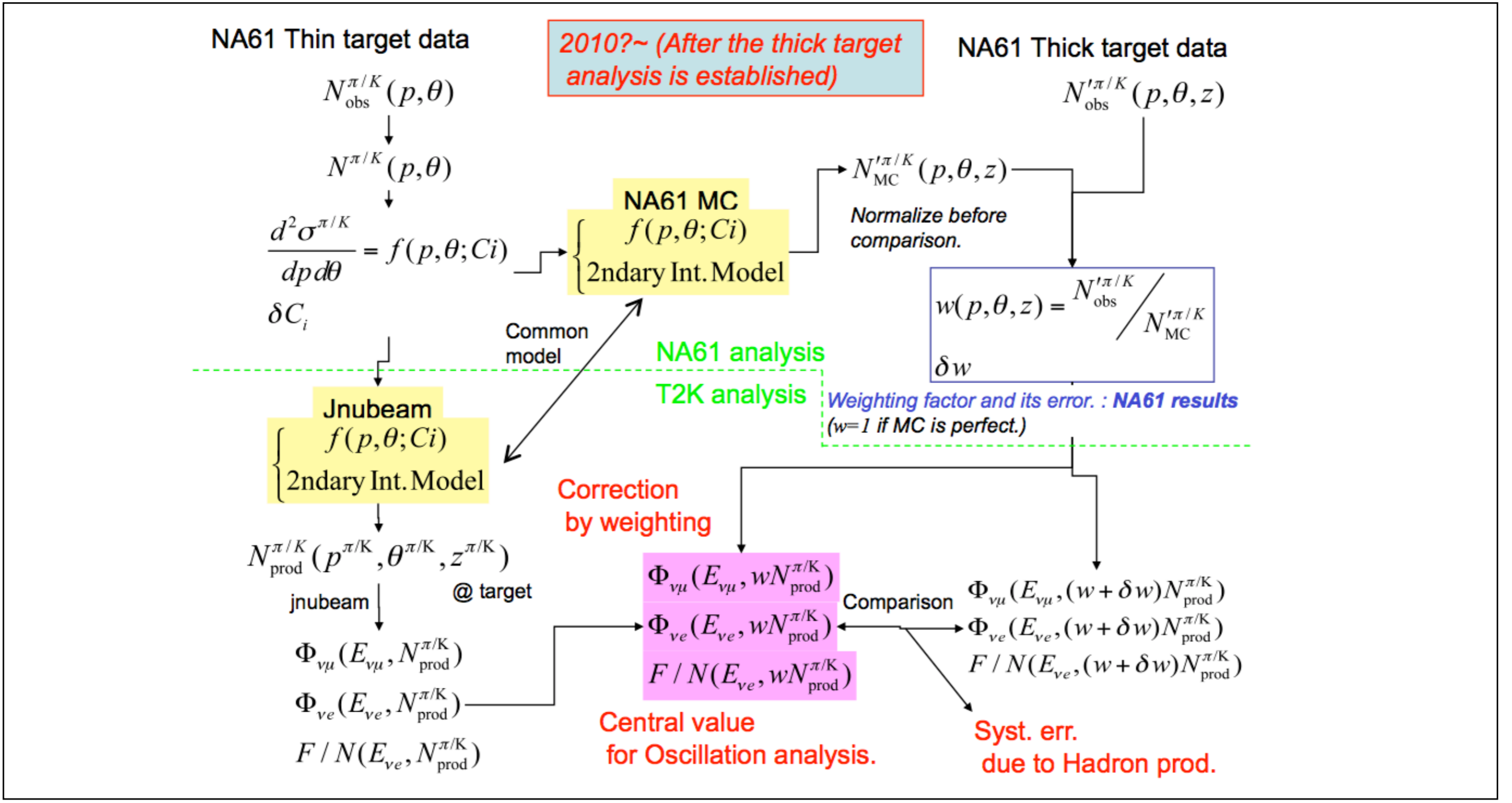
# NA61 Data and T2K Flux Prediction

## Strategy A



# NA61 Data and T2K Flux Prediction

## Strategy A



# NA61 Data and T2K Flux Prediction

## Strategy B

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The NA61 acceptance covers entirely the phase space of hadrons that produce the neutrinos of the T2K experiment (unlike the HARP acceptance which covered only up to 70-80% of the corresponding phase space of the K2K experiment).

Thus, in principle, we can avoid extrapolation to uncovered phase space regions (source of additional syst.) and re-weight T2K beam MC directly with NA61 data for better description of hadroproduction. This requires:

- generation of events within NA61 simulation chain using T2K beam MC event generator
- use identical hadronization model for secondary interactions in both chains

For a given bin  $\mathbf{i}$  in the  $p$ - $\vartheta$  phase space and a given type  $\mathbf{h}$  of hadron, we consider the ratio (re-weighting factor):

$$R_{hi} = \frac{N_{POT}^{MC}}{N_{POT}^{NA61}} \times \frac{N_{hi}^{NA61}}{N_{hi}^{MC}} \quad \text{NA61 MC}$$

The total neutrino flux in a given energy bin  $\mathbf{j}$  coming from the type of hadron  $\mathbf{h}$  is a sum from contributions from all the  $p$ - $\vartheta$  bins to this particular energy bin  $N_{hi,j}^{MC}$ :

$$\Phi_j(E_\nu) = \frac{1}{N_{POT}^{MC}} \sum_{h,i} N_{hi,j}^{MC} \times N_{hi}^{MC} \quad \text{T2K Beam MC (Jnubeam)}$$





# NA61 Data and T2K Flux Prediction

## Strategy B

The corrected flux in neutrino energy bin  $\mathbf{j}$  is then corrected by the NA61 re-weighting factor:

$$\Phi_j(E_\nu) = \frac{1}{N_{POT}^{MC}} \sum_{h,i} N_{hi,j}^{MC} \times N_{hi}^{MC} \times R_{hi}$$

The integrated corrected flux is obtained by summing over  $\mathbf{j}$  as well.

This approach allows the estimation of the neutrino flux statistical and systematic errors related to the NA61 measurements in a simple way. Neglecting the MC statistical error (in a first step), the statistical error on the flux due to the NA61 statistics is propagated via the re-weighting factor:

$$\frac{\Delta R_{hi}}{R_{hi}} = \frac{\Delta N_{hi}^{NA61}}{N_{hi}^{NA61}} = \frac{1}{\sqrt{N_{hi}^{NA61}}} \quad \text{or} \quad \Delta^2 R_{hi} = \frac{R_{hi}^2}{N_{hi}^{NA61}}$$

Thus: 
$$\Delta^2 \Phi_j(E_\nu) = \frac{1}{(N_{POT}^{MC})^2} \sum_{hi} (N_{hi,j}^{MC} \times N_{hi}^{MC})^2 \times \frac{R_{hi}^2}{N_{hi}^{NA61}}$$

The neutrino spectrum mean energy coming from hadron of type  $h$  is then given by:

$$\langle E_\nu \rangle_h = \frac{\sum_j \Phi_j(E_\nu) \times E_{\nu j}}{\sum_j \Phi_j(E_\nu)} = \frac{\sum_{ij} N_{hi,j}^{MC} \times N_{hi}^{MC} \times R_{hi} \times E_{\nu j}}{\sum_{ij} N_{hi,j}^{MC} \times N_{hi}^{MC} \times R_{hi}} \rightarrow \begin{cases} \Delta^2 \langle E_\nu \rangle_h \\ \sigma_h^2(E_\nu) = \langle E_\nu \rangle_h^2 - \langle E_\nu^2 \rangle_h \end{cases}$$



# NA61 Data and T2K Flux Prediction

## Strategy B

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Systematics errors can be estimated by varying corresponding parameters (if any) in the MC and computing the relative change in the re-weighting factors.

Those variations are then propagated to the neutrino flux prediction described above to estimate the final systematics related to NA61 measurements.

This re-weighting method can be used for both targets if the same target type (thin or replica) is used in both sim. chains.

For the thin target, this method will be use for ex., to give a first estimate of NA61 statistical impact on the neutrino flux prediction.



# NA61 Data and T2K Flux Prediction

## Conclusion

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- Requirements on NA61 measurements have been estimated up to 2 years ago !
- An update of these requirements has been recommended by the T2K beam WG and a new document should be submitted soon.
- NA61 successfully took data for a thin Carbon target and T2K replica target in 2007. Those data, though limited in statistics are of very good quality !
- Strategies are proposed to implement NA61 data into the T2K beam MC for better neutrino flux prediction and work is in progress in this direction in parallel to the NA61 data analysis.

